

April 12, 2021

To,

Corporate Relations

BSE Limited

Phiroze Jeejeebhoy Towers, Dalal Street,
Mumbai-4000 01

(Security Code : 534615)

The Manager (Listing Department)

National Stock Exchange of India Limited

Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E)

Mumbai - 400 051

(Symbol: NECCLTD)

**SUB: CERTIFICATE UNDER REGULATION 40(9) FOR THE HALF YEAR ENDED
MARCH 31, 2021 UNDER SECURITIES EXCHANGE BOARD OF INDIA (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

Dear Sir(s)

Please find enclosed herewith the Certificate for the half year ended March 31, 2021 under Regulation 40(9) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

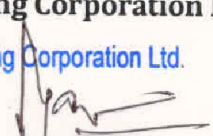
Kindly take the same on your records.

Thanking You

Yours sincerely,

For North Eastern Carrying Corporation Limited

For North Eastern Carrying Corporation Ltd.


Managing Director

(Sunil Kumar Jain)

Chairman & Managing Director

Encl: a/a



A. K. FRIENDS & CO.

Company Secretaries

E-38, 2nd Floor, Behind Hira Sweets, Laxmi Nagar

Delhi-110092, INDIA, Tel.: (O) 9266000759

Mob. : +91-92120 00759, 99110 00759

E-mail : akfriends38@yahoo.co.in

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON MARCH 31, 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s North Eastern Carrying Corporation Limited** (CIN: L51909DL1984PLC019485) maintained by **M/s MAS Services Limited** (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from October 01, 2020 to March 31, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on March 31, 2021:

(A) Share Certificate(s) relating to the transfer of Shares received during the period from October 01, 2020 to March 31, 2021 as entered in the Memorandum of Transfers have been issued within 30 (thirty) days of the date of lodgement for transfer excepting those rejected on technical grounds.

(B) Share Certificate(s) in respect of requests relating to issue of duplicate shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment money(ies) have been issued within 30 (thirty) days from the date of lodgement of each request.



**FOR A. K. FRIENDS & CO.
PRACTICING COMPANY SECRETARY**

**ASHISH KUMAR FRIENDS
Proprietor**

**M. No. FCS 5129, C.P. No.: 4056
UDIN: F005129C000061449**

Date: 12/04/2021

Place: Delhi