



Date: April 17, 2021

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| To<br>The Deputy Manager<br>Department of Corporate Services<br>BSE Limited<br>PJ Towers, Dalal Street<br>Mumbai – 400 001<br><b>Scrip Code: 532784</b> | To<br>The Manager<br>The National Stock Exchange of India Limited<br>Exchange Plaza, Plot No C/1, G Block<br>Bandra Kurla Complex<br>Mumbai – 400 051<br><b>Scrip Code: SOBHA</b> |
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Dear Sir / Madam,

**Sub: Compliance certificate for the half year ended March 31, 2021**

With reference to the above, please find enclosed compliance certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

We request you to kindly take the aforesaid information on record.

Yours sincerely,

**FOR SOBHA LIMITED**

**VIGHNESHWAR G BHAT  
COMPANY SECRETARY & COMPLIANCE OFFICER**



**SOBHA LIMITED**

REGD & CORPORATE OFFICE : 'SOBHA', SARJAPUR - MARATHAHALLI OUTER RING ROAD, BELLANDUR POST, BANGALORE - 560103, INDIA  
CIN: L45201KA1995PLC018475 | TEL : +91-80-49320000 | FAX : +9180 49320444 | [www.sobha.com](http://www.sobha.com)

**CERTIFICATE**

*[In terms of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]*

I have examined relevant books, records and registers relating to transfer(s) of Securities of "SOBHA LIMITED" (herein after referred to as "The Company"), having its registered office at SOBHA, Sarjapur-Marathahalli Outer Ring Road (ORR), Devarabisanahalli, Bellandur Post, Bangalore, Karnataka, India - 560103, as maintained and made available to me by "Link Intime India Private Limited", having its office at C-101, 1<sup>st</sup> Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai - 400083, Maharashtra, India (Registrar to the Company, herein after referred to as "R.T.A") for the half year ended **31<sup>st</sup> March 2021**, for the purpose of rendering certificate, pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. On the basis of such examination and on the basis of information/records provided to me by R.T.A, and to the best of my knowledge:

I hereby certify that there were no transfers requests received during the period 01<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 and the Company/R.T.A has complied with Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**Place** : Bangalore

**Date** : 17.04.2021

**UDIN** : F006835C000115781

  
**K. NATESH**  
B.Sc., LL.B., F.C.S.,  
Company Secretary  
C.P. No. 7277  
F.C.S. 6835