

DATE: 12TH APRIL, 2024

To
Manager - Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051

REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED

SCRIP CODE: WEALTH

SUB: COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATION & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED ON 31ST MARCH, 2024

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the financial year ended on 31st March, 2024 issued by M/s Bigshare Services Private Limited, Registrar and Share Transfer Agent (RTA) and Mr. Kunal Sharma, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Thanking you.

Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED

ASHISH
NAVNITL
AL SHAH

Digitally signed
by ASHISH
NAVNITL SHAH
Date: 2024.04.12
14:24:01 +05'30'

ASHISH SHAH
MANAGING DIRECTOR
DIN: 00089075

Encl: Compliance Certificate

Wealth First Portfolio Managers Limited

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015
☎ +91 79 40240000 📧 contact@wealthfirst.biz 🌐 www.wealth-firstonline.com

CIN No. - L67120GJ2002PLC040636



Bigshare Services Pvt. Ltd.

A-802, Samudra Complex, Near Girish Cold Drinks,
off C. G. Road, Navranpura, Ahmedabad - 380 009.
Email: bssahd@bigshareonline.com • website: www.bigshareonline.com

SEBI
REGISTERED CATEGORY I
REGISTRAR & TRANSFER
AGENT



Ref/BSS/WEALT/2023

Date :- 10/04/2024
WEALTH FIRST PORTFOLIO MANAGERS LTD
CAPITAL HOUSE 10 PARAS II
PRAHLAD NAGAR
ANAND NAGAR
AHMEDABAD
AHMEDABAD 380015

Dear Sir
UNIT :-WEALTH FIRST PORTFOLIO MANAGERS LTD

Sub : Clause 40 of the Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015.

We hereby give the following details during 01/04/2023 to 31/03/2024 with respect to Compliance Certificate under Clause 40 of the Securities Exchange Board of India (Listing obligation and Disclosures Requirements) Regulations, 2015.

Sr. No	Particulars	No. of Transact	No. of Shares
1	Consolidation	NIL	NIL
2	Correction Entry	NIL	NIL
3	Exchange	NIL	NIL
4	Folio Consolidation	NIL	NIL
5	Mutilation	NIL	NIL
6	Name Deletion	NIL	NIL
7	Re-Materialization	NIL	NIL
8	Replacement	NIL	NIL
9	Share Duplicate Issue	NIL	NIL
10	Split	NIL	NIL
11	Transfer	NIL	NIL
12	Transmission	NIL	NIL
13	Transposition	NIL	NIL

Yours faithfully,
for BIGSHARE SERVICES PVT LTD

Authorised Signatory



CIN : U99999MH1994PTC076534



CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2024

Considering various Circulars issued by The Securities and Exchange Board of India ("SEBI") on holding / transfer of shares compulsorily in dematerialization form and based on examination and verification of the data with respect to Share Transfer, Share Transmission, sub-division, consolidation, renewal, exchange, or endorsement of calls/allotment monies etc. of **Wealth First Portfolio Managers Limited, CIN - L67120GJ2002PLC040636 ("the Company")** being maintained and as provided electronically by **Bigshare Services Private Limited, Ahmedabad, Registrar and Share Transfer Agent ("the RTA")** of the Company for the period from **01st April 2023 to 31st March 2024 ("the Period")** for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 as amended from time to time and on the basis of relevant information together with necessary management representations as provided by the Company and its RTA, we hereby certify that during the financial year ended on March 31, 2024:

- (a) The RTA of the Company have not received any requests/applications for transmission, name change, name deletion, duplicate securities certificate, physical transfer, split, sub-division, replacement, consolidation, correction entry, renewal, exchange, folio consolidation, mutilation, Rematerialisation, transposition or endorsement of calls / allotment monies during the said period.

Date: 12/04/2024
Place: Ahmedabad



For Kunal Sharma & Associates
Company Secretaries

KUNAL
SHARMA

CS. Kunal Sharma
Proprietor

M. No: FCS 10329

CP No: 12987

UDIN: F010329F000098021

Peer Review No: 1933/2022