

April 9, 2019

National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051

BSE Limited
Listing Department
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Madam,

Sub: Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: NSE Symbol - ISEC & BSE Scrip Code - 541179

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate for the half year ended March 31, 2019.

Kindly take the above on your records and oblige.

Yours faithfully,
For **ICICI Securities Limited**



Raju Nanwani
Senior Vice President &
Company Secretary

Encl: As above

Member of National Stock Exchange of India Ltd, BSE Ltd and Metropolitan Stock Exchange of India Ltd.
SEBI Registration : INZ000183631
CIN No.: L67120MH1995PLC086241

ICICI Securities Limited
Registered Office (Institutional):
ICICI Centre, H. T. Parekh Marg,
Churchgate, Mumbai 400 020, India.
Tel (91 22) 2288 2460/70
Fax (91 22) 2288 2455

Corporate Office (Retail):
Shree Sawan Knowledge Park, Plot No. D-507,
T.T.C. Ind. Area, M.I.D.C., Turbhe, Navi Mumbai - 400 705
Tel (91 22) 4070 1000
Fax (91 22) 4070 1022

Name of Compliance Officer (Broking Operations) : Mr. Anoop Goyal
Email Address: complianceofficer@icicisecurities.com / Tel (91 22) 4070 1000
Website Address: www.icicisecurities.com / www.icicidirect.com



April 4, 2019

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Listing Department
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Dear Sir/Madam,

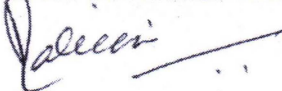
Sub: Compliance Certificate under Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2019

Ref: NSE Symbol - ISEC & BSE Scrip Code - 541179

This is to certify that Karvy Fintech Private Limited holding certificate as Category I Registrar & Share Transfer Agent under SEBI Registration Number INR000000221 is the Registrar & Share Transfer Agent of the Company.

All activities in relation to share transfer as well as activities connected with maintaining data of shareholders are handled by Karvy Fintech Private Limited.

Yours faithfully,
For **ICICI Securities Limited**



Raju Nanwani
Senior Vice President &
Company Secretary

For **Karvy Fintech Private Limited**



C. Shobha Anand
Deputy General Manager



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