

**USFB/CS/SE/2024-25/124**

**Date: February 20, 2025**

**To,**

**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza, C-1, Block G, Bandra Kurla  
Complex, Bandra (E)  
Mumbai – 400 051

**BSE Limited**  
Listing Compliance  
P.J. Tower,  
Dalal Street, Fort,  
Mumbai – 400 001

**Symbol: UJJIVANSFB**

**Scrip Code: 542904**

Dear Sir/Madam,

**Sub: Intimation of Imposition of Fine or Penalty in terms of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015,**

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the bank is in receipt of a DRC07 order/demand order from Assistant Commissioner of State Tax, Ahmedabad and Sales Tax Office Class II, Delhi, imposing a penalty on the Bank for matter/s mentioned in the below table. The details as required to be provided as per sub-para 20 of Para A of Part A of Schedule III of SEBI LODR Regulations are as follows:

| S.N. | Particulars  | Details   |                       |
|------|--|---|-----------------------|
| 1    | Name of the authority  | Assistant Commissioner of State Tax, Ghatak 6 (ABD), Rang 2 (ABD) Ahmedabad 380001, |                       |
| 2    | Period Involved  | FY 2020-2021  |                       |
| 3    | Nature and details of the action(s) taken, initiated or order(s) passed  | Order No ZD240225078311Q<br>Order Date 20-02-2025                                   |                       |
| 4    | Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority | 20-02-2025  |                       |
| 5    | Details of the violation(s)/ contravention(s) committed or alleged to be committed   | Disallowance of Input Tax Credit  |                       |
| 6    | Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible   | Value of Tax Disputed   | Rs. 20,81,868/-       |
|      |  | Amount of Interest  | Rs. 16,45,760/-       |
|      |  | <b>Amount of Penalty</b>  | <b>Rs. 2,27,912/-</b> |
|      |  | Total Value involved  | Rs. 39,55,540/-       |

| S.N. | Particulars  | Details  |                        |
|------|--|--|------------------------|
| 1    | Name of the authority  | Sales Tax Office Class II, AVATO, Ward 51, ZONE 3 Delhi, |                        |
| 2    | Period Involved  | FY 2020-2021   |                        |
| 3    | Nature and details of the action(s) taken, initiated or order(s) passed  | Order No ZD0702250478739<br>Order Date 20-02-2025        |                        |
| 4    | Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority | 20-02-2025   |                        |
| 5    | Details of the violation(s)/ contravention(s) committed or alleged to be committed   | Disallowance of Input Tax Credit                         |                        |
| 6    | Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible   | Value of Tax Disputed                                    | Rs. 2,36,95,649/-      |
|      |  | Amount of Interest                                       | Rs. 1,76,33,459/-      |
|      |  | <b>Amount of Penalty</b>                                 | <b>Rs. 23,69,565/-</b> |
|      |  | Total Value involved                                     | Rs. 4,36,98,673/-      |

This intimation will also be available on the website of the Bank [www.ujjivansfb.in](http://www.ujjivansfb.in)

We request you to kindly take note of the above.

Thanking You,

Yours faithfully,

**For UJJIVAN SMALL FINANCE BANK LIMITED**

**Sanjeev Barnwal**  
**Company Secretary & Head of Regulatory Framework**