

BCC:ISD:117:16:108

02.04.2025

<p>The Vice-President, B S E Ltd., Phiroze Jeejeebhoy Towers Dalal Street Mumbai – 400 001 BSE CODE-532134</p>	<p>The Vice-President, National Stock Exchange of India Ltd. Exchange Plaza, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051 CODE-BANKBARODA</p>
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Dear Sir / Madam

Sub: Intimation under Regulation 30 of SEBI (LODR) Regulations, 2015

In terms of regulation 30 of SEBI LODR Regulations 2015, we annex herewith the cautionary email dated 01.04.2025 received from the stock exchanges.

We request you to take note of the above pursuant to SEBI (LODR) Regulations, 2015 and upload the information on your website.

Yours faithfully,

P K Agarwal  
Company Secretary

## Company Secretary [BCC]

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**From:** Query lodr <query.lodr@bseindia.com>  
**Sent:** 01 April 2025 19:02  
**To:** Company Secretary [BCC]; investorservices  
**Subject:** Cautionary letter - Bank of Baroda (532134)

**\*\*सावधान:** यह मेल बैंक डोमेन के बाहर से आया है. अगर आप प्रेषक को नहीं जानते तो मेल में दी गयी लिंक पर क्लिक ना करें या अटैचमेंट ना खोलें.  
**\*\*CAUTION:** THIS MAIL IS ORIGINATED FROM OUTSIDE OF THE BANK'S DOMAIN. DO NOT CLICK ON LINKS OR OPEN ATTACHMENTS UNLESS YOU KNOW THE SENDER.

CONFIDENTIAL

LIST/COMP/JP/15/2025-26

Date: April 1, 2025

To,  
Company Secretary/ Compliance Officer  
**Bank of Baroda (532134)**

**Sub: Cautionary letter**

Dear Sir/Madam,

This is in reference to the announcement submitted by the Bank on December 30, 2023 regarding penalty imposed by Reserve Bank of India.

As per Regulation 30 read with Sub-para 20 of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR') and SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, in case of Action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity or its directors, key managerial personnel, senior management, promoter or subsidiary, in relation to the listed entity, the said event shall be disclosed to the Stock Exchanges by the listed entities within 24 hours of receipt of the order /action taken.

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of within 24 hours of receipt of order/action taken as per sub-para 20 of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

Yours faithfully,

Listing Compliance Monitoring Team

**BSE Limited**

P J Towers, Dalal Street,

Mumbai - 400001, India

[www.bseindia.com](http://www.bseindia.com)

Tel: 022 2272 8561/8475

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## Company Secretary [BCC]

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**From:** neaps@nse.co.in  
**Sent:** 01 April 2025 19:12  
**To:** Company Secretary [BCC]  
**Subject:** BANKBARODA-Cautionary Email

**\*\*सावधान:** यह मेल बैंक डोमेन के बाहर से आया है. अगर आप प्रेषक को नहीं जानते तो मेल में दी गयी लिंक पर क्लिक ना करें या अटैचमेंट ना खोलें.  
**\*\*CAUTION:** THIS MAIL IS ORIGINATED FROM OUTSIDE OF THE BANK'S DOMAIN. DO NOT CLICK ON LINKS OR OPEN ATTACHMENTS UNLESS YOU KNOW THE SENDER.

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on December 30, 2023 regarding the penalty imposed by Reserve Bank of India as per sub-para 20 of Para A of Part A of Schedule III of SEBI LODR.

As per Regulation 30 read with sub-para 20 of Para A Part A of Schedule III of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, in case of Action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity or its directors, key managerial personnel, senior management, promoter or subsidiary, in relation to the listed entity the said event shall be disclosed to the Stock Exchanges by the listed entities within 24 hours of receipt of order/action taken.

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of within 24 hours of receipt of order/action taken as per sub-para 20 of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary email on the Stock Exchanges where they are listed.

Additionally, the Company is advised to place before their Board of Directors this Cautionary email and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

This is system generated email, you may reach out to the Announcements Team in case of any assistance at [takeover@nse.co.in](mailto:takeover@nse.co.in).