



Ref: SSFL/Stock Exchange/2019-20/03
Date: October 14, 2019

To
National Stock Exchange of India Limited,
Listing Department
Exchange Plaza, C-1, Block G
Bandra Kurla Complex, Bandra (E)
Mumbai - 400051

Symbol: SPANDANA

Dear Sir/Madam,

Sub: Compliance Certificate pursuant to Regulation 7(3) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended September 30, 2019.

Dear Sir/Madam,

Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR"), please find enclosed herewith the certificate duly signed by Authorized Signatory of Karvy Fintech Private Limited, Registrar and Transfer Agent and the Company Secretary of the Company for the half-year ended September 30, 2019 confirming compliance of the provisions of Regulation 7(2) of SEBI LODR.

We request you to kindly take note of the same.

Thanking you

For Spandana Sphoorty Financial Limited

Rakesh Jhinharia
Company Secretary
Membership No.: F8325



Spandana Sphoorty Financial Ltd.,

CIN: L65929TG2003PLC040648

Plot No.31 & 32, Ramky Selenium Towers, Tower A,
Ground Floor, Financial Dist, Nanakramguda, Hyderabad - 500 032.
Ph : +91 40 48126666, Fax : 040-44386640
contact@spandanaindia.com, www.spandanaindia.com

CIN: L65929TG2003PLC040648

 SPANDANA	 KARVY FINTECH
Spandana Sphoorty Financial Limited Registered Office: Plot No: - 31 & 32, Ramky Selenium Towers, Tower A Ground Floor, Financial Dist, Nanakramguda, Hyderabad TG 500032 CIN: L65929TG2003PLC040648 Tel: +91 40 48126666 Website: www.spandanaindia.com	Karvy Fintech Private Limited Registered Office: Karvy Selenium Tower B, Plot No. 31-32, Gachibowli Financial District, Nanakramguda, Hyderabad - 500032 CIN: U72400TG2017PTC117649 Tel: +91 40 67162222; Fax: 040-2300 1153 https://www.karvyfintech.com/

Compliance Certificate
for the half year ended 30th September, 2019

**[Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing
Obligations and Disclosure Requirements) Regulations, 2015]**

This is to certify that Karvy Fintech Private Limited, Hyderabad, Registrar and Share Transfer Agent to Spandana Sphoorty Financial Limited ("the Company"), have been rendering related services to the Company in respect of Equity shares held in physical and electronic form and Non-Convertible Debentures issued on private placement basis (NCDs) held in electronic form.

In respect of the Company for the half- year ended 30th September, 2019, it is hereby certified that all activities in relation to physical share transfer facility, and shareholders' and NCDs Holders' data held in dematerialized form (i.e. electronic form) as received from the Depositories, are maintained by Karvy Fintech Private Limited, which is registered, with Securities and Exchange Board of India (Permanent Registration No.INR000000221 Date: 15.07.2019)

For Spandana Sphoorty Financial Limited


Rakesh Jhinjhar
Company Secretary & Compliance Officer



For Karvy Fintech Private Limited


Authorized Signatory

