

CSFB.2025-26/13

April 03, 2025

**BSE Limited
Listing Compliance
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001
Maharashtra**

**National Stock Exchange of India Limited
The Listing Department,
Exchange Plaza,
Bandra Kurla Complex,
Mumbai - 400 051
Maharashtra**

Scrip Code: 544120, 951995 & 953739**Symbol: CAPITALSFB**

Subject: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Respected Sir/Madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we wish to inform you that the Company has received a cautionary email from, BSE Limited, dated April 03, 2025 at 02:00 p.m., regarding delay in proceedings of annual general meeting held on August 30, 2024 for financial year ended March 31, 2024. Copies of the cautionary email as received from BSE Limited is enclosed herewith.

The relevant details as required under the SEBI Listing Regulations read with SEBI Master Circular dated November 11, 2024, are enclosed as **Annexure - 1**. The Company had already taken necessary steps to avoid recurrence of such lapses in future. This disclosure will also be hosted on the Company's website viz. www.capitalbank.co.in.

Thanking you,

Yours faithfully

For Capital Small Finance Bank Limited

**Amit Sharma
Company Secretary & Compliance Officer
Membership No. F10888**

Annexure – 1

Information as required under Regulation 30 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and SEBI Master Circular dated November 11, 2024

S. No.	Particulars	Details
1.	Name of the authority	BSE Limited
2.	Nature and details of the action(s) taken or order(s) passed	Cautionary email
3.	Date of receipt of direction or order, including any ad-interim or interim	April 03, 2025 at 02:00 p.m.
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	Delay in proceedings of annual general meeting held on August 30, 2024 for financial year ended March 31, 2024
5.a	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	No impact on the financial, operations or other activities of the Company

Capital Small Finance Bank Limited

Company Secretary

From: Query lodr <query.lodr@bseindia.com>
Sent: 03 April 2025 14:00
To: amit1.sharma@capitalbank.co.in; CS@CAPITALBANK.CO.IN; mail@capitalbak.co.in
Subject: Cautionary Letter - Capital Small Finance Bank Limited (544120)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

LIST/COMP/JP/39/2025-
26

Date: April 3, 2025

To,
Company Secretary/ Compliance Officer
Capital Small Finance Bank Limited (544120)

Sub: Cautionary letter

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on August 31, 2024 regarding the proceedings of Annual General Meeting.

As per sub-para 13 of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR') read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, the listed entities are required to disclose to the Stock Exchange(s) Proceedings of annual and extraordinary general meetings of the listed entity not later than 12 hours from occurrence of the event or information.

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of 12 hours from the conclusion of general meeting as per sub-para 13 of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

Yours faithfully,

Listing Compliance Monitoring Team

BSE Limited

P J Towers, Dalal Street,
Mumbai - 400001, India

www.bseindia.com

Tel: 022 2272 8561 / 8475



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