

HDFC Balanced Fund

Annexure 2

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
25-May-13	Opening Balance							792,009	0.42
18-Jun-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	17,843	2,006,606.95	-	-	0.01
18-Jun-2013	Motilal Oswal Securities Limited	INB 231041238	NSE	Purchase	31,000	3,487,405.70	-	-	0.02
17-Jul-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	4,970	548,738.00	-	-	0.00
24-Jun-2014	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	12,400	2,083,852.76	-	-	0.01
2-Sep-2014	Dhanki Securities Private Limited	INB 230995632	NSE	Purchase	500,000	94,188,000.00	-	-	0.27
2-Sep-2014	Closing Balance							1,358,222	0.72

Opening balance is calculated on on Paid up share capital of Rs. 187,468,344/- comprising 187,468,344 equity shares of Re. 1/- each
 Closing balance is calculated on on Paid up share capital of Rs. 187,897,208/- comprising 187,897,208 equity shares of Re. 1/- each



HDFC Children's Gift Fund - Investment Plan

Annexure 3

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
25-May-13	Opening Balance							377,999	0.20
22-Aug-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	13,700	1,375,361.07	-	-	0.01
2-Sep-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	828	83,963.55	-	-	0.00
5-Sep-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	2,700	276,135.00	-	-	0.00
5-Sep-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	4,773	488,995.48	-	-	0.00
17-Dec-2013	IDBI Capital Market Services Ltd.	INB 230706631	NSE	Purchase	25,500	3,551,583.40	-	-	0.01
2-Sep-2014	Closing Balance							425,500	0.23

Opening balance is calculated on on Paid up share capital of Rs. 187,468,344/- comprising 187,468,344 equity shares of Re. 1/- each
Closing balance is calculated on on Paid up share capital of Rs. 187,897,208/- comprising 187,897,208 equity shares of Re. 1/- each



HDFC Small & Mid-Cap Fund

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
25-May-13 Opening Balance									
12-Aug-2014	Centrum Broking Limited	INB 231251134	NSE	Purchase	5,000	904,659.50	-	-	0.00
13-Aug-2014	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	25,000	4,535,471.00	-	-	0.01
14-Aug-2014	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	22,400	4,202,773.84	-	-	0.01
14-Aug-2014	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	2,600	488,664.00	-	-	0.00
19-Aug-2014	Asian Markets Securities Pvt. Ltd.	INB 010877137	BSE	Purchase	3,829	727,278.75	-	-	0.00
19-Aug-2014	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	26,694	5,065,886.04	-	-	0.01
20-Aug-2014	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	27,200	5,218,826.84	-	-	0.01
#####	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	3,600	691,818.00	-	-	0.00
21-Aug-2014	CD Equisearch Private Limited	INB 230781137	NSE	Purchase	10,000	1,958,910.00	-	-	0.01
21-Aug-2014	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	50,000	9,802,743.00	-	-	0.03
22-Aug-2014	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	24,127	4,650,297.85	-	-	0.01
22-Aug-2014	India Infoline Ltd.	INB 231097537	NSE	Purchase	165,000	33,072,600.00	-	-	0.09
22-Aug-2014	India Infoline Ltd.	INB 231097537	NSE	Purchase	14,650	2,845,996.27	-	-	0.01
27-Aug-2014	Tata Securities Limited	INB 231288730	NSE	Purchase	16,600	3,067,732.42	-	-	0.01
28-Aug-2014	Tata Securities Limited	INB 231288730	NSE		1,300	239,885.55	-	-	0.00
28-Aug-2014	Tata Securities Limited	INB 010664150	BSE	Purchase	1,500	277,282.00	-	-	0.00
2-Sep-2014	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	1,000	184,482.70	-	-	0.00
2-Sep-2014	Dhanki Securities Private Limited	INB 010995638	BSE	Purchase	25,500	4,803,384.00	-	-	0.01
2-Sep-2014	Dhanki Securities Private Limited	INB 230995632	NSE	Purchase	99,000	18,649,224.00	-	-	0.05
2-Sep-2014	Closing Balance							525,000	0.28

Closing balance is calculated on on Paid up share capital of Rs. 187,897,208/- comprising 187,897,208 equity shares of Re. 1/- each



HDFC MF Monthly Income Plan - Long Term Plan

Annexure 5

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
25-May-13	Opening Balance							133,874	0.07
27-Mar-2014	Anand Rathi Share and Stock Brokers Ltd.	INB 230676935	NSE	Sale	-	-	133,874	18,901,496.19	0.07
2-Sep-2014	Closing Balance				-	-			-

Opening balance is calculated on on Paid up share capital of Rs. 187,468,344/- comprising 187,468,344 equity shares of Re. 1/- each





HDFC Mid-Cap Opportunities Fund

Annexure 6

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
25-May-13 Opening Balance								3,700,000	1.97
17-Jun-2013	Kotak Securities Ltd.	INB 230808130	NSE	Purchase	3,000	345,496.20	-	-	0.00
18-Jun-2013	Motilal Oswal Securities Limited	INB 231041238	NSE	Purchase	22,000	2,474,933.40	-	-	0.01
19-Jun-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	2,500	282,252.00	-	-	0.00
26-Jun-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	2,880	305,436.68	-	-	0.00
26-Jun-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	41	4,334.42	-	-	0.00
27-Jun-2013	Systematic Shares & Stocks (I) Ltd.	INB 231132730	NSE	Purchase	1,541	161,325.25	-	-	0.00
27-Jun-2013	Systematic Shares & Stocks (I) Ltd.	INB 011132736	BSE	Purchase	1,305	136,247.50	-	-	0.00
28-Jun-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	2,275	248,506.69	-	-	0.00
28-Jun-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	6,500	698,407.00	-	-	0.00
28-Jun-2013	HDFC Securities Ltd.	INB 230597738	NSE	Purchase	16,300	1,731,171.04	-	-	0.01
5-Jul-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	5,900	626,556.00	-	-	0.00
9-Jul-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	582	62,347.70	-	-	0.00
10-Jul-2013	Systematic Shares & Stocks (I) Ltd.	INB 231132730	NSE	Purchase	417	44,481.19	-	-	0.00
10-Jul-2013	Systematic Shares & Stocks (I) Ltd.	INB 011132736	BSE	Purchase	49	5,216.15	-	-	0.00
16-Jul-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	927	102,658.24	-	-	0.00
16-Jul-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	36	3,986.32	-	-	0.00
18-Jul-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	2,771	309,905.07	-	-	0.00
22-Jul-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	1,900	211,168.95	-	-	0.00
22-Jul-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	1,300	144,691.00	-	-	0.00
24-Jul-2013	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	2,320	264,579.98	-	-	0.00
25-Jul-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	1,890	210,107.54	-	-	0.00
26-Jul-2013	Asian Markets Securities Pvt. Ltd.	INB 010877137	BSE	Purchase	1,000	112,973.00	-	-	0.00
26-Jul-2013	Asian Markets Securities Pvt. Ltd.	INB 230977135	NSE	Purchase	577	60,715.24	-	-	0.00
30-Jul-2013	HDFC Securities Ltd.	INB 231109437	BSE	Purchase	313	32,888.27	-	-	0.00
30-Jul-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	2,670	276,751.30	-	-	0.00
5-Aug-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	23,916	2,466,835.00	-	-	0.01
6-Aug-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	21,466	2,202,892.32	-	-	0.01
7-Aug-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	726	74,438.18	-	-	0.00
7-Aug-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	4,839	496,511.86	-	-	0.00
13-Aug-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	100	10,320.00	-	-	0.00
13-Aug-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	9,030	931,882.37	-	-	0.00
29-Aug-2013	DSP Merrill Lynch Limited	INB 231348138	NSE	Purchase	57,000	5,741,091.80	-	-	0.03
29-Aug-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	162	16,392.05	-	-	0.00
12-Sep-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	1,510	158,860.00	-	-	0.00
13-Sep-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	14,800	1,557,254.52	-	-	0.01
13-Sep-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	15,821	1,664,444.94	-	-	0.01
13-Sep-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	200	20,987.00	-	-	0.00
17-Sep-2013	DSP Merrill Lynch Limited	INB 231348138	NSE	Purchase	54,726	5,594,201.12	-	-	0.03
18-Sep-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	2,510	256,423.59	-	-	0.00
19-Sep-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	408	41,689.86	-	-	0.00
19-Sep-2013	Prabhudas Lilladher Private Limited	INB 010502855	BSE	Purchase	700	71,541.00	-	-	0.00
27-Sep-2013	Prabhudas Lilladher Private Limited	INB 230597738	NSE	Purchase	78	7,971.80	-	-	0.00
27-Sep-2013	India Infotune Ltd.	INB 011097533	BSE	Purchase	53,400	5,458,655.00	-	-	0.03
24-Oct-2013	JM Financial Institutional Securities Private Limited	INB 231296634	NSE	Purchase	3,400	399,153.40	-	-	0.00
31-Oct-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	44	4,994.16	-	-	0.00

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31-Oct-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	1,231	139,059.56	-	-	0.00
14-Nov-2013	HDFC Securities Ltd.	INB 011109437	BSE	Purchase	101	11,661.34	-	-	0.00
14-Nov-2013	HDFC Securities Ltd.	INB 231109431	NSE	Purchase	600	68,865.54	-	-	0.00
28-Nov-2013	DIRECT	Not Applicable	Not Applicable	Inter Scheme Purchase	40,000	5,186,000.00	-	-	0.02
6-Dec-2013	Antique Stock Broking Ltd.	INB 231298739	NSE	Purchase	50,000	6,764,345.00	-	-	0.03
9-Dec-2013	Edelweiss Securities Ltd.	INB 230895733	NSE	Purchase	50,000	6,814,960.00	-	-	0.03
10-Dec-2013	SBCap Securities Limited	INB011053031	BSE	Purchase	99,150	13,510,773.50	-	-	0.05
16-Dec-2013	Anand Rathi Share and Stock Brokers Ltd.	INB 230676935	NSE	Purchase	58,591	8,220,727.70	-	-	0.03
20-Dec-2013	IDFC Securities Ltd.	INB 231291437	NSE	Purchase	75,000	10,521,996.50	-	-	0.04
24-Dec-2013	Murailal Saraf	INB 230650012	NSE	Purchase	50,000	7,064,100.00	-	-	0.03
22-Aug-2014	Emkay Global Financial Services Limited	INB 230901838	NSE	Purchase	243,159	46,867,073.45	-	-	0.13
22-Aug-2014	India Infoline Ltd.	INB 011097533	BSE	Purchase	900,000	180,396,000.00	-	-	0.48
22-Aug-2014	India Infoline Ltd.	INB 231097537	NSE	Purchase	85,000	17,037,400.00	-	-	0.05
26-Aug-2014	Wallfort Financial Services Ltd	INB 010852433	BSE	Purchase	21,000	3,868,491.00	-	-	0.01
26-Aug-2014	Tata Securities Limited	INB 010664150	BSE	Purchase	5,000	920,068.00	-	-	0.00
26-Aug-2014	Tata Securities Limited	INB 231288730	NSE	Purchase	3,000	550,342.50	-	-	0.00
2-Sep-2014	Dhanki Securities Private Limited	INB 230995632	NSE	Purchase	1,000,000	188,374,999.00	-	-	0.53
2-Sep-2014	Dhanki Securities Private Limited	INB 010995638	BSE	Purchase	874,500	164,727,816.00	-	-	0.47
2-Sep-2014	Closing Balance					7,603,500			4.05

Opening balance is calculated on on Paid up share capital of Rs. 187,468,344/- comprising 187,468,344 equity shares of Re. 1/- each
Closing balance is calculated on on Paid up share capital of Rs. 187,897,208/- comprising 187,897,208 equity shares of Re. 1/- each



HDFC Multiple Yield Fund – Plan 2005

Annexure 7

Trade Date	Trading Member through whom the trade was executed	SEBI Registration No. of the Trading Member	Exchange on which the trade was executed	Transaction	Buy Quantity	Buy Value (Amount in Rs.)	Sell Quantity	Sell Value (Amount in Rs.)	% of shares / voting rights post-acquisition / Sale
25-May-13	Opening Balance							160,000	0.09
28-Nov-2013	DIRECT	Not Applicable	Not Applicable	Inter Scheme Sale	-	-	40,000	5,186,000.00	0.02
2-Dec-2013	Emkay Global Financial Services Limited	INB 230901838	NSE	Sale	-	-	5,000	694,077.50	0.00
30-Dec-2013	HDFC Securities Ltd.	INB 231109431	NSE	Sale	-	-	10,000	1,447,050.00	0.01
27-Mar-2014	SBICap Securities Limited	INB231052938	NSE	Sale	-	-	8,000	1,156,619.40	0.00
28-Mar-2014	Standard Chartered - STCT Capital Markets Ltd.	INB 231333338	NSE	Sale	-	-	5,000	733,786.50	0.00
2-Sep-2014	Closing Balance							92,000	0.05

Opening balance is calculated on on Paid up share capital of Rs. 187,468,344/- comprising 187,468,344 equity shares of Re. 1/- each

Closing balance is calculated on on Paid up share capital of Rs. 187,897,208/- comprising 187,897,208 equity shares of Re. 1/- each

