

COOL CAPS INDUSTRIES LIMITED

Regd. Office : 23, Sarat Bose Road, 1st Floor, Suite - 1C, Kolkata - 700 020, W.B., India
Ph. : +91 33 40703238, E-mail : coolcaps2015@gmail.com
CIN : L27101WB2015PLC208523

Dated: 15.12.2022

To,
Corporate Relations Department,
National Stock Exchange of India Limited,
Exchange Plaza, Plot No., C/1, G-Block,
Bandra Kurla Complex, Bandra (E),
Mumbai-400051 MH

Scrip Code: COOLCAPS
ISIN: INE0HS001010

Subject: Intimation regarding creation of pledge over Equity Shares held by Promoter Group under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended ("SEBI Listing Regulations") by Cool Caps Industries Limited ("Company").

Dear Sir,

This is to inform you that a pledge is created over the equity shares of our company held by Mr. Arun Gourisaria and Mr. Rishi Gourisaria, who are falling under Promoter Group of the company for the loan facility of Rs. 48 crores taken by Purv Technoplast Pvt Ltd (CIN: U25111WB2020PTC238179), Wholly Owned Subsidiary Company of Cool Caps Industries Limited for the purpose of installation of recycling plant at Guwahati.

In this regard the shares of Mr. Arun Gourisaria and Mr. Rishi Gourisaria were pledge as collateral security to HDFC Bank Limited. Details of the pledge are given below.

Sl. No.	Name of Shareholder / Promoter Group	No. of Shares pledged	Pledge Instruction No.	Pledgee	Depository	Pledge Period
1	Mr. Arun Gourisaria	450000	10000000063191	HDFC Bank Ltd	NSDL	December 14, 2022 to March 31, 2032
2	Mr. Rishi Gourisaria	50000	10000000063180	HDFC Bank Ltd	NSDL	December 14, 2022 to March 31, 2032
Total		500000				

This disclosure is made in pursuance to Regulation 30(6) of the SEBI (Listing Obligations and Disclosure Requirements), Regulation, 2015 read with Schedule III.

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CIN : L27101WB2015PLC208523

This is to further inform that the copy of Investor Update has been uploaded on the website of the company www.coolcapsindustries.in and is also available on Stock Exchange for your information and for the information of your members and the public at large.

Thanking you

Yours faithfully

For Cool Caps Industries Limited

For COOL CAPS INDUSTRIES LTD.


Chairman-Cum-Managing Director
DIN : 00181693

Rajeev Goenka
Chairman and Managing Director
DIN: 00181693



National Securities Depository Limited
ASHIKA STOCK BROKING LIMITED [IN303591]
TRINITY, 226/1, A.J.C.BOSE ROAD, 7TH FLOOR, KOLKATA, 700020

Phone: 033-22839952/40102519 Fax: 033-22891555

Date : 14-Dec-2022
ARUN GOURISARIA

Dear Sir / Madam,

We have processed your request for pledge of securities, the details of which are as follows:

(I) Pledgor Client Details

1	Client ID	10003061
2	Pledgor Name (Sole/ First Holder Name)	ARUN GOURISARIA
3	Second Holder Name	
4	Third Holder Name	
5	DP ID	IN303591
6	DP Name	ASHIKA STOCK BROKING LIMITED

(II) Transaction Details

1	ISIN	INE0HS001010
2	Security Description	COOL CAPS INDUSTRIES LIMITED EQ
3	Lock-in Reason & Release date (If locked-in)	Pre IPO Shares & 01-Apr-23 12:00:00AM
4	Pledge Instruction Number	10000000063191
5	Pledge Qty.	450,000.000
6	Agreement No.	486927
7	Pledge Execution date	14-Dec-2022
8	Pledge Closure date	31-Mar-2032
9	Pledge Instruction Status	Pending for Pledgee Confirmation

(III) Pledgee Client Details

1	Pledgee Name	HDFC BANK LIMITED
2	Client ID	10033439
3	DP ID	IN300126
4	DP Name	HDFC BANK LIMITED

For Confirmation of Pledge, the Pledgee will have to give such instruction to their Participants.
Yours faithfully,
For (ASHIKA STOCK BROKING LIMITED)

Signature of the Authorised Signatory
Stamp of the Participant

*** End of Report ***





business date: 14-Dec-2022

CLIENT MASTER LIST

print date: 14-Dec-2022 3:55:51PM

national securities depository limited

DP:ASHIKA STOCK BROKING LIMITED(JIN303591)

TRINITY, 22/6/1, A.J.C. BOSE ROAD, 7TH FLOOR KOLKATA, 700020

Phone: 033-22839952/40102519 Fax: 033-22891555

selection criteria :		client id from: 10003061		to: 10003061		active date from: All		to: All			
client id	10003061	standing instruction	Y	short name	A0045	a/c category	Beneficiary	client type	Resident	a/c activation date	17/05/2010
status	Active	status change reason				branch	Main Branch	sub. type	Ordinary	a/c clos./susp. date	
personal details :											
Sole/First Holder Name		ARUN GOURISARIA				Occupation		Business			
First Holder's Father's/Spouse's name		OMPRAKASH GOURISARIA				Other Address		9A, LITTLE RUSSEL STREET, KOLKATA			
Address		9A, LITTLE RUSSEL STREET, KOLKATA				pin code		700071		Country India State WEST BENGAL	
pin code		700071		Country India		State WEST BENGAL		pin code		700071	
Mobile/Phone No		033-40016183		fax no.		Mobile/Phone No		033-40016183		fax no.	
e-DIS flag		N				One Time Declaration for GSEC IDT Flag		Not Submitted			
financial details :											
bank account number		025027996006		bank account type		Savings		ifsc code		HSBC0700010	
bank name		HSBC LTD.						micr code		700039010	
bank address		25A, SHAKESPEARE SARANI, KOLKATA						LEI No		Not Assigned	
Gross Annual Income Range		1-5 Lacs						Net Worth (Rs)		Net Worth as on Date	
other details :											
sole/first holder		pan		ADCPG2293A		Mobile / Phone No		9831681996		Email Id	
		Family Flag(Mobile)				Family Flag(Email)				SMS Facility Available	
		Receive Annual Reports, AGM notices and other communications from Issuers & RTAs in physical form Flag						PAN Flag		PAN verified and seeded with Aadhaar	
Sole/First Holder DOB		19-07-1972		First Holder Aadhaar		XXXXXXXXXX9778				reason for non-updation of aadhaar	
Nomination :		"No Nomination" Opted									

*** End of Report ***





Business Date: 14-Dec-2022

Pledge Master Report

Print Date: 14-Dec-2022 04:12 PM

National Securities Depository Limited

DP: ASHIKA STOCK BROKING LIMITED [IN303591]

TRINITY, 226/1, A.J.C. BOSE ROAD, 7TH FLOOR

KOLKATA, -700020

Phone: 033-22839952/40102519 Fax: 033-22891555

Selection Criteria:

Client Id : 10003061
Pledge DP Id : All

Date From : All
ISIN : All
Pledge Client Id : All

To All

Channel Indicator All
Status :
Agreement No. : All

1) Pledge Instr.	1) ISIN	1) Pledged Quantity	1) Status	1) Lock-In Release Date
2) Pledge Type	2) ISIN Description	2) Closed Quantity	2) Rejection Reasons	2) Lock-In Reason
3) Unique ID	3) Execution Date	3) Invoked Quantity	3) Internal Reference Number	3) Closure Date
4) Margin Pledge Ind Desc	4) Margin Plg Instr ID			4) Agreement Number

Pledge DP IN300126 HDFC BANK LIMITED

Pledgor Client 10003061 ARUN GOURISARIA

Pledgee Client 10033439

1) 10000000063191	1) INE0HS001010	1) 450,000	1) Pending for Pledgee Confirmation	1) 01-Apr-2023
2) Pledge	2) COOL CAPS INDUSTRIES LIMITED EQ	2)	2)	2) Pre IPO Shares
3) 10000000063191	3) 14-Dec-22	3)	3)	3) 31-Mar-2032
4)	4)			4) 486927

*** End of Report ***





Date : 14-Dec-2022

RISHI GOURISARIA

M/S. KANCHANA, 113, PARK STREET

Dear Sir / Madam,

We have processed your request for pledge of securities, the details of which are as follows:

(I) Pledgor Client Details		
1	Client ID	10003394
2	Pledgor Name (Sole/ First Holder Name)	RISHI GOURISARIA
3	Second Holder Name	
4	Third Holder Name	
5	DP ID	IN303591
6	DP Name	ASHIKA STOCK BROKING LIMITED
(II) Transaction Details		
1	ISIN	INE0HS001010
2	Security Description	COOL CARS INDUSTRIES LIMITED EQ
3	Lock-in Reason & Release date (If locked-in)	Pre IPO Shares & 01-Apr-23 12:00:00AM
4	Pledge Instruction Number	10000000063180
5	Pledge Qty.	50,000,000
6	Agreement No.	486927
7	Pledge Execution date	14-Dec-2022
8	Pledge Closure date	31-Mar-2032
9	Pledge Instruction Status	Pending for Pledgee Confirmation
(III) Pledgee Client Details		
1	Pledgee Name	HDFC BANK LIMITED
2	Client ID	10033439
3	DP ID	IN300126
4	DP Name	HDFC BANK LIMITED

For Confirmation of Pledge, the Pledgee will have to give such instruction to their Participants.

Yours faithfully,

For (ASHIKA STOCK BROKING LIMITED)

Signature of the Authorised Signatory

Stamp of the Participant

*** End of Report ***





Business Date: 14-Dec-2022

Pledge Master Report

Print Date: 14-Dec-2022 03:58 PM

National Securities Depository Limited
DP: ASHIKA STOCK BROKING LIMITED (IN303591)

TRINITY, 226/1, A.J.C. BOSE ROAD, 7TH FLOOR

KOLKATA, -700020

Phone: 033-22839952/40102519 Fax: 033-22891555

Selection Criteria:

Client Id : 10003394

Pledge DP Id : All

Date From : All

ISIN : ALL

To All

Channel Indicator
Status : All

Pledge Client Id : All

Agreement No. : All

1) Pledge Instr.	1) ISIN	1) Pledged Quantity	1) Status	1) Lock-In Release Date
2) Pledge Type	2) ISIN Description	2) Closed Quantity	2) Rejection Reasons	2) Lock-In Reason
3) Unique ID	3) Execution Date	3) Invoked Quantity	3) Internal Reference Number	3) Closure Date
4) Margin Pledge Ind Desc	4) Margin Ptg Instr ID			4) Agreement Number

Pledge DP IN300126 HDFC BANK LIMITED

Pledge Client 10003394 RISHI GOURISARIA

Pledge Client	10033439				
1) 10000000063180	1) INE0HS001010	1) 50,000	1) Pending for Pledge Confirmation	1) 01-Apr-2023	
2) Pledge	2) COOL CAPS INDUSTRIES LIMITED EQ	2)	2)	2) Pre IPO Shares	
3) 10000000063180	3) 14-Dec-22			3) 31-Mar-2032	
4)	4)	3)	3)	4) 486927	

*** End of Report ***





business date: 14-Dec-2022

CLIENT MASTER LIST

print date: 14-Dec-2022 3:54:58PM

national securities depository limited
DP:ASHIKA STOCK BROKING LIMITED[IN303591]
TRINITY, 226/1, A.J.C. BOSE ROAD, 7TH FLOOR, KOLKATA, 700020
Phone: 033-22839952/40102519 Fax: 033-22891555

selection criteria :												client id from:		10003394		to:		10003394		active date from:		All		to:		All		
client id	10003394			standing instruction	Y	short name			R0030			a/c category	Beneficiary			client type	Resident			a/c activation date	31/05/2010							
status	Active			status change reason	A/c Deftrezed due to Order number-10000000000159																	a/c clos./susp. date						
													branch	Main Branch														

personal details :										client option to receive e-statement			
Sole/First Holder Name			RISHI GOURISARIA				Occupation			Business			
First Holder's Father's/Spouse's name			OMPRAKASH GOURISARIA				Other Address						
Address			M/S. KANCHANA, 113, PARK STREET, KOLKATA				pin code						
pin code			700016		Country		India		State		WEST BENGAL		
Mobile/Phone No			033-2292339		fax no.				Mobile/Phone No				
e-DIS flag			N		One Time Declaration for GSEC IDT Flag				Not Submitted				

financial details :									
bank account number	025041120006	bank account type	Savings	ifsc code	HSBC0700010	mifc code	700039010	LEI No	upi id
bank name	HSBC LTD.					poa		Not Assigned	tax decl. status
bank address	25A, SHAKESPEARE SARANI,		KOLKATA						pin code
Gross Annual Income Range	1-5 Lacs		Net Worth (Rs)				Net Worth as on Date		