



Ref: SSFL/Stock Exchange/2019-20/05

Date: October 14, 2019

To
National Stock Exchange of India Limited,
Listing Department
Exchange Plaza, C-1, Block G
Bandra Kurla Complex, Bandra (E)
Mumbai - 400051

Symbol: SPANDANA

Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40(10) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended September 30, 2019.


Dear Sir/Madam,

Pursuant to Regulation 40(10) read with 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR"), please find enclosed herewith the certificate of M/s RPR & Associates, Practicing Company Secretaries, certifying compliance under Regulation 40(9) of SEBI LODR for the half year ended September 30, 2019.

We request you to kindly take note of the same.

Thanking you

For Spandana Sphoorty Financial Limited


Rakesh Jhinjaria
Company Secretary
Membership No.: F8325



Spandana Sphoorty Financial Ltd.,

CIN: U65929TG2003PLC040648

Plot No.31 & 32, Ramky Selenium Towers, Tower A,
Ground Floor, Financial Dist, Nanakramguda, Hyderabad - 500 032.

Ph : +91 40 48126666, Fax : 040-44386640

contact@spandanaindia.com, www.spandanaindia.com

CIN: L65929TG2003PLC040648



RPR & ASSOCIATES
COMPANY SECRETARIES

H.No. 158/C, 2nd Floor,
Vengalrao Nagar,
e-seva Lane, S.R. Nagar,
Hyderabad - 500 038.

**CERTIFICATE UNDER REGULATION 40(9) READ WITH REGULATION 61(4) OF
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

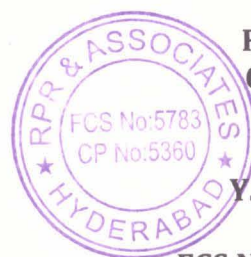
We have examined all Securities Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **M/s. Spandana Sphoorty Financial Limited** ("the Company"), having its Registered Office at Plot No: 31 & 32, Ramky Selenium Towers, Tower A, Ground Floor, Financial Dist, Nanakramguda, Hyderabad - 500032, Telangana, being maintained by M/s Karvy Fintech Private Limited (formerly known as Karvy Computershare Private Limited), Hyderabad, the Registrars and Share Transfer Agents (RTA) of the Company pertaining to transfer of Equity Shares and Non-Convertible Debentures (NCDs) issued by the Company for the period from 01.04.2019 to 30.09.2019 for the purpose of issuing this Certificate as per Regulation 40(9) read with Regulation 61(4) of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company and Registrar and Transfer Agent, we hereby certify that during the half year ended on 30th September, 2019.

1. The Company has issued Share Certificate relating to the Share Transfer Deeds received during the period from 1st April, 2019 to 30th September, 2019 as entered in the Memorandum of Transfer within 15 (Fifteen) days from the respective date of lodgement of each transfer deed. (Except those rejected on technical grounds).
2. There were no requests for sub-division, split, consolidation, renewal and exchange of certificates during the half year from 1st April, 2019 to 30th September, 2019.

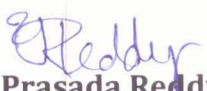
Place: Hyderabad

Date: 14.10.2019

UDIN: F005783A000074775



**For RPR & Associates
Company Secretaries**


Y. Ravi Prasada Reddy
Proprietor
FCS No. 5783, CP No. 5360