

UTI Asset Management Company Limited



Ref. No.: UTI/AMC/CS/SE/2025-26/0531

Date: 22nd April, 2025

National Stock Exchange of India Limited

Exchange Plaza Plot No. C/1
G Block Bandra – Kurla Complex
Bandra East, Mumbai – 400 051.

Scrip Symbol: UTIAMC

BSE Limited

Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001.

Scrip Code / Symbol: 543238 / UTIAMC

Sub: **Certificate for compliance of Regulation 3 (5) and 3 (6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 for the quarter ended 31st March, 2025**

Dear Sir / Madam,

We are forwarding herewith a certificate for compliance of Regulation 3 (5) and 3 (6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 for the quarter ended 31st March, 2025 duly certified by a Practicing Company Secretary.

Thanking you,

For **UTI Asset Management Company Limited**

Arvind Patkar
Company Secretary and Compliance Officer
Membership No. ACS 21577

Encl.: As above



VISHAL N. MANSETA (B.Com, A. C. S.)

Practicing Company Secretary

Off. No. 12, B Wing, Smital Avenue, Hatkesh Udyog Nagar Road, Near JP North,
Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780

Web : www.vishalmanseta.com Email : vishal_manseta@rediffmail.com / vishal@vishalmanseta.com

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2025

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Vishal N. Manseta, Practicing Company Secretary appointed by UTI Asset Management Company Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has an internal Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. all the UPSI disseminated in the previous quarter have been captured in the Database;
4. the system has captured nature of UPSI along with date and time;
5. the database has been maintained internally and an audit trail is maintained;
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 6 number of events during the quarter ended March 31, 2025 and has captured 6 number of the said required events.

I would like to report that the following non-compliance was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL.

For **Vishal N Manseta**

Practicing Company Secretary

Vishal
Navinchandra Manseta
ra Manseta

Vishal N. Manseta

M. No : 25183

C.P. No : 8981

PRC No : 1584/2021

Date : April 17, 2025

Place : Mumbai

UDIN : **A025183G000134886**