

# Aditya Birla Sun Life AMC Ltd.

(A part of Aditya Birla Capital Ltd.)



## ADITYA BIRLA CAPITAL

PROTECTING INVESTING FINANCING ADVISING

Ref.No.: ABSLAMCL/PS/11/2023-24

April 12, 2023

### **BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street Mumbai - 400 001

**Scrip Code: 543374**

### **National Stock Exchange of India Limited**

Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (East), Mumbai - 400 051

**Scrip Code: ABSLAMC**

Dear Sir/ Ma'am,

**Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2023**

Please find enclosed herewith Certificate received from VKMG & Associates LLP, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial ended March 31, 2023.

For **Aditya Birla Sun Life AMC Limited**

**Hemanti Wadhwa**

**Chief Compliance Officer & Company Secretary**

**FCS - 6477**

**Aditya Birla Sun Life AMC Ltd.**

(A part of Aditya Birla Capital Ltd.)

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<https://mutualfund.adityabirlacapital.com/>

**Correspondence & Registered Office:**

One World Center, Tower 1, 17th Floor,

Jupiter Mills, Senapati Bapat Marg,

Elphinstone Road, Mumbai - 400 013

CIN: L65991MH1994PLC080811



To,  
The Board of Directors,  
**ADITYA BIRLA SUN LIFE AMC LIMITED**  
One World Center, Tower 1, 17th Floor, Jupiter Mills,  
Senapati Bapat Marg, Elphinstone Road,  
Mumbai – 400013

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

We have examined all Registers, files and other documents of **ADITYA BIRLA SUN LIFE AMC LIMITED** (the Company) maintained by **KFin Technologies Limited**, the Company's Registrar & transfer Agents, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that :

- the Company has not received any request relating to the transfer of equity shares during the period from **1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023**; and
- the Company has neither issued any debenture nor carried out any activity such as sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period under consideration, hence information in this regard could not be commented.

Date: 12/04/2023

Place: Mumbai

UDIN: A043802E000068167



For VKMG & Associates LLP  
Company Secretaries  
FRN: L2019MH005300

  
**Manish Rajnarayan Gupta**  
Partner  
ACS-43802  
CP-16067  
PRN:1279/2021