Ref. No.: DAM/SE/020/2026-27
Date: August 13, 2026

To,	To,
BSE Limited P.J. Towers, Dalal Street, Mumbai – 400 001 **Scrip Code: 544316**	**National Stock Exchange of India** Exchange Plaza, Plot No. C-1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051 **NSE Symbol: DAMCAPITAL**

Subject: Business Responsibility and Sustainability Report ("BRSR") for FY 2025-26.

Dear Sir/ Madam,

Pursuant to Regulation 34(2)(f) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("**SEBI Listing Regulations**"), we are enclosing herewith BRSR for the financial year 2025-26, which also forms part of the Company's Annual Report.

The BRSR is also available on the Company's website at www.damcapital.in.

This is for your information and record.

Thank you.

Yours faithfully,
For **DAM Capital Advisors Limited**

KATARIYA SONAL DHANJI
Digitally signed by KATARIYA SONAL DHANJI
Date: 2026.08.13 13:26:05 +05'30'

Sonal Katariya
Company Secretary and Compliance Officer
Membership No.: A44446

Encl.: As Above.

BUSINESS RESPONSIBILITY AND SUSTAINABILITY REPORT

SECTION A: GENERAL DISCLOSURES

I Details of the listed entity

1.	Corporate Identity Number (CIN) of the Listed Entity	L99999MH1993PLC071865
2.	Name of the Listed Entity	DAM Capital Advisors Limited
3.	Year of Incorporation	1993
4.	Registered office address and	PG 1, Rotunda Building, Ground floor, Dalal Street, Fort, Mumbai – 400001
5.	Corporate Office Address	Altimus 2202, Level 22, Pandurang Budhkar Marg, Worli, Mumbai 400018
6.	Email	info@damcapital.in
7.	Telephone	022 24202500
8.	Website	www.damcapital.in
9.	Financial year for which reporting is being done	1st April 2025 – 31st March 2026
10.	Name of the Stock Exchange(s) where shares are listed	NSE and BSE
11.	Paid-up Capital	14,13,72,000/-
12.	Name and contact details (telephone, email address) of the person who may be contacted in case of any queries on the BRSR report:	Ms. Sonal Katariya 022 24202500 companysecretarial@damcapital.in
13.	Reporting Boundary - Are the disclosures under this report made on a standalone basis (i.e. only for the entity) or on a consolidated basis (i.e. for the entity and all the entities which form a part of its consolidated financial statements, taken together).	Standalone
14.	Name of assurance provider	Not Applicable
15.	Type of assurance obtained	Not Applicable

II Products/services

16 Details of business activities (accounting for 90% of the turnover):

S. No.	Description of Main Activity	Description of Business Activity	% of Turnover of the entity
1	Financial Services	Financial service activities, except insurance and pension funding	100%

17 Products/Services sold by the entity (accounting for 90% of the entity's Turnover):

S. No.	Product/Service	NIC Code	% of total Turnover contributed
1	Investment Banking Services	66190	63.7%
2	Securities Brokerage Services	66120	29.8%

III Operations

18 Number of locations where plants and/or operations/offices of the entity are situated:

Location	Number of plants	Number of offices	Total
National	Not Applicable	4	4
International	Not Applicable	-	-

Note: DAM Capital operates in the financial services sector and is not engaged in any manufacturing activity.

19 Markets served by the entity:

a. Number of locations

Locations	Number
National (No. of States)*	PAN India
International (No. of Countries)	7

**The Company is engaged in the business of capital market intermediation and provides its services across all states and union territories in India.*

b. What is the contribution of exports as a percentage of the total turnover of the entity?

NIL-

Note: DAM Capital operates in the financial services sector and is not engaged in any manufacturing activity.

c. A brief on types of customers

The Company's Investment Banking business primarily serves corporates, promoters, financial sponsors, and institutional investors. It provides strategic advisory and transaction execution services across equity capital markets, mergers and acquisitions, private capital raising, and structured finance transactions. The business supports clients in achieving their growth, fundraising, and strategic objectives through tailored financial solutions.

A research-led broking platform organised across Sales, Sales Trading and Execution, and Corporate Access, serving clients across India, the US, UK, Europe, Hong Kong, Singapore and the Middle East.

IV Employees

20 Details as at the end of the Financial Year:

a. Employees and workers (including differently abled):

S. No.	Particulars	Total A	Male		Female	
			No. B	B/A %	No. C	C/A %
Employees						
1.	Permanent (D)	130	98	75%	32	25%
2.	Other than Permanent (E)	4	4	100%	-	-
3.	Total employees (D+E)	134	102	76%	32	25%
Workers						
4.	Permanent (F)	-	-	-	-	-
5.	Other than Permanent (G)	14	12	86%	2	14%
6.	Total workers (F+G)	14	12	86%	2	14%

b. Differently abled Employees and workers:

S. No	Particulars	Total A	Male		Female	
			No. B	B/A %	No. C	C/A %
Differently abled Employees						
1.	Permanent (D)	-	-	-	-	-
2.	Other than Permanent (E)	-	-	-	-	-
3.	Total differently abled employees (D+E)	-	-	-	-	-
Differently Abled Workers						
4.	Permanent (F)	-	-	-	-	-
5.	Other than permanent (G)	-	-	-	-	-
6.	Total differently abled workers (F+G)	-	-	-	-	-

There were no differently abled employees or workers in the Company during the reporting period. Accordingly, the number of differently abled employees and workers has been reported as Nil.

21 Participation/Inclusion/Representation of women

	Total	No. and percentage of Females	
		No. (B)	% (B/A)
Board of Directors	7	1	14.3%
Key Management Personnel	4	1	25%

Note- Key Managerial Personnel (KMP) includes the WTD, MD & CEO, CFO, and CS.

22 Turnover rate for permanent employees and workers:

	FY Apr 2025 - Mar 2026 (Turnover rate in Current Financial Year)			FY Apr 2024- Mar 2025 (Turnover rate in previous Financial Year)			FY Apr 2023 - Mar 2024 (Turnover rate in year prior to previous Financial Year)		
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Permanent Employees	21.54	13.33	19.61	7.57	11.54	8.44	16.25	34.04	20.29
Permanent Workers	NA								

V. Holding, Subsidiary and Associate Companies (including joint ventures)

23. (a) Names of holding / subsidiary / associate companies / joint ventures

S. No.	Name of the holding / subsidiary/ associate companies / joint ventures (A)	Indicate whether holding/ Subsidiary/ Associate/ Joint Venture	% of shares held by listed entity	Does the entity indicated at column A, participate in the Business Responsibility initiatives of the listed entity? (Yes/No)
1.	DAM Capital (USA) Inc.	Wholly Owned Subsidiary Company	100%	No
2.	DAM Asset Management Limited	Wholly Owned Subsidiary Company	100%	No

IDFC Securities Singapore Pte. Ltd. was dissolved and liquidated on November 16, 2021. The Company has filed an application to RBI for cancellation of Unique Document Identification Number (UDIN). No financial statements of IDFC Securities Singapore Pte. Ltd. is prepared post financial year 2019-2020.

VI. CSR Details

24. (i) Whether CSR is applicable as per section 135 of Companies Act, 2013: Yes

(ii) Turnover (in ₹) – ₹ 236.94 Crores

(iii) Net worth (in ₹) – ₹ 328.21 Crores

VII. Transparency and Disclosures Compliances

25. Complaints/Grievances on any of the principles (Principles 1 to 9) under the National Guidelines on Responsible Business Conduct:

Stakeholder group from whom complaint is received	Grievance Redressal Mechanism in Place (Yes/No) (If Yes, then provide web-link for grievance redress policy)	FY Apr 2025 – Mar 2026 Current Financial Year			FY Apr 2024 – Mar 2025 Previous Financial Year		
		Number of complaints filed during the year	Number of complaints pending resolution at close of the year	Remarks	Number of complaints filed during the year	Number of complaints pending resolution at close of the year	Remarks
Communities	Yes https://www.damcapital.in/files/ investorrelation /638889670247396761_Whistle_Blower_Policy.pdf	Nil	Nil	-	Nil	Nil	-
Investors (other than shareholder)		34	1	All the Complaints were resolved within the due time	18	1	All the Complaints were resolved within the due time
Shareholders	Yes https://www.damcapital.in/static/usefullinks.aspx?sec=Contact-Us	12	Nil	-	1052	Nil	All the Complaints were resolved within the due time
Employees and workers	Yes https://www.damcapital.in/files/ investorrelation /638889670247396761_Whistle_Blower_Policy.pdf	Nil	Nil	-	Nil	Nil	-
Customers		Nil	Nil	-	Nil	Nil	-
Value Chain Partners		Nil	Nil	-	Nil	Nil	-
Other (please specify)	-	Nil	Nil	-	Nil	Nil	-

Note: The substantial decline in the number of shareholders' complaints during FY 2025–26 was primarily driven by the elevated volume of IPO-related shareholders' complaints received in the previous year. Approximately 90% of these complaints pertained to requests for unblocking of IPO application funds.

26. Overview of the entity's material responsible business conduct issues

Please indicate material responsible business conduct and sustainability issues pertaining to environmental and social matters that present a risk or an opportunity to your business, rationale for identifying the same approach to adapt or mitigate the risk along-with its financial implications, as per the following format

S. No.	Material issue identified	Indicate whether risk or opportunity (R/O)	Rationale for identifying the risk / opportunity	In case of risk, approach to adapt or mitigate	Financial implications of the risk or opportunity (Indicate positive or negative implications)
1.	Business Ethics and Transparency	Opportunity	DAM Capital focuses on building stakeholder trust through consistent business conduct and transparent operating practices. This is reflected in its governance frameworks, adherence to regulatory requirements, and clear communication with stakeholders. By maintaining compliance, risk management, and disclosure processes. DAM Capital seeks to support stable relationships with investors, clients, regulators and employees.	DAM Capital maintains a robust governance structure with clearly defined roles and responsibilities across the organization. Dedicated teams and committees support regulatory compliance, proactive risk management, and the effective implementation of a structured grievance redressal mechanism.	Positive: Higher Client retention rates help stabilize revenues over time and provide scope for offering additional services to existing clients.
2.	Corporate Governance and Compliance	Opportunity & Risk	Opportunity: DAM Capital believes that strong corporate governance and compliance systems support the Company's reputation and operational efficiency, while helping to mitigate legal and regulatory risks and enhance overall reliability. Risk: DAM Capital may face risks from evolving regulations and enforcement practices.	DAM Capital mitigates risks by promptly responding to regulatory changes with support from both internal and external teams. Its policies and code of conduct provide guidance on employee behaviour and support ethical decision-making across the organisation.	Positive: Helps increase investor confidence and enhance stability in the Company's operations. Negative: Reputation loss, penalized by the concerned regulatory authorities.
3.	Data Privacy and Security	Opportunity & Risk	Opportunity: With an efficient and capable cyber security team in place, supported by the right technologies and operational practices, the company is well positioned to deliver lasting value to Clients. This strengthens protection against cyber risks, ensures the privacy and security of sensitive stakeholder information, and helps create a trusted environment that supports sustainable business growth. Risk: Safeguarding sensitive data and business information is critical to delivering high-quality services in the financial sector. Any exposure to data breaches, cyber threats, or regulatory lapses could impact DAM Capital's operations, leading to potential loss of Client's trust and business continuity.	The Information Technology Committee and the Risk Management Committee of the Company actively oversee cyber risks and their mitigation through the established cyber risk management framework. The Company continuously works to strengthen data privacy and cyber security to enhance its overall security posture. In addition, all IT systems and related activities are subject to half-yearly internal and external audits to assess the effectiveness of controls and ensure that robust processes are in place.	Positive: Automation of several manual processes has resulted in cost efficiencies, enhanced trust and credibility among all stakeholders, and improved data management practices that help protect and strengthen the Company's brand reputation. Negative: Data breaches can threaten the Company's reputation and may lead to legal actions and financial liabilities.

S. No.	Material issue identified	Indicate whether risk or opportunity (R/O)	Rationale for identifying the risk / opportunity	In case of risk, approach to adapt or mitigate	Financial implications of the risk or opportunity (Indicate positive or negative implications)
4.	Human Capital and Talent Management	Opportunity & Risk	Opportunity: Human capital is a key strategic priority for the Company, and we continuously invest in the growth and development of our employees. We focus on building an inclusive workplace that embraces diversity, fostering innovative practices that drive better business outcomes and enhance employee satisfaction. Risk: Failure in any aspect of talent management could affect the Company's ability to achieve its objectives. Poor employee well-being may lead to higher absenteeism, lower productivity, and increased healthcare costs. Additionally, a lack of diversity and inclusion can make it harder to attract and retain top talent.	DAM Capital fostered a transparent and equal opportunity workplace culture. DAM Capital provides employees with growth and development opportunities and encourages a fair and inclusive working environment.	Positive: The Company can enhance operational efficiency and support the achievement of its overall goals and objectives. Negative: This may result in higher employee turnover, increased recruitment costs and additional training expenses.
5.	Corporate Social Responsibility	Opportunity	DAM Capital believes that profitability must be complemented by a sense of responsibility towards all stakeholders with a view to make a material, visible and lasting difference to the lives of disadvantaged sections of the society, preferably in the immediate vicinity in which the Company operates but at the same time ensure widespread spatial distribution of its CSR activities all over India befitting its status as a conscientious corporate citizen.	The Company values social responsibility and strives to make a positive impact on people's lives. It supports social welfare initiatives in education, digital literacy, nutrition, food security, and animal welfare by contributing to various NGOs and Trusts.	Positive: Commitment to social welfare attract investments opportunities & talent, improves brand image, loyalty and increase Client retention.
6.	Business Risk Continuity	Risk	Lack of effective business continuity planning can expose the organization to significant operational disruptions during crises, including natural disasters or technical failures, potentially leading to financial losses, reputational damage, and service interruptions.	Company has adequate processes and technology in place to make sure business operations can keep running smoothly after any kind of disaster.	Negative: Reputation Loss, Financial Loss, and Client Unsatisfaction
7.	Investor Grievance Management	Opportunity	Timely resolution of investor complaints enhances investor confidence, strengthens stakeholder relationships, and reinforces the Company's reputation for transparency and responsiveness.	The Company has a structured investor grievance redressal framework, supported by a dedicated policy and designated committees responsible for reviewing and resolving different categories of investor complaints. The Company aims to resolve investor grievances within 3–4 days through established escalation and monitoring mechanisms.	Positive: Efficient grievance management can improve investor trust, support business growth, enhance market reputation, and reduce the risk of regulatory scrutiny or associated costs arising from delayed complaint resolution.

S. No.	Material issue identified	Indicate whether risk or opportunity (R/O)	Rationale for identifying the risk / opportunity	In case of risk, approach to adapt or mitigate	Financial implications of the risk or opportunity (Indicate positive or negative implications)
8.	Market fluctuations	Opportunity & Risk	Opportunity: Market fluctuations create significant opportunities for the Company. Increased market volatility generally drives higher trading activity, which can contribute to increased brokerage income. Uncertain market conditions often lead investors and corporates to seek professional guidance, thereby increasing demand for research, investment insights, valuation services, and strategic advisory support. Additionally, changing market dynamics may encourage companies to undertake restructuring, mergers and acquisitions, capital raising, and other corporate actions, creating opportunities for the Company's merchant banking business. The Company's diversified presence across Merchant Banking, Stock Broking, and Research Analysis positions it to capitalize on evolving market conditions and enhance client engagement during periods of market volatility. Risk: As the Company is engaged in Merchant Banking, Stock Broking, and Research Analysis activities, fluctuations in capital markets may adversely affect its business operations and financial performance. Volatile or declining market conditions can negatively impact investor confidence, resulting in lower participation in capital market transactions, reduced deal activity, postponement of fund-raising initiatives, and lower trading volumes. Market uncertainty may also increase the complexity of valuation, forecasting, and investment recommendations, potentially affecting the accuracy of research outputs and client decision-making. Prolonged adverse market conditions may therefore lead to reduced revenue generation across the Company's business segments.	DAM Capital mitigates the impact of market fluctuations through its diversified presence across Merchant Banking, Stock Broking, and Research Analysis businesses. It continuously monitors market conditions, strengthens risk management and compliance practices, enhances research capabilities, and focuses on expanding its client base and service offerings viz M & A, PE Advisory, etc. This diversified and proactive approach helps the Company manage market volatility and capitalize on emerging business opportunities.	Positive: Increased market activity and demand for advisory, broking, and research services may result in higher transaction volumes, increased fee-based income, and improved profitability for the Company. Negative: Market fluctuations may lead to reduced transaction volumes, lower merchant banking deal activity, and decreased brokerage and advisory income, which could adversely impact the Company's revenue and profitability.

SECTION B: MANAGEMENT AND PROCESS DISCLOSURES

This section is aimed at helping businesses demonstrate the structures, policies and processes put in place towards adopting the NGRBC Principles and Core Elements.

	Disclosure Questions	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
	Policy and management processes									
1.	a. Whether your entity's policy/policies cover each principle and its core elements of the NGRBCs. (Yes/No)	Yes, the Company has various policies and processes that cover the principles and core elements of the National Guidelines on Responsible Business Conduct (NGRBCs), as applicable to its business operations. These include the Investor Grievance Policy/Process, Cyber Security and Cyber Resilience Policy, Code of Conduct for Prohibition of Insider Trading, Whistle Blower Policy, Anti-Corruption & Bribery Policy and Anti-Money Laundering Policy. These policies support ethical business conduct, transparency, data security, regulatory compliance, stakeholder protection and responsible governance practices across the organization.								
	b. Has the policy been approved by the Board? (Yes/No)1	Yes, Policies have been approved by the Board/ Committee/ Senior Management of the Company								
	c. Web Link of the Policies, if available	The Company's corporate policies are available on its official website at: https://www.damcapital.in/static/investor-relation.aspx. Certain policies are intended for internal governance purposes and are therefore accessible only to employees and other authorized internal stakeholders.								
2.	Whether the entity has translated the policy into procedures. (Yes / No)	Yes								
3.	Do the enlisted policies extend to your value chain partners? (Yes/No)	Yes, the Company's Whistle Blower Policy extends to value chain partners, wherever applicable. The mechanism enables stakeholders to report concerns relating to unethical behavior, misconduct, fraud or violations of applicable laws and Company policies, thereby supporting transparency and accountability across business relationships.								
4.	Name of the national and international codes/ certifications/labels/ standards (e.g. Forest Stewardship Council, Fairtrade, Rainforest Alliance, Trustee) standards (e.g. SA 8000, OHSAS, ISO, BIS) adopted by your entity and mapped to each principle	Not Applicable; as the company has not adopted any specific national or international sustainability-related codes, certifications, labels, or standards mapped to the NGRBC Principles. The Company operates under various regulatory registrations and licenses, including Merchant Banker, Stockbroker, and Research Analyst registrations, as applicable.								
5.	Specific commitments, goals and targets set by the entity with defined timelines, if any.	Business Commitment: Our philosophy has always been to remain sector agnostic, partnering with high-quality businesses and business owners across industries and transaction sizes. Investment Banking will continue to remain the cornerstone of our franchise, complemented by our Institutional Equities and Advisory businesses, allowing us to support clients through their growth journey. At the same time, we are evaluating complementary fee-based businesses that can diversify our earnings profile and strengthen the resilience of our business. The core principle around building adjacencies is to consistently complement and improve the existing business mix and improve the frequency and predictability of cash flows. Commitment towards Society and Environment: The Company is committed to maintaining a safe, inclusive and equitable workplace environment and follows a zero-tolerance approach towards discrimination based on gender, race, caste, religion, marital status, disability or any other category. The Company values social responsibility and strives to make a positive impact on people's lives. It supports social welfare initiatives in educational and digital literacy, nutrition , food security, and animal welfare, by contributing to various NGOs and Trusts.								

	Disclosure Questions	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
6.	Performance of the entity against specific commitments, goals and targets along-with reasons in case the same are not met.	1. Inclusive Growth - Through its Corporate Social Responsibility (CSR) initiatives, the Company has contributed towards promoting education, skill development, rural development, and environmental sustainability, thereby supporting inclusive and sustainable growth. 2. Employee Well-being and Development - The Company arranging wellness programs and promoting work-life balance. Focusing on strengthening employee capabilities by providing comprehensive training programmes, professional development opportunities, and access to e-learning platforms. Such initiatives are designed to enhance employee productivity, improve performance, and support long-term talent retention.								
	Governance, leadership and oversight									
7.	Statement by director responsible for the business responsibility report, highlighting ESG related challenges, targets and achievements (listed entity has flexibility regarding the placement of this disclosure) At DAM Capital, we believe that responsible business conduct and sustainable value creation are fundamental to our long-term growth strategy. Our strategic clarity, execution & excellence and strong governance will enable DAM Capital to deliver consistent performance and create lasting value for our stakeholders. The changing ESG landscape presents both opportunities and challenges for our business. We remain committed to embedding environmental, social, and governance principles into our business strategy and decision-making, with a continued focus on encouraging digital and paperless operations, optimizing electricity consumption, undertaking community development initiatives, investing in employee capability building, and fostering an environment in which people can live and work safely, inclusively, and responsibly. We believe that sustainable and responsible business practices strengthen our resilience and enhance the trust among our clients, investors, employees, regulators, and the wider community. The Board and the Management remain committed to embedding ESG principles across our operations and creating sustainable value for all stakeholders while contributing positively to the development of India's financial markets.									
8.	Details of the highest authority responsible for implementation and oversight of the Business Responsibility policy (ies).	Mr. Dharmesh Anil Mehta Managing Director and Chief Executive Officer DIN: 06734366								
9.	Does the entity have a specified Committee of the Board/ Director responsible for decision making on sustainability related issues? (Yes / No). If yes, provide details.	Yes, the Corporate Social Responsibility Committee and Risk Management Committee oversees the development and implementation on the sustainability related initiatives and matters.								

10. Details of Review of NGRBCs by the Company:

Subject for Review	Indicate whether review was undertaken by Director / Committee of the Board/ Any other Committee									Frequency (Annually/ Half yearly/ Quarterly/ Any other – please specify)								
	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
Performance against above policies and follow up action	Policies are reviewed and approved by the Board/ Committees at periodic intervals in terms of the statutory requirements and depending on the frequency stated in respective policies and necessary updates are made to the policies and published on the website of the Company as may be required.																	
Compliance with statutory requirements of relevance to the principles, and rectification of any non-compliances	The Company ensures adherence to all statutory requirements in line with the principles and takes appropriate corrective action to address any non-compliance.																	

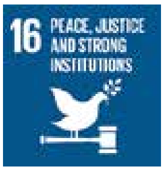
	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
11. Has the entity carried out independent assessment/ evaluation of the working of its policies by an external agency? (Yes/No). If yes, provide the name of the agency.	Yes, all policies and processes are subject to internal audits conducted by the Independent Internal Auditor. The Company has engaged Price water house Coopers Services LLP to review existing policies, identify gaps, and provide recommendations for the development of new or enhanced policies. The respective departments also undertake periodic reviews and updates of these policies to ensure ongoing compliance with the regulatory changes and operational effectiveness.								

12. If answer to question (1) above is "No" i.e. not all Principles are covered by a policy, reasons to be stated:

Questions	P 1	P 2	P 3	P 4	P 5	P 6	P 7	P 8	P 9
The entity does not consider the principles material to its business (Yes/No)	Not Applicable. The Company has adopted policies and frameworks that collectively address all nine NGRBC Principles; therefore, no Principle remains uncovered.								
The entity is not at a stage where it is in a position to formulate and implement the policies on specified principles (Yes/No)									
The entity does not have the financial or/human and technical resources available for the task (Yes/No)									
It is planned to be done in the next financial year (Yes/No)									
Any other reason (please specify)									

SECTION C: PRINCIPLE WISE PERFORMANCE DISCLOSURE

PRINCIPLE 1: Businesses should conduct and govern themselves with integrity, and in a manner that is Ethical, Transparent and Accountable.



Essential Indicators

1. Percentage coverage by training and awareness programs on any of the principles during the financial year:

Segment	Total number of training and awareness programs held	Topics/principles covered under the training and its impact	%age of persons in respective categories covered by the awareness Programs
Board of Directors	1	The Familiarization Programme covered corporate governance, ethical conduct, regulatory compliance, stakeholder management, risk management, business strategy, and the Company's operational and financial performance. The programme strengthens directors' oversight capabilities and promotes informed decision-making in line with the principles of ethical governance, accountability, transparency, and stakeholder responsiveness.	100%
Key Managerial Personnel	1		100%
Employees other than Board of Directors and KMPs	1	Compliance awareness training including Prohibition of Insider Trading and Anti – Money Laundering	97%
Workers	-	-	-

2. Details of fines / penalties /punishment/ award/ compounding fees/ settlement amount paid in proceedings (by the entity or by directors / KMPs) with regulators/ law enforcement agencies/ judicial institutions, in the financial year, in the following format (Note: the entity shall make disclosures on the basis of materiality as specified in Regulation 30 of SEBI (Listing Obligations and Disclosure Obligations) Regulations, 2015 and as disclosed on the entity's website):

	Monetary				
	NGRBC Principle	Name of the regulatory / enforcement agencies/ judicial institutions	Amount (In INR)	Brief of the Case	Has an appeal been preferred? (Yes/No)
Penalty/Fine	-	-	-	-	-
Settlement					
Compounding Fee					

	Non-Monetary			
	NGRBC Principle	Name of the regulatory/ enforcement agencies/ judicial institutions	Brief of the Case	Has an appeal been preferred? (Yes/No)
Imprisonment	-	-	-	-
Punishment				

Note: The Exchange had levied certain operational charges / fines /penalties which are administrative in nature, in connection with the day-to-day broking operations of the Company.

3. Of the instances disclosed in Question 2 above, details of the Appeal/ Revision preferred in cases where monetary or non-monetary action has been appealed:

Case Details	Name of the regulatory/ enforcement agencies/ judicial institutions
Not Applicable	

4. Does the entity have an anti-corruption or anti-bribery policy? If yes, provide details in brief and if available, provide web-link to the policy.

DAM Capital has an Anti-Corruption and Anti-Bribery Policy that outlines the company's commitment to conducting business ethically and with integrity. The policy prohibits employees from offering, accepting, or soliciting bribes, inducements, or facilitation payments in any form. It also provides guidelines on acceptance of gifts and hospitality, charitable donations, dealings with third-party vendors, and reporting of unethical conduct. Employees are encouraged to report any suspected incidents of corruption or bribery to the HR or Compliance Department. The Company also undertakes awareness and training initiatives to promote compliance with anti-corruption practices across the organization.

Key features of the policy include:

- Restriction on acceptance of gifts above INR 6,000 unless declared to reporting manager and HR.
- Prohibition on inducements, and facilitation payments ("speed money").
- Requirement for third-party vendors to comply with the Company's Code of Conduct.
- Awareness sessions and training conducted by HR/Compliance teams on anti-corruption practices.

The policy is applicable to all employees and third-party vendors associated with the Company.

5. Number of Directors/KMPs/employees/workers against whom disciplinary action was taken by any law enforcement agency for the charges of bribery/corruption:

	FY Apr 2025 – Mar 2026 (Current Financial Year)	FY Apr 2024 – Mar 2025 (Previous Financial Year)
Directors	-	-
KMPs	-	-
Employees	-	-
Workers	Not applicable	

6. **Details of complaints with regard to conflict of interest:**

	FY Apr 2025 – Mar 2026 (Current Financial Year)		FY Apr 2024 – Mar 2025 (Previous Financial Year)	
	Number	Remarks	Number	Remarks
Number of complaints received in relation to issues of Conflict of Interest of the Directors	-	-	-	-
Number of complaints received in relation to issues of Conflict of Interest of the KMP	-	-	-	-

7 **Provide details of any corrective action taken or underway on issues related to fines / penalties / action taken by regulators/ law enforcement agencies/ judicial institutions, on cases of corruption and conflicts of interest.**

Not Applicable

8. **Number of days of accounts payables (Accounts payable *365) / Cost of goods/services procured) in the following format:**

Particulars	Apr 2025 – Mar 2026 (Current Financial Year)	Apr 2024 – Mar 2025 (Previous Financial Year)
Number of days of accounts payables	43	6

9. **Open-ness of business**

Provide details of concentration of purchases and sales with trading houses, dealers, and related parties along-with loans and advances & investments, with related parties, in the following format:

Parameter	Metrics	Apr 2025 – Mar 2026 (Current Financial Year)	Apr 2024 –Mar 2025 (Previous Financial Year)
Concentration of Purchases	a. Purchases from trading houses as % of total purchases	-	-
	b. Number of trading houses where purchases are made from	-	-
	c. Purchases from the top 10 trading houses as % of total purchases from trading houses.	-	-
Concentration of Sales	a. Sales to dealers/distributors as % of total sales	-	-
	b. Number of dealers/ distributors to whom sales are made	-	-
	c. Sales from top 10 dealers/distributors as % of total sales to dealers/ distributors	-	-
Share of RPTs in	a. Purchases (Purchases with related parties/ total Purchases)	-	-
	b. Sales (Sales with related parties/ total Sales)	-	-
	c. Loans & advances (Loans & advances given to related parties/ Total loans & advances	-	-
	d. Investments (Investments in related parties/ Total Investments made)	-	1,00,000

Leadership Indicators

1. **Awareness programmes conducted for value chain partners on any of the principles during the financial year:**

Total number of awareness programmes held	Topics / principles covered under the training	%age of value chain partners covered (by value of business done with such partners) under the awareness programmes
-		

Note: No training or awareness programmes were conducted for value chain partners during FY 2025–26. The Company intends to introduce such initiatives as part of its future sustainability and stakeholder engagement efforts.

2. Does the entity have processes in place to avoid/ manage conflict of interests involving members of the Board? (Yes/No) If yes, provide details of the same.

Yes, the Company has established a Code of Conduct and Conflict of Interest framework applicable to the Board of Directors, Senior Management Personnel and employees. The framework requires disclosure and management of actual or potential conflicts of interest, including those relating to outside business interests, personal investments, dealings with relatives, vendor relationships, acceptance of gifts or benefits, use of confidential information and personal financial transactions.

Board Members provide disclosures of their interests annually, at the first Board Meeting of each financial year, and whenever there are changes in previously disclosed interests, in line with applicable laws and regulations. Directors abstain from participating in discussions and decision-making on matters in which they have an interest.

To support effective conflict management, more than 50% of the Board comprises Independent Directors and Board Committees are generally composed with a majority of Independent Directors and chaired by an Independent Director. Employees are required to disclose actual or perceived conflicts to the Department Head, Human Resources representative or Compliance function.

The Company also has policies relating to insider trading, anti-corruption, anti-money laundering and whistleblower mechanisms to support ethical conduct, transparency and accountability in business practices.

PRINCIPLE 2: Businesses should provide goods and services in a manner that is sustainable and safe

12 RESPONSIBLE CONSUMPTION AND PRODUCTION

13 CLIMATE ACTION

Essential Indicators

1. Percentage of R&D and capital expenditure (capex) investments in specific technologies to improve the environmental and social impacts of product and processes to total R&D and capex investments made by the entity, respectively.

	Current Financial Year FY Apr 2025 – Mar 2026	Previous Financial Year FY Apr 2024 – Mar 2025	Details of Improvements in environmental and social impacts
R&D	-	-	-
Capex	-	-	-

2. a. Does the entity have procedures in place for sustainable sourcing? No.

b. If yes, what percentage of inputs were sourced sustainably?

Not Applicable. In view of the nature of the Company's business as a financial services provider, sustainable sourcing is not considered a material aspect of its operations. The Company neither procures raw materials nor undertakes manufacturing activities that would necessitate the adoption of a formal sustainable sourcing framework.

3. Describe the processes in place to safely reclaim your products for reusing, recycling and disposing at the end of life, for (a) Plastics (including packaging) (b) E-waste (c) Hazardous waste and (d) other waste.

Not Applicable

4. Whether Extended Producer Responsibility (EPR) is applicable to the entity's activities (Yes/No). If yes, whether the waste collection plan is in line with the Extended Producer Responsibility (EPR) plan submitted to Pollution Control Boards? If not, provide steps taken to address the same.

Not Applicable

Leadership Indicators

1. Has the entity conducted Life Cycle Perspective / Assessments (LCA) for any of its products (for manufacturing industry) or for its services (for service industry)? If yes, provide details in the following format?

Given that the Company is in the financial services sector, this indicator is not applicable

2. **If there are any significant social or environmental concerns and/or risks arising from production or disposal of your products / services, as identified in the Life Cycle Perspective / Assessments (LCA) or through any other means, briefly describe the same along-with action taken to mitigate the same.**
   Not Applicable

3. **Percentage of recycled or reused input material to total material (by value) used in production (for manufacturing industry) or providing services (for service industry).**
   Not Applicable.

4. **Of the products and packaging reclaimed at end of life of products, amount (in metric tonnes) reused, recycled, and safely disposed, as per the following format:**
   Not Applicable

5. **Reclaimed products and their packaging materials (as percentage of products sold) for each product category.**
   Not applicable.

PRINCIPLE 3: Businesses should respect and promote the well-being of all employees, including those in their value chains

Essential Indicators

1. a. **Details of measures for the well-being of employees:**

Category	% of employees covered by										
	Total (A)	Health insurance		Accident insurance		Maternity benefits		Paternity Benefits		Day Care facilities	
		Number (B)	% (B / A)	Number (C)	% (C / A)	Number (D)	% (D / A)	Number (E)	% (E / A)	Number (F)	% (F / A)
Permanent employees											
Male		98	100%	98	100%	0	NA	98	100%	0	0
Female		32	100%	32	100%	32	100%	0	NA	0	0
Total		130	100%	130	100%	32	100%	98	100%	0	0
Other than Permanent employees											
Male		-	-	-	-	-	-	-	-	-	-
Female		-	-	-	-	-	-	-	-	-	-
Total		-	-	-	-	-	-	-	-	-	-

b. **Details of measures for the well-being of workers:**

Category	% of workers covered by										
	Total (A)	Health insurance		Accident insurance		Maternity benefits		Paternity Benefits		Day Care facilities	
		Number (B)	% (B/A)	Number (C)	% (C/A)	Number (D)	% (D/A)	Number (E)	% (E/A)	Number (F)	% (F/A)
Permanent workers											
Male	-	-	-	-	-	-	-	-	-	-	-
Female	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-

Category	% of workers covered by											
	Total (A)	Health insurance		Accident insurance		Maternity benefits		Paternity Benefits		Day Care facilities	
		Number (B)	% (B/A)	Number (C)	% (C/A)	Number (D)	% (D/A)	Number (E)	% (E/A)	Number (F)	% (F/A)
Other than Permanent workers											
Male	-	-	-	-	-	-	-	-	-	-	-
Female	-	-	-	-	-	-	-	-	-	-	-
Total	-	-	-	-	-	-	-	-	-	-	-

c. **Spending on measures towards well-being of employees and workers (including permanent and other than permanent) in the following format –**

	FY Apr 2025 - Mar 2026 Current Financial Year	FY Apr 2024 – Mar 2025 Previous Financial Year
Cost incurred on well-being measures as a % of total revenue of the company	0.58%	0.25%

Note:

2. **Details of retirement benefits, for Current FY and Previous Financial Year.**

	FY Apr 2025 - Mar 2026 Current Financial Year			FY Apr 2024 – Mar 2025 Previous Financial Year		
Benefits	**No. of employees covered as a % of total employees**	**No. of workers covered as a % of total workers**	**Deducted and deposited with the authority (Y/N/N.A.)**	**No. of employees covered as a % of total employees**	**No. of workers covered as a % of total workers**	**Deducted and deposited with the authority (Y/N/N.A.)**
PF	98%	0	Yes	98%	0	Yes
Gratuity	100%	0	Yes	100%	0	Yes
ESI	0	0	0	0	0	0

3. **Accessibility of workplaces: Are the premises / offices of the entity accessible to differently abled employees and workers, as per the requirements of the Rights of Persons with Disabilities Act, 2016? If not, whether any steps are being taken by the entity in this regard.**

   Yes, the Company's premises/offices are designed to be accessible to differently abled employees and workers in line with the requirements of the Rights of Persons with Disabilities Act, 2016. The facilities include accessible washrooms, ramps, lifts, and supportive fixtures such as tilted mirrors to enable ease of access and usability within the workplace.

4. **Does the have an equal opportunity policy as per the Rights of Persons with Disabilities Act, 2016? If so, provide a web link to the policy.**

   Yes. Our Equal Opportunity Employer Policy expresses the Company's commitment to promote equality and conduct its business according to principles of social justice, respect and freedom of expression. It is the policy of the Company to take all reasonable steps to employ and promote employees on the basis of their abilities and qualifications.

5. **Return to work and Retention rates of permanent employees and workers that took parental leave.**

	Permanent Employees		Permanent Workers	
Gender	**Return to work rate**	**Retention Rate**	**Return to work rate**	**Retention Rate**
Male	100%	-	-	-
Female	0%	0%	0%	0%
Total	100%	0%	0%	0%

6. **Is there a mechanism available to receive and redress grievances for the following categories of employees and worker? If yes, give details of the mechanism in brief.**

Yes

Yes/No (If Yes, then give details of the mechanism in brief)	
Permanent Workers	Not Applicable
Other than Permanent Workers	The Company has implemented a Whistle Blower Policy and Vigil Mechanism through which employees, directors, and stakeholders can report concerns regarding unethical practices, suspected fraud, or violations of applicable laws and internal policies. The mechanism ensures confidentiality, protection against retaliation, and timely investigation of grievances, with provision for direct access to the Chairperson of the Audit Committee in exceptional circumstances.
Permanent Employees	
Other than Permanent Employees	

7. **Membership of employees and worker in association(s) or Unions recognized by the listed entity:**

Nil

	FY Apr 2025 – Mar 2026 (Current Financial Year)			FY Apr 2024 – Mar 2025 (Previous Financial Year)		
Category	**Total employees / workers in respective category (A)**	**No. of employees / workers in respective category, who are part of association(s) or Union (B)**	**% (B/ A)**	**Total employees / workers in respective category (C)**	**No. of employees / workers in respective category, who are part of association(s) or Union (D)**	**% (D/ C)**
Total Permanent Employees	Not Applicable					
-Male						
-Female						
Total Permanent Workers						
-Male						
-Female						

8. **Details of training given to employees and workers:**

Category	**FY Apr 2025 – Mar 2026**					**FY Apr 2024 – Mar 2025**				
	Total (A)	**On Health and safety measures**		**On Skill Upgradation**		**Total (D)**	**On Health and safety measures**		**On Skill upgradation**	
		No. (B)	**% (B/A)**	**No. (C)**	**% (C/A)**		**No. (E)**	**% (E / D)**	**No. (F)**	**% (F/D)**
Employees										
Male		-	-				-	-		
Female		-	-				-	-		
Total		-	-				-	-		
Workers										
Male		-	-				-	-		
Female		-	-				-	-		
Total		-	-				-	-		

9. **Details of performance and career development reviews of employees and worker:**

Category	**FY Apr 2025 – Mar 2026 Current Financial Year**			**FY Apr 2024 – Mar 2025 Previous Financial Year**		
	Total (A)	**No. (B)**	**% (B / A)**	**Total (C)**	**No. (D)**	**% (D / C)**
Employees						
Male	98	89	90.82%	98	94	95.91%
Female	32	25	78.13%	27	26	96.30%
Total	130	114	87.69%	125	120	96%
Workers						
Male						
Female						
Total						

10. Health and safety management system:

a. Whether an occupational health and safety management system has been implemented by the entity? (Yes/ No). If yes, the coverage such system?

Yes. A comprehensive Occupational Health & Safety Management System has been implemented at the facility and is aligned with legal requirements and international best practices. The system includes documented EHS policies, Emergency Response Plans (ERP), Permit-to-Work procedures, contractor safety management, fire and life safety management, incident reporting and investigation processes, safety training programmes, emergency response teams, and regular management reviews.

b. What are the processes used to identify work-related hazards and assess risks on a routine and non-routine basis by the entity?

A structured Hazard Identification and Risk Assessment (HIRA) process is implemented for routine and non-routine activities. Workplace inspections, fire safety assessments, electrical safety inspections, diesel yard inspections, contractor activity reviews, emergency preparedness assessments, and periodic safety audits are conducted to identify hazards and implement appropriate control measures. Risk assessments are reviewed periodically and whenever significant changes occur.

c. Whether you have processes for workers to report the work-related hazards and to remove themselves from such risks. (Y/N)

Yes. Multiple channels are available for reporting hazards, unsafe conditions, near misses, and incidents, including EHS observations, safety committee meetings, email communications, and the facility helpdesk system. Reported concerns are investigated, corrective and preventive actions are tracked to closure, and lessons learned are communicated. Emergency contact information, evacuation procedures, and assembly points are prominently displayed throughout the facility.

d. Do the employees/ worker of the entity have access to non-occupational medical and healthcare services? (Yes/ No)

Yes. Employees have access to non-occupational medical and healthcare services through the Company's employee welfare and insurance benefits. These include health insurance coverage, personal accident insurance, and maternity and paternity benefits. The Company does not have any workers on its payroll.

11. Details of safety related incidents, in the following format:

Safety Incident/Number	Category	FY Apr 2025 – Mar 2026	FY Apr 2024 – Mar 2025
Lost Time Injury Frequency Rate (LTIFR) (per one million-person hours worked)	Employees	-	-
	Workers	-	-
Total recordable work-related injuries	Employees	-	-
	Workers	-	-
No. of fatalities	Employees	-	-
	Workers	-	-
High consequence work-related injury or ill-health (excluding fatalities)	Employees	-	-
	Workers	-	-

12. Describe the measures taken by the entity to ensure a safe and healthy workplace.

The Company promotes a safe and healthy workplace through regular safety inspections, fire drills, preventive maintenance programmes, contractor safety monitoring, employee awareness initiatives, and emergency preparedness exercises. Additional measures include the provision of first-aid facilities, maintenance of firefighting systems, indoor environmental quality monitoring, pest control management, housekeeping inspections, and ongoing compliance monitoring. Continuous improvement initiatives are also undertaken to enhance workplace health, safety, and wellbeing.

13. Number of Complaints on the following made by employees and workers:

	FY Apr 2025 – Mar 2026			FY Apr 2024 – Mar 2025		
	Filed during the year	Pending resolution at the end of year	Remarks	Filed during the year	Pending resolution at the end of year	Remarks
Working Conditions	-	-	-	-	-	-
Health & Safety	-	-	-	-	-	-

14. Assessments for the year:

	% of your plants and offices that were assessed (by entity or statutory authorities or third parties)
Health and safety practices	25%
Working Conditions	25%

15. Provide details of any corrective action taken or underway to address safety-related incidents (if any) and on significant risks / concerns arising from assessments of health & safety practices and working conditions.

Not Applicable

Leadership Indicators

1. Does the entity extend any life insurance or any compensatory package in the event of death of (A) Employees (Y/N) (B) Workers (Y/N).

Yes. The Company provides compensatory benefits in the event of an employee's death through a Group Term Life Insurance Plan and a Personal Accident Insurance Plan, which offer financial support to the designated beneficiaries in accordance with the terms and conditions of the respective policies. This benefit is applicable to employees; workers are not covered, as the Company does not have any workers on its payroll.

2. Provide the measures undertaken by the entity to ensure that statutory dues have been deducted and deposited by the value chain partners.

The Company has not yet identified its value chain partners and, therefore, does not currently have a dedicated grievance redressal mechanism in place for value chain partners.

3. Provide the number of employees / workers having suffered high consequence work- related injury / ill-health / fatalities (as reported in Q11 of Essential Indicators above), who have been are rehabilitated and placed in suitable employment or whose family members have been placed in suitable employment:

Nil

4. Does the entity provide transition assistance programs to facilitate continued employability and the management of career endings resulting from retirement or termination of employment? (Yes/ No)

The Company does not currently have any formal transition assistance programmes in place to facilitate continued employability or support career transitions arising from retirement or termination of employment.

5. Details on assessment of value chain partners:

	% of value chain partners (by value of business done with such partners) that were assessed
Health and safety practices	Not Applicable
Working Conditions	Not Applicable

6. Provide details of any corrective actions taken or underway to address significant risks / concerns arising from assessments of health and safety practices and working conditions of value chain partners. Not Applicable

PRINCIPLE 4: Businesses should respect the interests of and be responsive to all its stakeholders

Essential Indicators

1. Describe the processes for identifying key stakeholder groups of the entity.

The Company identifies its key stakeholder groups based on the nature of its business operations, the extent of stakeholder engagement, regulatory responsibilities, and the impact of its activities on various stakeholders. Stakeholders are identified through ongoing interactions, business relationships, statutory and regulatory requirements, operational dependencies, and the Company's commitment to responsible business practices.

Accordingly, the Company has identified key stakeholder groups including shareholders/investors, clients, vendors, regulators, employees, and communities benefiting from CSR initiatives. The Company engages with these stakeholders through various formal and informal channels such as investor communications, client servicing and feedback mechanisms, vendor interactions, employee engagement platforms, regulatory filings and compliance processes, and community development initiatives. These engagements help the Company understand stakeholder expectations, assess material concerns, and strengthen long-term relationships built on transparency, ethics and accountability.

2. List stakeholder groups identified as key for your entity and the frequency of engagement with each stakeholder group.

Stakeholder Groups	Whether identified as Vulnerable & Marginalized Group (Yes/No)	Channels of communication (Email, SMS, Newspaper, Pamphlets, Advertisement, Community Meetings, Notice Board, Website, Other)	Frequency of engagement (Annually/ Half yearly/ Quarterly / others – please specify)	Purpose and scope of engagement including key topics and concerns raised during such engagement
Employees	No	1. Emails and Meetings 2. Employee Feedback 3. Employee Training and Capacity Building Programs 4. Employee Performance Review & recognition 5. Leadership connects & townhalls	Frequent and as need based	1. Career Programs 2. Talent Management & Employee Participation 3. Learning Opportunities 4. Compensation Structure 5. Improving Diversity 6. Safety Culture & inculcating safe work practices among employees
Shareholders	No	1. Investor Presentation 2. Press Release 3. Financial Results 4. Annual Report 5. Investor Grievance Redressal 6. Stock Exchange Intimations 7. Regulatory Disclosures	Quarterly, Annually, and as need based	1. Business Performance 2. Compliance updates 3. Resolving the Investor Grievances
Clients	No	1. Conference Calls 2. Client Visit 3. Relationship Meeting & Reviews 4. Emails, Calls, Apps and more	Frequent and as need based	1. Grievances 2. Advisory 3. Data Protection 4. Product Specialization 5. Service Quality
Suppliers/Vendors	No	1. Emails 2. Calls	Frequent and as need based	1. Ensure Statutory Compliance 2. Contract Commercial custodial services 3. Discuss on Technical Terms & Conditions 4. Stay informed about the Company's developments
Government & Regulatory Bodies	No	1. Submission of Forms/ Reports / Returns 2. Stock Exchange Filings 3. Various Inspection by the regulators 4. Emails/ letters 5. Webinar / Seminars	Frequent and as need based	1. Clarifications on queries 2. Discussion on various regulations, amendment, policies, processes, corporate governance & compliance Standards 3. Adherence with applicable laws.

Stakeholder Groups	Whether identified as Vulnerable & Marginalized Group (Yes/No)	Channels of communication (Email, SMS, Newspaper, Pamphlets, Advertisement, Community Meetings, Notice Board, Website, Other)	Frequency of engagement (Annually/ Half yearly/ Quarterly / others – please specify)	Purpose and scope of engagement including key topics and concerns raised during such engagement
Community &NGO	Yes	CSR initiatives are managed and communicated through the implementing agencies.	Frequent and as need based	Monitoring, evaluating and Implementing CSR projects & activities, ensure alignment with community needs and expectations

Leadership Indicators

1. **Provide the processes for consultation between stakeholders and the Board on economic, environmental, and social topics or if consultation is delegated, how is feedback from such consultations provided to the Board.**
   Not relevant due to nature of the business activity

2. **Whether stakeholder consultation is used to support the identification and management of environmental and social topics (Yes / No). If so, provide details of instances as to how the input received from stakeholders on these topics was incorporated into policies and activities of the entity.**
   Not relevant due to nature of the business activity

3. **Provide details of instances of engagement with, and actions taken to, address the concerns of vulnerable/ marginalized stakeholder groups.**
   Not relevant due to nature of the business activity

PRINCIPLE 5: Businesses should respect and promote Human Rights

Essential Indicators

1. **Employees and workers who have been provided training on human rights issues and policy(ies) of the entity, in the following format:**

Category	FY Apr 2025 – Mar 2026			FY Apr 2024 – Mar 2025		
	Total (A)	No. of employees / workers covered (B)	% (B / A)	Total (C)	No. of employees /workers covered (D)	% (D / C)
Employees						
Permanent	130	130	100%	125	125	100%
Other than permanent	4	4	100%	4	4	100%
Total Employees	134	134	100%	129	129	100%
Workers						
Permanent	-	-	-	-	-	-
Other than permanent						
Total Workers	-	-	-	-	-	-

Note: The Company does not have a separate Human Rights Policy or standalone human rights training programme. Human rights-related principles are embedded within the Code of Conduct and are communicated through the mandatory induction and compliance training provided to employees.

2. Details of minimum wages paid to employees and workers, in the following format:

Category	FY Apr 2025 – Mar 2026					FY Apr 2024 – Mar 2025				
	Total (A)	Equal to Minimum Wage		More than Minimum Wage		Total (D)	Equal to Minimum Wage		More than Minimum Wage	
		No. (B)	% (B/ A)	No. (C)	% (C/A)		No. (E)	% (E/ D)	No. (F)	% (F/D)
Employees										
Permanent										
Male	98	-	-	98	100%	98	-	-	98	100%
Female	32	-	-	32	100%	27	-	-	27	100%
Other Permanent than										
Male	4	-	-	4	100%	4	-	-	4	100%
Female	0	-	-	0	100%	0	-	-	0	0
Workers										
Permanent	Not Applicable									
Male										
Female										
Other Permanent than										
Male										
Female										

3. Details of remuneration/salary/wages, in the following format:

a. Median remuneration / wages

	Male		Female	
	Number	Median remuneration/ salary/ wages of respective category	Number	Median remuneration/ salary/ wages of respective category
Board of Directors (BoD)	6	3312500	1	2650000
Key Managerial Personnel	3	12500000	1	5000000
Employees other than BoD and KMP	95	2500000	31	1870200
Permanent Workers	Not Applicable			

Note:

b. Gross wages paid to females as % of total wages paid by the entity, in the following format:

	FY Apr 2025 – Mar 2026	FY Apr 2024 – Mar 2025
Gross wages paid to females as % of total wages	13%	13%

4. Do you have a focal point (Individual/ Committee) responsible for addressing human rights impacts or issues caused or contributed to by the business? (Yes/No).

No, The Company does not have a dedicated individual or committee specifically responsible for addressing human rights impacts or issues. Such matters, if any, are initially handled by the Human Resources team and are escalated to the management and appropriate higher authorities based on the nature, severity, and materiality of the issue for further review and resolution.

5. Describe the internal mechanisms in place to redress grievances related to human rights issues.

The Company does not have a separate Human Rights Policy. Human rights-related principles are embedded within the Code of Conduct and other applicable policies. Any concerns or grievances relating to human rights matters are addressed through the grievance redressal mechanisms prescribed under the Code of Conduct, Whistle Blower Policy, Prevention of Sexual Harassment (POSH) Policy, and other relevant internal policies, as applicable.

6. **Number of Complaints on the following made by employees and workers:**

	FY Apr 2025 – Mar 2026 Current Financial Year			FY Apr 2024 – Mar 2025 Previous Financial Year		
	Filed during the year	**Pending resolution at the end of year**	**Remarks**	**Filed during the year**	**Pending resolution at the end of year**	**Remarks**
Sexual Harassment	-	-	-	-	-	-
Discrimination at workplace	-	-	-	-	-	-
Child Labour	Not Applicable					
Forced Labour / Involuntary Labour						
Wages						
Another human rights related issues	-	-	-	-	-	-

7. **Complaints filed under the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013, in the following format:**

	FY Apr 2025 – Mar 2026 Current Financial Year	FY Apr 2024 – Mar 2025 Previous Financial Year
Total Complaints reported under Sexual Harassment on of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 (POSH)	-	-
Complaints on POSH as a % of female employees / workers	-	-
Complaints on POSH upheld	-	-

8. **Mechanisms to prevent adverse consequences to the complainant in discrimination and harassment cases.**

The Company has established mechanisms under its POSH Policy and other grievance redressal frameworks to protect complainants from adverse consequences arising from the reporting of discrimination or harassment concerns. Complaints are handled through a structured and confidential process by the Internal Committee, with strict safeguards to protect the identity of the complainant, respondent, witnesses, and other information related to the proceedings. The Policy provides for interim relief measures, where required, including transfer of either party, leave for the aggrieved employee, and other actions necessary to maintain a safe and conducive work environment. Further, the Internal Committee undertakes periodic follow-up to ensure that offensive behaviour has ceased and that no retaliation, victimization, discrimination, or unfair treatment is faced by any party involved in the complaint process.

9. **Do human rights requirements form part of your business agreements and contracts?**

No. Human rights requirements do not currently form part of the Company's standard business agreements and contracts. However, the Company remains committed to conducting its business in a lawful, ethical and responsible manner, in line with applicable regulatory requirements and corporate governance practices.

10. **Assessments for the year:**

	% of your plants and offices that were assessed (by entity or statutory authorities or third parties)
Child Labour	NIL
Forced Labour	NIL
Sexual Harassment	NIL
Discrimination at workplace	NIL
Wages	NIL
Others	

11. Provide details of any corrective actions taken or underway to address significant risks / concerns arising from the assessments at Question 10 above.

Leadership Indicators

1. Details of a business process being modified / introduced as a result of addressing human rights grievances/complaints.

No business processes were modified or introduced during the reporting period as a result of addressing human rights grievances or complaints. The Company continues to monitor its policies, processes and grievance redressal mechanisms to ensure alignment with applicable legal and regulatory requirements and responsible business practices.

2. Details of the scope and coverage of any Human rights due diligence conducted.

No human rights due diligence was undertaken during the reporting period. Accordingly, the scope and coverage of such due diligence are not applicable.

3. Is the premise/office of the entity accessible to differently abled visitors, as per the requirements of the Rights of Persons with Disabilities Act, 2016?

Yes, the Company is committed to providing an inclusive and accessible work environment for employees, customers, and visitors.Such measures include barrier-free access, ramps, elevators, accessible washrooms, and other facilities intended to improve accessibility.

4 Details on assessment of value chain partners:

	% of value chain partners (by value of business done with such partners) that were assessed
Sexual Harassment	Not Applicable
Discrimination at workplace	
Child Labour	
Forced Labour/Involuntary Labour	
Wages	
Others – please specify	

5. Provide details of any corrective actions taken or underway to address significant risks / concerns arising from the assessments at Question 4 above.

Not Applicable

PRINCIPLE 6: Businesses should respect and make efforts to protect and restore the environment

Essential Indicators

1. Details of total energy consumption (in Joules or multiples) and energy intensity, in the following format:

Parameter	FY Apr 2025 – Mar 2026 (Current Financial Year)	FY Apr 2024 – Mar 2025 (Previous Financial Year)
From renewable sources (in Giga Joules (GJ))		
Total electricity consumption (A)	-	-
Total fuel consumption (B)	-	-
Energy consumption through other sources (C)	-	-
Total energy consumed from renewable sources (A+B+C)	-	-
From non-renewable sources (in Giga Joules (GJ))		
Total electricity consumption (D)	536.832 GJ	479.106 GJ
Total fuel consumption (E)	-	-
Energy consumption through other sources (F)	-	-
Total energy consumed from non-renewable sources (D+E+F)	536.832 GJ	479.106 GJ
Total energy consumed (A+B+C+D+E+F)	536.832 GJ	479.106 GJ
Energy intensity per rupee of turnover (Total energy consumed / Revenue from operations) GJ/₹	0.0000002266	0.0000001931

Energy intensity per rupee of turnover adjusted for Purchasing Power Parity (PPP) (Total energy consumed / Revenue from operations adjusted for PPP)	0.000004609	0.000003989
Energy intensity in terms of physical output	-	-
Energy intensity (optional) – the relevant metric may be selected by the entity	-	-

Note: The revenue from operations has been adjusted for PPP based on the latest PPP conversion factor published for the year 2026 by IMF which is 20.34 for India.

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

No

2. **Does the entity have any sites / facilities identified as designated consumers (DCs) under the Performance, Achieve and Trade (PAT) Scheme of the Government of India? (Y/N). If yes, disclose whether targets set under the PAT scheme have been achieved. In case targets have not been achieved, provide the remedial action taken, if any.**

   Not Applicable

3. **Provide details of the following disclosures related to water, in the following format:**

Parameter	FY Apr 2025 – Mar 2026 (Current Financial Year)	FY Apr 2024 – Mar 2025 (Previous Financial Year)
Water withdrawal by source (in kilolitres)		
(i) Surface water	-	-
(ii) Groundwater	-	-
(iii) Third party water	1405.17	1329.57
(iv) Seawater / desalinated water	-	-
(v) Others	-	-
Total volume of water withdrawal (in kilolitres) (i + ii + iii + iv + v)	**1405.17**	**1329.57**
Total volume of water consumption (in kilolitres)	**1405.17**	**1329.57**
Water intensity per rupee of turnover *(Total water consumption / Revenue from operations)*	0.0000005931	0.0000005358
Water intensity per rupee of turnover adjusted for Purchasing Power Parity (PPP) *(Total water consumption / Revenue from operations adjusted for PPP)*	0.000012064	0.000011070
Water intensity in terms of physical output	-	-
Water intensity (optional) – the relevant metric may be selected by the entity	-	-

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

No

4. **Provide the following details related to water discharged:**

   The Company does not maintain a separate mechanism for tracking this information. However, the building in which it operates follows a Zero Liquid Discharge (ZLD) system for wastewater management.

   Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

   No

5. Has the entity implemented a mechanism for Zero Liquid Discharge? If yes, provide details of its coverage and implementation.

Yes, the premises where the Company operates has implemented measures aligned with Zero Liquid Discharge practices, as there is no direct water discharge from its operations. The building premises are equipped with an MBR-based Sewage Treatment Plant (STP) with a treatment capacity of 600 KLD, which supports treatment and reuse of wastewater within the premises, wherever applicable.

6. Please provide details of air emissions (other than GHG emissions) by the entity, in the following format:

Given that the Company is in the financial services sector, this indicator is not applicable.

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

No

7. Provide details of greenhouse gas emissions (Scope 1 and Scope 2 emissions) & its intensity, in the following format:

Parameter	Unit	FY Apr 2025 – Mar 2026 (Current Financial Year)	FY Apr 2024 – Mar 2025 (Previous Financial Year)
Total Scope 1 emissions (Break-up of the GHG into CO2, CH4, N2O, HFCs, PFCs, SF6, NF3, if available)	*Metric tonnes of CO2 equivalent*	-	-
Total Scope 2 emissions (Break-up of the GHG into CO2, CH4, N2O, HFCs, PFCs, SF6, NF3, if available)	*Metric tonnes of CO2 equivalent*	110.1	98.22
Total Scope 1 and Scope 2 emissions per rupee of Turnover		0.00000004647	0.00000003958
Total Scope 1 and Scope 2 emission intensity (optional) – the relevant metric may be selected by the entity			
Total Scope 1 and Scope 2 emission intensity per rupee of turnover adjusted for Purchasing Power Parity (PPP) (Total Scope 1 and Scope 2 GHG emissions / Revenue from operations adjusted for PPP)		0.0000009453	0.0000008178
Total Scope 1 and Scope 2 emission intensity in terms of physical output		-	-
Total Scope 1 and Scope 2 emission intensity (optional) – the relevant metric may be selected by the entity		-	-

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

No

8. **Does the entity have any project related to reducing Green House Gas emission? If yes, then provide details.**
Yes. The Company's office is located in a green-certified building that has a 75 kW rooftop solar power system. The solar power generated is used for common area lighting, contributing to reduced greenhouse gas emissions. The level of electricity generation depends on the availability and intensity of sunlight.

9. **Provide details related to waste management by the entity, in the following format:**
The Company accords importance to responsible waste management and disposal practices as part of its commitment towards environmental sustainability and operational efficiency. Being a service-oriented organisation, the waste generated by the Company is primarily non-hazardous in nature and includes paper waste, plastic waste, e-waste, pantry waste, and other office-related waste generated across its premises.

The Company promotes waste reduction, segregation, and responsible disposal practices. Increased digitalisation, paperless processes, electronic communication, e-statements, and optimisation of physical documentation continue to support reduction in paper consumption and operational waste generation across offices.

Parameter	**FY Apr 2025 – Mar 2026 (Current Financial Year)**	**FY Apr 2024 – Mar 2025 (Previous Financial Year)**
Total Waste generated (in metric tonnes)		
Plastic waste (A)*	-	
E-waste (B)#	-	
Bio-medical waste (C)	Not Applicable	Not Applicable
Construction and demolition waste (D)	Not Applicable	Not Applicable
Battery waste (E)	Not Applicable	Not Applicable
Radioactive waste (F)	Not Applicable	Not Applicable
Other Hazardous waste. Please specify, if any. (G)	Not Applicable	Not Applicable
Other Non-hazardous waste generated (H). Please specify, if any.	Not Applicable	Not Applicable
Total (A+B + C + D + E + F + G + H)		
Waste intensity per rupee of turnover (Total waste generated / Revenue from operations)		-
Waste intensity per rupee of turnover adjusted for Purchasing Power Parity (PPP) (Total waste generated / Revenue from operations adjusted for PPP)		
Waste intensity in terms of physical output	-	-
Waste intensity (optional) – the relevant metric may be selected by the entity	-	-

**Plastic waste generated from our office premises are minimal. The amount generated is negligible, hence it isn't relevant to our activities.*

#The Company started its operations in 2020. The E-waste generated is very minimal.

For each category of waste generated, total waste recovered through recycling, re-using or other recovery operations (in metric tonnes)

Category of waste	**FY Apr 2025 – Mar 2026 (Current Financial Year)**	**FY Apr 2024 – Mar 2025 (Previous Financial Year)**
(i) Recycled	-	-
(ii) Re-used	-	-
(iii) Other recovery operations	-	-
Total	-	-

For each category of waste generated, total waste disposed by nature of disposal method (in metric tonnes)

Category of waste	**FY Apr 2025 – Mar 2026 (Current Financial Year)**	**FY Apr 2024 – Mar 2025 (Previous Financial Year)**
(i) Incineration	-	-
(ii) Landfilling	-	-

(iii) Other disposal operations Recycled e-waste through third party	-	-
Total	-	-

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.
No

Note: The Company has established waste management practices at its office premises, including segregation of waste at source into wet and dry waste streams. Wet waste, including food waste, is processed through an on-site composting system on a daily basis, while dry waste is collected separately and disposed of through authorized channels at regular intervals. As the Company operates from a green-certified office building and the nature of its operations does not result in significant waste generation, waste management is monitored through established facility management processes. Accordingly, quantitative tracking of individual waste streams is not maintained separately.

10. Briefly describe the waste management practices adopted in your establishments. Describe the strategy adopted by your company to reduce usage of hazardous and toxic chemicals in your products and processes and the practices adopted to manage such waste.

The Company follows waste segregation practices at its establishments. Wet waste, including food waste, is processed through a daily composting system within the premises. Dry waste is segregated and collected for disposal three times a week. As the Company operates in the financial services sector, the use of hazardous and toxic chemicals in its operations is limited. Accordingly, no significant hazardous waste is generated as part of the Company's regular business processes. The Company continues to promote responsible resource management and environmentally conscious operational practices across its facilities.

11 If the entity has operations/offices in/around ecologically sensitive areas (such as national parks, wildlife sanctuaries, biosphere reserves, wetlands, biodiversity hotspots, forests, coastal regulation zones etc.) where environmental approvals / clearances are required, please specify details in the following format:

The Company does not have any operations or offices located in or around ecologically sensitive areas such as national parks, wildlife sanctuaries, biosphere reserves, wetlands, biodiversity hotspots, forests, or coastal regulation zones. Accordingly, no environmental approvals or clearances are required in this regard.

S. No.	Location of operations/offices	Type of Operations	Whether the conditions of environmental approval / clearance are being complied with? (Y/N) If no, the reasons thereof and corrective action taken, if any.
Not Applicable			

12. Details of environmental impact assessments of projects undertaken by the entity based on applicable laws, in the current financial year: The Company did not undertake any projects during the current financial year that required environmental impact assessments under applicable laws. Accordingly, no environmental impact assessments were conducted.

Name and brief details of project	EIA Notification No.	Date	Whether conducted by independent external agency (Yes / No)	Results communicated in public domain (Yes / No)	Relevant Web link
Not Applicable					

13. Is the entity compliant with the applicable environmental law/ regulations/ guidelines in India; such as the Water (Prevention and Control of Pollution) Act, Air (Prevention and Control of Pollution) Act, Environment protection act and rules thereunder (Y/N). If not, provide details of all such non-compliances, in the following format:

S. No.	Specify the law / regulation/ guidelines which was not complied with	Provide details of the non-compliance	Any fines / penalties / action taken by regulatory agencies such as pollution control boards or by courts	Corrective action taken, if any
Not Applicable				

Leadership Indicators

1. **Water withdrawal, consumption and discharge in areas of water stress (in kilolitres):**

For each facility / plant located in areas of water stress, provide the following information:

(i) Name of the area - Not Applicable

(ii) Nature of operations - Not Applicable

(iii) Water withdrawal, consumption and discharge in the following format: Not Applicable

Parameter	FY Apr 2025 – Mar 2026 (Current Financial Year)	FY Apr 2024 – Mar 2025 (Previous Financial Year)
Water withdrawal by source (in kilolitres)		
(i) Surface water		
(ii) Groundwater		
(iii) Third party water		
(iv) Seawater / desalinated water		
(v) Others		
Total volume of water withdrawal (in kilolitres)		
Total volume of water consumption (in kilolitres)		
Water intensity per rupee of turnover (Water consumed / turnover)		
Water intensity (optional) – the relevant metric may be selected by the entity		
Water discharge by destination and level of treatment (in kilolitres)		
(i) Into Surface water		
- No treatment		
- With treatment – please specify level of treatment		
(ii) Into Groundwater		
- No treatment		
- With treatment – please specify level of treatment		
(iii) Into Seawater		
- No treatment		
- With treatment – please specify level of treatment		
(iv) Sent to third-parties		
- No treatment		
- With treatment – please specify level of treatment		
(v) Others		
- No treatment		
- With treatment – please specify level of treatment		
Total water discharged (in kilolitres)		

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency.

No

2. **Please provide details of total Scope 3 emissions & its intensity, in the following format:**

The Company has not computed Scope 3 emissions during the reporting period. Consequently, Scope 3 emission data and the related intensity metrics are not available for disclosure. The Company may evaluate the assessment of Scope 3 emissions in future reporting periods.

Parameter	Unit	FY Apr 2025 – Mar 2026 (Current Financial Year)	FY Apr 2024 – Mar 2025 (Previous Financial Year)
Total Scope 3 emissions (Break-up of the GHG into CO2, CH4, N2O, HFCs, PFCs, SF6, NF3, if available)	*Metric tonnes of CO2 equivalent*	-	-
Total Scope 3 emissions per rupee of turnover		-	-
Total Scope 3 emission intensity (optional) – the relevant metric may be selected by the entity		-	-

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. Not Applicable

3. With respect to the ecologically sensitive areas reported at Question 11 of Essential Indicators above, provide details of significant direct & indirect impact of the entity on biodiversity in such areas along-with prevention and remediation activities.

Not Applicable

4. If the entity has undertaken any specific initiatives or used innovative technology or solutions to improve resource efficiency, or reduce impact due to emissions / effluent discharge / waste generated, please provide details of the same as well as outcome of such initiatives, as per the following format:

Sr. No	Initiative undertaken	Details of the initiative (Web-link, if any, may be provided along-with summary)	Outcome of the initiative
1	Installation of LED Lighting	The Company has adopted LED lighting across its office premises in place of conventional lighting systems. LED lights are more energy-efficient and have a longer operational life, contributing to reduced electricity consumption.	Improved energy efficiency and reduction in overall electricity consumption associated with office lighting.
2	Occupancy-Based Sensor Controls	The Company has installed occupancy-based sensors that automatically switch off lights and certain electrical appliances in unoccupied areas, thereby preventing unnecessary energy usage.	Optimized energy consumption through automated control of electrical equipment and reduced energy wastage during periods of non-occupancy.
3	Digital & Paperless Operations	The Company continued to promote digitalisation through electronic transactions, e-contract notes, e-KYC, digital approvals, virtual workflows, and reduction in physical documentation and paper usage across operations.	The initiative contributed towards reduction in paper consumption, operational waste generation, physical record storage requirements, and overall resource consumption.
4	Dual Flush Valves Toilets	With a view of less water consumption for flushing liquid waste, the Company has installed dual flush valves toilets in Corporate Offices.	Older toilet valves models would use as much as ~12 liters per flush, a dual-flush valves system uses only about 7 liters each flush.

5. Does the entity have a business continuity and disaster management plan? Give details in 100 words/ web link.

Not Applicable

6. Disclose any significant adverse impact to the environment, arising from the value chain of the entity. What mitigation or adaptation measures have been taken by the entity in this regard.

Not Applicable

7. Percentage of value chain partners (by value of business done with such partners) that were assessed for environmental impacts.

Not Applicable

PRINCIPLE 7: Businesses, when engaging in influencing public and regulatory policy, should do so in a manner that is responsible and transparent

17 PARTNERSHIPS FOR THE GOALS

Essential Indicators

1. a. Number of affiliations with trade and industry chambers/ associations. 2

b. List the top 10 trade and industry chambers/ associations (determined based on the total members of such body) the entity is a member of/ affiliated to.

S. No.	Name of the trade and industry chambers/ associations	Reach of trade and industry chambers/ associations (State/National)
1	Association of National Exchanges Members of India (ANMI)	National
2	Association of Investment Bankers of India (AIBI)	National

2. **Provide details of corrective action taken or underway on any issues related to anti- competitive conduct by the entity, based on adverse orders from regulatory authorities. NA**

Name of the entity	Brief of the case	Corrective Action Taken
-	-	-

Leadership Indicators

1. **Details of public policy positions advocated by the entity:**

S. No	Public policy advocated	Method resorted for such advocacy	Whether information available in public domain? (Yes/No)	Frequency of Review by Board (Annually/ Half yearly/ Quarterly / Others – please specify)	Web Link, if available
-	-	-	-	-	-

PRINCIPLE 8: Businesses should promote inclusive growth and equitable development

Essential Indicator

1. **Details of Social Impact Assessments (SIA) of projects undertaken by the entity based on applicable laws, in the current financial year.**

Name and brief details of project	SIA Notification No.	Date of notification	Whether conducted by independent external agency (Yes / No)	Results communicated in public domain (Yes / No)	Relevant Web link
Not Applicable					

2. **Provide information on project(s) for which ongoing Rehabilitation and Resettlement (R&R) is being undertaken by your entity, in the following format:**

S. No.	Name of Project for which R&R is ongoing	State	District	No. of Project Affected Families (PAFs)	% of PAFs covered by R&R	Amounts paid to PAFs in the FY (In INR)
Not Applicable						

3. **Describe the mechanisms to receive and redress grievances of the community.**

As the Company's primary operations do not have significant direct impact on surrounding communities, a standalone grievance redressal mechanism for operational community impacts has not been established. However, community-related concerns, wherever applicable, are addressed through the structured grievance redressal mechanisms of the NGOs and implementing agencies associated with the Company's CSR initiatives, to help ensure that such concerns are appropriately received and addressed.

4. **Percentage of input material (inputs to total inputs by value) sourced from suppliers:**

	FY Apr 2025 – Mar 2026 Current Financial Year	FY Apr 2024 – Mar 2025 Previous Financial Year
Directly Sourced through MSME/small producers	-	-
Directly from within India	99.98%	99.98%

5. Job creation in smaller towns – Disclose wages paid to persons employed (including employees or workers employed on a permanent or non-permanent / on contract basis) in the following locations, as % of total wage cost

Location	FY Apr 2025 – Mar 2026 Current Financial Year	FY Apr 2024 – Mar 2025 Previous Financial Year
Rural	NA	NA
Semi-urban	NA	NA
Urban	NA	NA
Metropolitan	100%	100%

(Place to be categorized as RBI Classification System - rural / semi-urban / urban / metropolitan)

Leadership Indicators

1. Provide details of actions taken to mitigate any negative social impacts identified in the Social Impact Assessments (Reference: Question 1 of Essential Indicators above):

Details of negative social impact identified	Corrective action taken
Not Applicable	

2. Provide the following information on CSR projects undertaken by your entity in designated aspirational districts as identified by government bodies

S. No.	State	Aspirational District	Amount Spent (In INR)
1	-	-	-

3. (a) Do you have a preferential procurement policy where you give preference to purchase from suppliers comprising marginalized /vulnerable groups? (Yes/No) – Not Applicable

(b) From which marginalized /vulnerable groups do you procure? - Not Applicable

(c) What percentage of total procurement (by value) does it constitute? - Not Applicable

4. Details of the benefits derived and shared from the intellectual properties owned or acquired by your entity (in the current financial year), based on traditional knowledge:

S. No.	Intellectual Property based on traditional knowledge	Owned/ Acquired (Yes/No)	Benefit shared (Yes / No)	Basis of calculating benefit share
		Not Applicable		

5. Details of corrective actions taken or underway, based on any adverse order in intellectual property related disputes wherein usage of traditional knowledge is involved.

Name of authority	Brief of the Case	Corrective action taken
	Not Applicable	

6. Details of beneficiaries of CSR Projects:

S. No.	CSR Project	No. of people benefited from CSR Projects	% of beneficiaries from vulnerable and marginalized groups
1	-	-	-

PRINCIPLE 9: Businesses should engage with and provide value to their consumers in a responsible manner

Essential Indicators

1. **Describe the mechanisms in place to receive and respond to consumer complaints and feedback.**

   DAM Capital has established a comprehensive framework to manage client feedback and ensure the effective resolution of complaints. Our approach provides clients with multiple channels for communication and ensures that all concerns are addressed in a timely and thorough manner. We offer multiple channels for clients to submit their grievances via phone call, email and physical letters. Our support team works diligently to resolve issues promptly and we have an escalation matrix in place for unresolved matters. However, we receive complaints through various channels including SCORES, ODR and regulatory authorities and our support works efficiently to resolve issue promptly. Clients have the option to escalate unresolved matters, ensuring that all concerns are fully addressed.

2. **Turnover of products and/ services as a percentage of turnover from all products/service that carry information about:**

	As percentage to turnover
Environmental and Social parameters relevant to products	Not Applicable
Safe and responsible usage	
Recycling and/or safe disposal	

3. **Number of consumer complaints in respect of the following:**

	FY Apr 2025 – Mar 2026		Remarks	FY Apr 2024 – Mar 2025		Remarks
	Received during the year	Pending Resolution at the end of year		Received during the year	Pending Resolution at the end of year	
Data Privacy	-	-	-	-	-	-
Advertising	-	-	-	-	-	-
Cyber Security	-	-	-	-	-	-
Delivery of essential services	-	-	-	-	-	-
Restrictive trade practices	-	-	-	-	-	-
Unfair trade practices	-	-	-	-	-	-
Others Product related	-	-	-	-	-	-

4. **Details of instances of product recalls on account of safety issues:**

	Number	Reason for recall
Voluntary recall	Not Applicable	
Forced recall		

5. **Does the entity have a framework/ policy on cyber security and risks related to data privacy? (Yes/No) If available, provide a web-link of the policy.**

   Yes, the Company has a Cyber Security and Cyber Resilience Policy, as well as a Data Privacy Policy, in place. These policies are not hosted on the website as their publication is not mandated under the SEBI (LODR) Regulations, 2015.

6. **Provide details of any corrective actions taken or underway on issues relating to advertising, and delivery of essential services; cyber security and data privacy of customers; re-occurrence of instances of product recalls; penalty / action taken by regulatory authorities on safety of products / services.**

   The Company did not receive any complaints relating to advertising practices, delivery of essential services, cybersecurity incidents, or data privacy of customers. Further, there were no instances of product recalls, nor were any penalties imposed or regulatory actions initiated by any authority in relation to the safety of the Company's products or services. Accordingly, no corrective actions were required to be undertaken by the Company during the financial year 2025–26.

7. Provide the following information relating to data breaches:

a. Number of instances of data breaches- None

b. Percentage of data breaches involving personally identifiable information of customers: Not Applicable

c. Impact, if any, of the data breaches: Not Applicable

Leadership Indicators

1. Channels / platforms where information on products and services of the entity can be accessed (provide web link, if available).

Website - Information on products and services of the Company can be accessed at https://www.damcapital.in/home.aspx

Helpdesk - Support for inquiries related to products and services available at https://www.damcapital.in/static/usefullinks.aspx?sec=Contact-Us

2. Steps taken to inform and educate consumers about safe and responsible usage of products and/or services.

Clients are regularly informed and educated on the safe and responsible use of services through periodic updates on the Company's website, along with regular communication through exchange disclosures in this regard.

3. Mechanisms in place to inform consumers of any risk of disruption/discontinuation of essential services.

The Company communicates any material risk relating to disruption or discontinuation of essential services to its consumers and stakeholders through various channels, as applicable. These include investor presentations, investor calls and analyst meets, disclosures made under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

4 Does the entity display product information on the product over and above what is mandated as per local laws? (Yes/No/Not Applicable) If yes, provide details in brief.

Yes, DAM Capital follows all guidelines issued by the Regulators, Association of Investment Bankers of India, Association of National Exchanges Members of India for any product solicitation.

5. Did your entity carry out any survey with regard to consumer satisfaction relating to the major products / services of the entity, significant locations of operation of the entity or the entity as a whole? (Yes/No)

Not Applicable