



April 27, 2021

To,  
National Stock Exchange of India Limited  
Listing Department,  
Exchange Plaza, 5<sup>th</sup> floor,  
Plot No. C/1, G Block, Bandra Kurla Complex  
Bandra (East), Mumbai-400051

**Ref: Scrip Code PENTAGOLD**

Dear Sir/ Madam,

**Sub: Compliance Certificate pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021**

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015, we hereby certify that all the activities in relation to both physical and electronic share transfer facility are maintained by our Registrar and Share Transfer Agent viz. M/s KFIN Technologies Private Limited (Formerly known as "Karvy Fintech Private Limited") having permanent SEBI Registration Number: INR000000221.

You are requested to take notice of the above and acknowledge the receipt.

Thanking You,  
Yours faithfully

**For Penta Gold Limited**

**Ketan Madhusudan Shroff**  
**Director**  
**(DIN: 00332988)**  
Encl: As above

**COMPLIANCE CERTIFICATE**  
**Half year ended 31/03/2021**

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to PENTA GOLD LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED

Sd/-  
V. Raghunath  
Deputy Manager

Place : Hyderabad  
Date :06/04/2021

**KFin Technologies Private Limited**  
(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi,  
Telangana, India, 500032.

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