

DATE: 7TH APRIL, 2021

To
Manager - Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051



REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED

SCRIP CODE: WEALTH

Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2021

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for half year ended on 31st March, 2021 issued by M/s Bigshare Services Private Limited, Registrar and Share Transfer Agent (RTA) and Mr. Kunal Sharma, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Thanking you.

Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

Hena A Shah

HENA SHAH
WHOLE-TIME DIRECTOR
DIN: 00089161



Encl: Compliance Certificate

Wealth First Portfolio Managers Limited

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015
☎+91 79 40240000 📧contact@wealthfirst.biz 🌐www.wealth-firstonline.com

CIN No. - L67120GJ2002PLC040636



Bigshare Services Pvt. Ltd.

A-802, Samudra Complex, Near Girish Cold Drinks,
off C. G. Road, Navranpura, Ahmedabad - 380 009.
Email: bssahd@bigshareonline.com • website: www.bigshareonline.com

SEBI
REGISTERED CATEGORY I
REGISTRAR & TRANSFER
AGENT



BIGSHARE SERVICES PRIVATE LIMITED
WEALTH FIRST PORTFOLIO MANAGERS LTD

CAPITAL HOUSE 10 PARAS II
PRAHLAD NAGAR
ANAND NAGAR
AHMEDABAD
AHMEDABAD 380051

Dear Sir

UNIT :-WEALTH FIRST PORTFOLIO MANAGERS LTD

Sub : Clause 40 of the Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2017.

We hereby give the following details during 01/10/2020 31/03/2021
with respect to Compliance Certificate under Clause 40 of the Securities Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulations, 2017.

Sr. No	Particulars	No. of Transact	No. of Shares
1	Consolidation	NIL	NIL
2	Correction Entry	NIL	NIL
3	Exchange	NIL	NIL
4	Folio Consolidation	NIL	NIL
5	Mutilation	NIL	NIL
6	Name Deletion	NIL	NIL
7	Re-Materialization	NIL	NIL
8	Replacement	NIL	NIL
9	Share Duplicate Issue	NIL	NIL
10	Split	NIL	NIL
11	Transmission	NIL	NIL
12	Transposition	NIL	NIL

Yours faithfully,
for BIGSHARE SERVICES PVT LTD

Authorised Signatory



CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.
Corporate Office: 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.
Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : info@bigshareonline.com • Website : www.bigshareonline.com



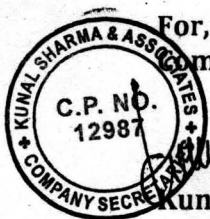
**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

I have examined relevant Share Transfer Forms, Memorandum of Transfers, Registers, files, reports and other documents relating to **Wealth First Portfolio Managers Limited ("the Company")** as maintained by **Bigshare Services Private Limited, Ahmedabad ("the RTA")** pertaining to transfer of Equity Shares of the Company for the half year period from **01st October, 2020 to 31st March, 2021 ("the Period")** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by the Company and on the basis of relevant information together with necessary management representations as provided by the Company, I hereby certify that during the period:

- (a) There were no instances of physical transfer, transmission, split, sub-division, replacement, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period.

Date: 07th April, 2021
Place: Ahmedabad
UDIN: F010329C000030932



For, Kunal Sharma & Associates
Company Secretaries

Kunal Sharma
Proprietor
M. No: F10329
CP No: 12987