

Brigade Enterprises Limited

Corporate Identity Number (CIN) : L85110KA1995PLC019126

Registered Office : 29th & 30th Floor, World Trade Center

Brigade Gateway Campus, 26/1, Dr. Rajkumar Road

Malleswaram - Rajajinagar, Bengaluru - 560 055, India

T : +91 80 4137 9200

E : enquiry@brigadegroup.com W : www.brigadegroup.com



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Ref: BEL/NSEBSE/CCV/19062020

19th June, 2020

Listing Department
National Stock Exchange of India Limited
Exchange Plaza,
Bandra Kurla Complex,
Bandra (East),
Mumbai - 400 051

Department of Corporate Services - Listing
BSE Limited
P. J. Towers
Dalal Street,
Mumbai - 400 001

Re.: Scrip Symbol: BRIGADE/Scrip Code: 532929

Dear Sir/Madam,

Sub.: Report on violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015:

We are enclosing herewith the report on the violation by two Designated Persons (employees) of the Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 and the action taken by the Company for such violation.

We request you to take the same on your records.

Thanking you,

Yours faithfully,

For Brigade Enterprises Limited

P. Om Prakash

Company Secretary & Compliance Officer

Encl.: a/a



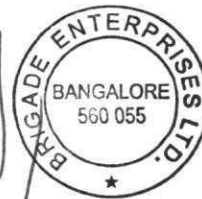
Brigade is recognised as being among
the best work places in the Real Estate Industry.





[Schedule B read with Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.No.	Particulars	Details
1	Name of the Listed Company	Brigade Enterprises Limited
2	Please tick appropriate checkbox Reporting in case of: ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	Name of the Designated Person (DP) Name of the immediate relative of DP if reporting is for immediate relative	Mr. N Shivaram
4	PAN of the DP PAN of the immediate relative of DP if reporting is for immediate relative	AEDPS8590R
5	Designation of DP	Sr. Vice President - Projects
6	Functional Role of DP	Engineering
7	Whether DP is Promoter/ Promoter Group/holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8	Transaction details	
	a) Name of the scrip	BRIGADE (NSE) 532929 (BSE)
	b) No of shares traded (which includes pledge) and value (Rs) (Date-wise)	270 equity shares with aggregate value of Rs. 25,900/- on 26 th May, 2020
9	In case value of trade(s) is more than Rs 10 lakhs in a calendar quarter - a) Date of intimation of trade(s) by concerned DP/director/promoter/ promoter group to Company under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015 b) Date of intimation of trade by Company to stock exchanges under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable Not Applicable
10	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Trading in equity shares of the Company by Mr. N Shivaram, Designated Person when the trading window was closed
11	Action taken by Listed company/ Intermediary/ Fiduciary	Mr. N. Shivaram has informed that he did not give any instruction for sale and the broker has sold on his own accord for margin money



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		shortfall requirement. He has been issued a strict warning in writing and instructed to take up the matter with his Broker as to how the trade was executed unilaterally without the consent / approval of the client.
12	Reasons recorded in writing for taking action stated above	Mr. Shivaram has pleaded ignorance on the trade and that there he did not have any intention to violate the Regulations and Code.
13	Details of the previous instances of violations, if any, since last financial year	No
14	Any other relevant information	

Yours faithfully,

Date: 19th June, 2020

For **Brigade Enterprises Limited**


P. Om Prakash

Place: Bangalore

Company Secretary & Compliance Officer

PAN: AAFPO5230K

Email id: omprakash@brigadegroup.com

Mobile No.: 9740013062

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Report by Brigade Enterprises Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

[Schedule B read with Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.No.	Particulars	Details
1	Name of the Listed Company	Brigade Enterprises Limited
2	Please tick appropriate checkbox Reporting in case of: ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	Name of the Designated Person (DP) Name of the immediate relative of DP if reporting is for immediate relative	Ms. Kakoli Dey
4	PAN of the DP PAN of the immediate relative of DP if reporting is for immediate relative	AIWPD8352L
5	Designation of DP	General Manager - Sales
6	Functional Role of DP	Sales
7	Whether DP is Promoter/ Promoter Group/holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
8	Transaction details	
	a) Name of the scrip	BRIGADE (NSE) 532929 (BSE)
	b) No of shares traded (which includes pledge) and value (Rs) (Date-wise)	5400 equity shares with aggregate value of Rs. 5,20,403/- on 21 st May, 2020
9	In case value of trade(s) is more than Rs 10 lakhs in a calendar quarter - a) Date of intimation of trade(s) by concerned DP/director/promoter/ promoter group to Company under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015 b) Date of intimation of trade by Company to stock exchanges under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	Not Applicable Not Applicable
10	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Sale of equity shares of the Company Ms. Kakoli Dey, Designated Person when the trading window was closed
11	Action taken by Listed company	Ms. Kakoli Dey had some urgent financial requirements due to which she has sold the shares and



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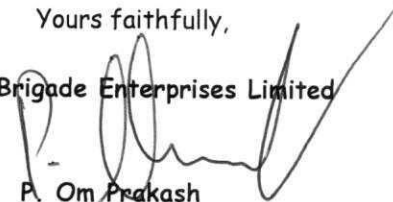
		that she has not read the communication sent on trading window closure. A strict warning has been issued.
12	Reasons recorded in writing for taking action stated above	Ms. Kakoli Dey has informed that she did not have any intention to violate the Regulations and Code. The sale was done only for urgent financial requirements. She has resigned from the services of the Company on 27 th May, 2020.
13	Details of the previous instances of violations, if any, since last financial year	No
14	Any other relevant information	

Date: 19th June, 2020

Place: Bangalore

Yours faithfully,

For Brigade Enterprises Limited


P. Om Prakash

Company Secretary & Compliance Officer

PAN: AAFPO5230K

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