

April 7, 2020

National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051

BSE Limited
Listing Department
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: NSE Symbol - ISEC and BSE Scrip Code - 541179

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated April 7, 2020, obtained from Practicing Company Secretary for the half year ended March 31, 2020.

Kindly take the above on your records and oblige.

Yours faithfully,
For **ICICI Securities Limited**



Raju Nanwani
Senior Vice President &
Company Secretary

Encl: As above

Member of National Stock Exchange of India Ltd, BSE Ltd and Metropolitan Stock Exchange of India Ltd.
SEBI Registration : INZ000183631
CIN No.: L67120MH1995PLC086241

ICICI Securities Limited
Registered Office (Institutional):
ICICI Centre, H. T. Parekh Marg,
Churchgate, Mumbai 400 020, India.
Tel (91 22) 2288 2460/70
Fax (91 22) 2288 2455

Corporate Office (Retail):
Shree Sawan Knowledge Park, Plot No. D-507,
T.T.C. Ind. Area, M.I.D.C., Turbhe, Navi Mumbai - 400 705
Tel (91 22) 4070 1000
Fax (91 22) 4070 1022

Name of Compliance Officer (Broking Operations) : Mr. Anoop Goyal
Email Address: complianceofficer@icicisecurities.com / Tel (91 22) 4070 1000
Website Address: www.icicisecurities.com / www.icicidirect.com



Company Secretaries

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

On the basis of examination of all relevant Books, registers, forms, documents and papers relating to **ICICI SECURITIES LIMITED** (the "Company") for the purpose of issuing certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and on the basis of the explanation given to us through **KFin Technologies Private Limited** (formerly known as **Karvy Fintech Private Limited**), its Registrar and Share Transfer Agent, we hereby certify that, in relation to the half year period from October 01, 2019 to March 31, 2020 there were no requests received for transfer, transmission, deletion, sub-division, consolidation, exchange, endorsement of calls/allotment monies and renewal.

**For Makarand M. Joshi & Co.
Practicing Company Secretaries**

**KUMUDINI
DINESH
BHALERAO**

Kumudini Bhalariao
Partner
FCS: 6667
C.P No: 6690
UDIN: F006667B000147456
Place: Mumbai
Date: 07/04/2020