



NEWGEN™

Newgen Software Technologies Limited

CIN: L72200DL1992PLC049074

A-6, Satsang Vihar Marg, Qutab Institutional Area, New Delhi - 110 067, INDIA

Email: corpmktg@newgensoft.com URL: <https://newgensoft.com>

Tel.: (+91)-11-40770100, (+91)-11-2696 3571, 2696 4733, Fax: (+91)-11-2685 6936

Date: 13th April 2020

To, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001	To, National Stock Exchange of India Limited Exchange Plaza, Plot No. C/1, G Block, Bandra- Kurla Complex Bandra (E), Mumbai – 400051
Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017) Scrip Code – 540900	Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017)

Subject: Compliance Certificate pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Ma'am,

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate signed by the Company's Registrar and Share Transfer Agent i.e. KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited) and Compliance Officer of the Company for the Half Year ended 31st March 2020, certifying Compliance with the requirement of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Further, please note that as per the guidelines issued by Stock Exchanges and considering the grave situation due to outbreak of Covid-19 pandemic, the said certificate is being submitted in "Sd/- form".

Kindly take the same on your record.

Thanking you,

For Newgen Software Technologies Limited

Sd/-

Aman Mourya

Company Secretary & Compliance Officer

Encl.: a/a

COMPLIANCE CERTIFICATE

FOR THE HALF YEAR ENDED 31ST MARCH 2020

THIS IS TO CERTIFY that, KFin Technologies Pvt. Ltd (KFIN) is providing Registry and Share Transfer services to Newgen Software Technologies Limited and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration NO.INR000000221 issued by Securities Exchange Board of India (SEBI).**
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.**
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.**

THIS CERTIFICATE is issue in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking You,

**Yours Faithfully,
For KFin Technologies Private Limited**

**Sd/-
Ganesh Chandra Patro
Authorized Signatory**

**Place: Hyderabad
Date: 01/04/2020**

For Newgen Software Technologies Limited

**Sd/-
Aman Mourya
Company Secretary & Compliance Officer**

**Place: Noida
Date: 13/04/2020**

KFin Technologies Private Limited
(Formerly known as "KarvyFintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 3321 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649