



Ref: SSFL/Stock Exchange/2020-21/7

Date: April 21, 2020

To  
National Stock Exchange of India Limited,  
Listing Department  
Exchange Plaza, C-1, Block G  
Bandra Kurla Complex, Bandra (E)  
Mumbai - 400051

Symbol: SPANDANA

Dear Sir/Madam,

**Sub: Compliance Certificate pursuant to Regulation 7(3) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended March 31, 2020.**

Dear Sir/Madam,

Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR"), please find enclosed herewith the certificate duly signed by Authorized Signatory of KFin Technologies Private Limited, Registrar and Transfer Agent and the Company Secretary of the Company for the half-year ended March 31, 2020 confirming compliance of the provisions of Regulation 7(2) of SEBI LODR.

We request you to kindly take note of the same.



Thanking you

**For Spandana Sphoorty Financial Limited**

**Rakesh Jhinjharia**  
Company Secretary  
Membership No.: F8325



Enclosed: As above

	
<b>Spandana Sphoorty Financial Limited</b> <b>Registered Office:</b> Plot No: - 31 & 32, Ramky Selenium Towers, Tower A Ground Floor, Financial Dist, Nanakramguda, Hyderabad TG 500032 CIN: L65929TG2003PLC040648 Tel: +91 40 48126666 Website: <a href="http://www.spandanaindia.com">www.spandanaindia.com</a>	<b>KFin Technologies Private Limited</b> <b>Registered Office:</b> Selenium Tower B, Plot No.- 31 & 32, Financial District, Nanakramguda Serilingampally, Hyderabad, Rangareddi, Telangana - 500032, CIN: U72400TG2017PTC117649 Tel: +91 40 67162222 <a href="https://www.kfintech.com/">https://www.kfintech.com/</a>

Date: April 21, 2020

**Compliance Certificate  
for the half year ended 31<sup>st</sup> March, 2020**

**[Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing  
Obligations and Disclosure Requirements) Regulations, 2015]**

This is to certify that KFin Technologies Private Limited, Hyderabad, Registrar and Share Transfer Agent to Spandana Sphoorty Financial Limited ("**the Company**"), have been rendering related services to the Company in respect of Equity shares held in physical and electronic form and Non-Convertible Debentures issued on private placement basis (NCDs) held in electronic form.

In respect of the Company for the half- year ended 31<sup>st</sup> March, 2020, it is hereby certified that all activities in relation to physical share transfer facility, and shareholders' and NCDs Holders' data held in dematerialized form (i.e. electronic form) as received from the Depositories, are maintained by KFin Technologies Private Limited, which is registered, with Securities and Exchange Board of India (Permanent Registration No. INR000000221 Date: 24.12.2019)

**For Spandana Sphoorty Financial Limited**

**For KFin Technologies Private Limited**



**Rakesh Jhinjaria**  
Company Secretary & Compliance Officer

Sd/-  
**C Shobha Anand**  
Dy. General Manager