

Date: 29/05/2023

The General Manager Department of Corporate Services BSE Limited PhirozeJeejabhoy Towers Dalal Street, Fort Mumbai - 400 001	The Manager Listing Department National Stock Exchanges of India Limited Exchange Plaza , 5 th Floor, Plot No.C/1, G Block, Bandra- kurla Complex, Bandra(East) Mumbai – 400 051
Scrip Code : 533176	Scrip Code : DQE

Dear Sir/Madam,

Sub: Filing of Annual Secretarial Compliance Report (ASCR) for the FY-2022-23 ended 31-03-2023

Ref: Regn.24A- Reg. ASCR- SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019

With reference to the captioned subject and in compliance with Regn.24A and other/ applicable Regulations of LODRRs of SEBI, please find enclosed herewith the Annual Secretarial Compliance Report of our Company for the Financial Year ended 31-03-2023 as issued by the PCS M/s JRA AND ASSOCIATES LLP COMPANY SECRETARIES, Secretarial Auditors of the Company.

Kindly note the above and take the same on your records.

Yours Faithfully

For DQ Entertainment (International) Limited

AHALADA RAO
VUMMENTHALA

Digitally signed by AHALADA RAO VUMMENTHALA
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CS Dr Ahalada Rao Vummenthala

Resolution Professional for DQ Entertainment (International) Limited

IP Registration No.IBBI/IPA-002/IP-N00074/2017-2018/10172

Secretarial Compliance Report of

DQ Entertainment (International) Limited

for the year ended 31st March, 2023

I, Jaipal Reddy Naidi, Designated Partner of JRA and Associates LLP, Company Secretaries have examined:

- a. all the documents and records made available to us and explanation provided by Resolution Professional of **DQ Entertainment (International) Limited** (CIN: L92113TG2007PLC052585) ("the listed entity") (under CIRP),
- b. the filings/ submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity (<https://dqentertainment.com>),
- d. other document / filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2023 (from 01st April, 2022 to 31st March, 2023) ("Review Period"), **(the Entity is under CIRP since 17th June, 2022 till the date)** in respect of compliance with the provisions of:

- a. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), Rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- a. * Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

*** Notification No. SEBI/LAD-NRO/GN/2018/21 Dated 31st May, 2018 issued by SEBI.**

Jaipal Reddy Naidi


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- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g. Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i. other regulations as applicable and circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Not filed with BSE & NSE before the CIRP period, however, after commencement of CIRP same was	- Not filed any Reports / Compliances related to the FY 2021-22 falls during the period from 01st April, 2022 to 16th June, 2022. - Filed Regular Announcements related to Notices and outcomes of COC Meetings with NSE through the portal and through E-Mails with BSE for the CIRP



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		regularly intimated / filed.	period (i.e. from 17 th June, 2022 to 31 st March, 2023).
2	Payment of Annual Listing Fee to BSE under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Not paid	Trading Suspended
3	Other Regulations are not Applicable	NA	As the Entity is under CIRP, other Regulations are not Applicable and the same was intimated to the BSE and to the NSE by COC represented by the IRP / RP

b. The listed entity is under CIRP and the records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of ***those records are not accessible as the Registered Office premises taken over by the property owner forcibly for which Resolution Professional file Interlocutory Application with Hon'ble NCLT, Hyderabad Bench and the matter being under Subjudice, not in a position to offer the comments.***

c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken e.g. Fines warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any
Nil	Nil	Nil	Nil	Not in a position to offer the comments, being the records not in access and based on the information available at process e-Mail id maintained by RP as per the IBC regulations and based on information available at public domain.



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d. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Non-compliance of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Nil	Nil	Not in a position to offer the comments, being the records not in access and based on the information available at process e-Mail id maintained by RP as per the IBC regulations and based on information available at public domain.
2	Failed to pay the Annual Listing Fee to BSE / NSE under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Nil	Nil	The Entity is failed pay the Listing Fee periodically. Resolution Professional placed the Listing Fee payable as a part of CIRP Cost.

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- e. The reporting of clause 6(A) and 6(B) of the circular No.CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by the Securities and Exchange Board of India on "Resignation of statutory auditors from listed entities and their material subsidiaries" is not applicable during the Review Period – ***the previous Auditors were retired by rotation only, but there were no resignation during the period under review.***

For JRA and Associates LLP
Company Secretaries

Jaipal Reddy Naidi



Jaipal Reddy Naidi
(Designated Partner)
CP. No.: 10280
FCS M. No.: 8859

Place: Hyderabad
Date: 27.05.2023
UDIN: F008859E000400668

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