



16<sup>th</sup> April, 2024

To,  
The Manager,  
Listing Department,  
The National Stock Exchange of India Ltd,  
'Exchange Plaza', C-1 Block 'G',  
Bandra Kurla Complex, Bandra (E ),  
Mumbai -400051

**Subject: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2024**

**Ref: Symbol – SHIVAUM**

Dear Sir/Madam,

We are enclosing herewith the Compliance Certificate as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2024 duly issued by M Rupareliya & Associates, Company Secretaries, Rajkot.

You are requested to kindly take the above on record and oblige.

Thanking You,  
Yours faithfully,

**For SHIV AUM STEELS LIMITED,**

**SANJAY N BANSAL  
DIRECTOR  
DIN:00235509**

GSTIN: 27AAFCS9987G1ZL

CIN NO: L27105MH2002PLC135117

Regd.& Admin Office: 515, The Summit Business Bay, Near  
WEH Metro Station,A.K.Road, Andheri (E.),Mumbai-400 093

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Fax: 022-26827899

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**M. Rupareliya & Associates**  
**Practising Company Secretary**

**CERTIFICATE UNDER CLAUSE 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**For the Year Ended 31<sup>st</sup> March, 2024**

I have Examined all the Share Transfer Deeds, Memorandum of Transfers, Registers and other documents related to "*M/s. Shiv Aum Steels Limited*" maintained by *Skyline Financial Services Private Limited* pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Clause 40(9) of Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by Company, I hereby certify that during year ended on 31<sup>st</sup> March, 2024 :

- A) No Share Transfer Requests were received during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024.
- B) There were no requests for exchange of duplicate and split certificates, renewal, consolidation during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024.

For M Rupareliya & Associates  
Practicing Company Secretaries



**CS Mayuri Rupareliya**  
**Peer Review: 2017/2022**  
**ACS-A51422**  
**COP-18634**

**UDIN: A051422F000129445**

**Date: 15/04/2024**  
**Place: Rajkot**