DB (International) Stock Brokers Ltd.

- NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

Corp. Office: 402, New Delhi House, 27, Barakhamba Road, New Delhi -110001
Tel.:011-43606162
Website Address: www.dbonline.in
CIN: L67120HR1992PLC035349

10th October, 2019

Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza,
Plot no. C/1, G Block,
Bandra-Kurla Complex
Bandra (E)
Mumbai - 400 051

Sub: Submission of Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30th September, 2019

Dear Sir,

In compliance with Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 we are forwarding herewith a copy of certificate dated 09th October, 2019 received from M/s Abhipra Capital Limited the Registrar and Share Transfer Agent of the Company for the quarter ended 30th September, 2019

Kindly take the above on record.

Thanking you,
Yours faithfully,
For DB (International) Stock Brokers Limited

DB (International) Stock Brokers Limited New Delhi

Himanshi Mittal
Compliance Officer
Encl: As Above

Abhipra

Date: 05.10.2019

Ref No 10/RTA/DBL/OCT/2019
DB (INTERNATIONAL) STOCK BROKERS LTD.
402,NEW DELHI HOUSE,
27 BARAKHAMBA ROAD,NEW DELHI 110001.

Sub Compliance under Regulation 74(5) of the SEBI, for the quarter ended on 30th September 2019.

Dear Sir/Madam,

In accordance with Regulation 74(5) of the SEBI (Depository and Participants) Regulation 2018 for the quarter ended on 30th September 2019, we hereby certifiy / confirm that within 15 days of receipt of the securities received for dematerialisation that:

(a) The securities comprised in the said certificates(s) of security have listed on the Stock Exchanges; and

(b) The said certificate(s) after due verification have been mutilated and cancelled and the name of the depository has been substituted in our records as the registered owner:

The above is for your information and records

Thanking you

Yours faithfully
for Abhipra Capital Limited

Authorised Signatory

Abhipra Capital Ltd.
Regd. Office : GF-58-59, World Trade Center, Barakhamba Lane, New Delhi-110001
Tel.: 011-23414629, 42390798-799 • Telefax : 011-23414503
Corporate Office : Abhipra Complex, A-387, Dilkhush Indl. Area, G.T. Karnal Road, Azadpur, Delhi-110 033
Tel.: 91-11-42390909, Fax :91-11-42390930

Depository Participant with NSDL, CDS
Member : NSE, BSE, SEBI Approved Registrar and Transfer Agent, Category I, Currency Derivatives
GST SUVIDHA PROVIDER (GSP)
Unique Identification No. : 100021667
CIN : U74899DL1994PLC061802

E-mail : info@abhipra.com • Website : www.abhipra.com

Abhipra

TO WHOMSOEVER IT MAY CONCERN

We are the SEBI Registered Share Transfer Agent and engaged by D B (INTERNATIONAL) STOCK BROKERS LTD. for conducting their Physical and Electronic share transfer work.

We hereby certify that we are not offering the facility of simultaneous Transfer cum Demat of D B (INTERNATIONAL) STOCK BROKERS LTD.'s shares. We further certifiy for the quarter ending on 30th September 2019as follows:

1. We have followed necessary procedure for effecting the original transfer.
2. The Register of Member of the D B (INTERNATIONAL) STOCK BROKERS LTD. was accordingly amended and the shares were transferred in favour of transferee(s).
3. We have adequate procedure and have satisfied ourselves that the transferee and the entity requesting for Demat are one and the same before confirming the demat request. We have further amended the Register of Member to indicate the transfers from Physical to NSDL or CDSL,
4. We have defaced and cancelled/mutilated all the certificates after Dematerialisation.
5. We have adequate system to ensure that the investor does not lose his corporate benefits on account of the transfer entries made in favour NSDL or CDSL.
6. We have demated 0 shares in NSDL, 0 shares processed in CDSL and NIL shares were rematerialised.
7. No share was transferred in physical form and No shares was transmitted during the quarter.

We have despatched the share certificates to all transferee(s) as soon as transfer is completed and in no case the shares certificates were despatched beyond 15 days of lodgement with us/company.

All provisions of listing agreement are complied with.

Thanking you

Yours faithfully
for Abhipra Capital Limited

Authorised Signatory

Date: 5.10.2019

Abhipra Capital Ltd.
Regd. Office : GF-58-59, World Trade Center, Barakhamba Lane, New Delhi-110001
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