BHARAT FORGE

November 13, 2021

To,

BSE Limited,
1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Fort,
Mumbai - 400 001
BSE SCRIP CODE – 500493

National Stock Exchange of India Ltd.,
'Exchange Plaza',
Bandra-Kurla Complex, Bandra (East)
Mumbai- 400 051
Symbol: **BHARATFORG**
Series: **EQ**

Dear Sir/Madam,

Sub: Reporting of violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated July 23, 2020

With reference to the above, please find enclosed herewith report on violation under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company by the Designated Persons and/or their immediate relatives.

This is for your information.

Thanking you,

Yours faithfully,
For **Bharat Forge Limited**

Tejaswini Chaudhari
Company Secretary

BHARAT FORGE LTD. PUNE 411 036 MUNDHWA

KALYANI

BHARAT FORGE LIMITED, MUNDHWA, PUNE 411 036, MAHARASHTRA, INDIA.
PHONE: + 91 20 6704 2476 6704 2451 6704 2544 (Secretarial) Fax 020 2682 2163
Email: secretarial@bharatforge.com WEBSITE: www.bharatforge.com CIN L25209PN1961PLC012046

BHARAT FORGE

Reporting on Insider Trading violation under Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

SN	Particulars	Details
1.	Name of the listed company/ ~~Intermediary/Fiduciary~~	Bharat Forge Limited
2.	Please tick appropriate checkbox Reporting in capacity of : √ Listed Company Intermediary Fiduciary	
3.	**A. Details of Designated Person (DP)**	
	i. Name of the DP	Subodh Eknath Tandale
	ii. PAN of the DP	AALPT0897M
	iii. Designation of DP	Executive Director
	iv. Functional Role of DP	Head of International Trade Division
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Bharat Forge Limited BSE- 500493 NSE- BHARATFORG
	ii. No of shares traded and value (Rs.) (Date- wise)	Date: 11-Oct-2021; Qty: 138; Value (Rs.): 108,805.82; Transaction: Buy Date: 01-Nov-2021; Qty: 70; Value (Rs.): 55,219.50; Transaction: Buy
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under	NA

KALYANI

BHARAT FORGE LTD. PUNE 411 036 MUNDHWA

BHARAT FORGE LIMITED, MUNDHWA, PUNE 411 036, MAHARASHTRA, INDIA.
PHONE: + 91 20 6704 2476 6704 2451 6704 2544 (Secretarial) Fax 020 2682 2163
Email: secretarial@bharatforge.com WEBSITE: www.bharatforge.com CIN L25209PN1961PLC012046

	regulation 7 of SEBI (PIT) Regulations, 2015	
4.	Details of violations observed under Code of Conduct	DP traded in shares of the company during trading window closure period.
5.	Action taken by Listed company/ Intermediary/ Fiduciary	The Company took the following actions: a. Sought explanation in writing for the said violation; b. Issued warning against any future violation; c. Banned from engaging in any trade of the securities of the Company for a period of 1 year from November 13, 2021
6.	Reasons recorded in writing for taking action stated above	Company took actions as mentioned in row no. 5 above considering the amount involved and the fact that this was a first time violation by the DP.
7.	Details of the previous instances of violations, if any, since last financial year	None
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	None
	ii. Details of transfer/ payment In case of online: NA	

Particulars	Details
Name of transferor	-
Bank Name, branch and Account number	-
UTR/Transaction reference Number	-
Transaction Date	-
Transaction Amount (in Rs.)	-

In case of Demand Draft (DD): NA

Particulars	Details
Bank name and branch	-

BHARAT FORGE LTD. PUNE 411 036 MUNDHWA

BHARAT FORGE

	DD Number	-	
	DD Date	-	
	DD Amount (in Rs.)	-	
9.	Any other relevant information	None	

BHARAT FORGE LTD. PUNE 411 036 MUNDHWA

KALYANI

BHARAT FORGE LIMITED, MUNDHWA, PUNE 411 036, MAHARASHTRA, INDIA.
PHONE: + 91 20 6704 2476 6704 2451 6704 2544 (Secretarial) Fax 020 2682 2163
Email: secretarial@bharatforge.com WEBSITE: www.bharatforge.com CIN L25209PN1961PLC012046