

**DATE: 13<sup>TH</sup> APRIL, 2023**



To  
Manager - Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400051

**REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

**SCRIP CODE: WEALTH**

**Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the financial year ended on 31<sup>st</sup> March, 2023**

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the financial year ended on 31<sup>st</sup> March, 2023 issued by M/s Bigshare Services Private Limited, Registrar and Share Transfer Agent (RTA) and Mr. Kunal Sharma, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Thanking you.

Yours faithfully,

**FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

ASHISH  
NAVINITLA  
L SHAH

Digitally signed by  
ASHISH  
NAVINITLA SHAH  
Date: 2023.04.13  
14:41:00 +05'30'

**ASHISH SHAH  
MANAGING DIRECTOR  
DIN: 00089075**

**Encl: Compliance Certificate**

**Wealth First Portfolio Managers Limited**

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015  
☎ +91 79 40240000 ✉ contact@wealthfirst.biz 🌐 www.wealth-firstonline.com

**CIN No. - L67120GJ2002PLC040636**



# Bigshare Services Pvt. Ltd.

A-802, Samudra Complex, Near Girish Cold Drinks,  
off C. G. Road, Navranpura, Ahmedabad - 380 009.  
Email: bssahd@bigshareonline.com • website: www.bigshareonline.com

SEBI  
REGISTERED CATEGORY I  
REGISTRAR & TRANSFER  
AGENT



WEALTH FIRST PORTFOLIO MANAGERS LTD  
CAPITAL HOUSE 10 PARAS II  
PRAHLAD NAGAR  
ANAND NAGAR  
AHMEDABAD  
AHMEDABAD 380015

Dear Sir

UNIT :-WEALTH FIRST PORTFOLIO MANAGERS LTD

Sub : Clause 40 of the Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2017.

We hereby give the following details during 01/04/2022 31/03/2023  
with respect to Compliance Certificate under Clause 40 of the Securities Exchange Board of India (Listing obligation and Disclosures Requirements) Regulations, 2017.

Sr. No	Particulars	No. of Transact	No. of Shares
1	Consolidation	NIL	NIL
2	Correction Entry	NIL	NIL
3	Exchange	NIL	NIL
4	Folio Consolidation	NIL	NIL
5	Mutilation	NIL	NIL
6	Name Deletion	NIL	NIL
7	Re-Materialization	NIL	NIL
8	Replacement	NIL	NIL
9	Share Duplicate Issue	NIL	NIL
10	Split	NIL	NIL
11	Transmission	NIL	NIL
12	Transposition	NIL	NIL

Yours faithfully,  
for BIGSHARE SERVICES PVT LTD

Authorised Signatory



CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.

Corporate Office: 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.

Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : info@bigshareonline.com • Website : www.bigshareonline.com



**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

We have examined the relevant Registers, Records, files, reports and other documents relating to **Wealth First Portfolio Managers Limited ("the Company")** as maintained and provided electronically by **Bigshare Services Private Limited, Ahmedabad, Registrar and Share Transfer Agent ("the RTA")** pertaining to transfer of Equity Shares of the Company for the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 ("the Period")** for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 as amended from time to time and on the basis of relevant information together with necessary management representations as provided by the Company and its RTA, we hereby certify that, in our opinion and according to the best of our information and belief:

- (a) There were no instances of physical transfer, transmission, split, sub-division, replacement, consolidation, correction entry, renewal, exchange, folio consolidation, mutilation, name deletion, Rematerialisation, share duplication, transposition or endorsement of calls / allotment monies during the said period.

**Date: 13<sup>th</sup> April, 2023**

**Place: Ahmedabad**



**For Kunal Sharma & Associates  
Company Secretaries**

**KUNAL  
SHARMA  
CS. Kunal Sharma  
Proprietor**

**M. No: FCS 10329**

**CP No: 12987**

**UDIN: F010329E000084260**

**Peer Review No: 1933/2022**

Digitally signed by KUNAL SHARMA  
DN: cn=Kunal Sharma, o=Kunal Sharma & Associates, email=kunal.sharma@ksa.co.in, c=IN  
Reason: I am the Proprietor  
Date: 2023.04.13 14:12:58 +05'30'