

November 24, 2023

Investor Service Cell

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor
Plot No- 'C' Block, G Block
Bandra-Kurla Complex, Bandra (East)
Mumbai – 400 051
Scrip Code: CGCL

Ref: Your e-mail dated November 22, 2023 forwarding SC Complaint NSEHO/0012571/23-24 / I/CO filed by Mr.Anil Kumar, Infrastructure Watchdog

Sub: Reply to the reference complaint

Dear Sir / Madam,

We are in receipt of your above referred e-mail forwarding a complaint of Mr. Anil Kumar, Infrastructure Watchdog (complainant). In regards to the said complaint, we wish to submit below:

- 1) The allegations made by the complainant are totally false and baseless and we deny all the said allegations. We have complied with all the relevant rules and regulations pertaining to the Rights Issue of the Company;
- 2) The Company is listed on both the stock exchanges i.e. BSE and NSE and the equity shares of the Company are freely transferable in the market. Further the investment decision of LIC is independent of the Company;
- 3) The Company is managed by the professional Board of Directors and has prominent independent directors on its Board. The Company strictly follows and complies with all the Corporate Governance policies. Further the Statutory and Internal Auditors are in place, in line with applicable laws, who regularly audits Company's books of accounts/processes;
- 4) The Company being a NBFC, is regulated by RBI. Further RBI conducts annual audits / inspections of the Company to check the regulatory adherence / compliance to the RBI guidelines and has not issued any adverse observations regarding the functioning of the Company. It is further to be noted that the Company has been following all the applicable statutory and regulatory guidelines, rules, regulations and circulars.

In view of the above submissions, we state that the complaint is frivolous in nature and appears to have been made with sole object of harassments to the Company to extract financial gains.

You are requested to kindly treat the complaint as closed.

Thanking you,

Yours faithfully,

for **Capri Global Capital Limited**

Yashesh Bhatt

Company Secretary & Compliance Officer

Membership No. ACS 20491



Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

502, Tower - A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai, Maharashtra - 400013
+9122 4088 8100/4354 8200 | contact@capriglobal.in | www.capriloans.in