



Bal Pharma Limited

To

The Manager-Listing

22.03.2021

BSE Ltd

Mumbai.

The Manager-Listing

National Stock Exchange of India Ltd

Mumbai.

Dear Sir,

Sub : Disclosure as per Prohibition of Insider Trading Regulations of SEBI.

With reference to the above, we are submitting to the exchanges disclosure as per Regulation 7(2) of SEBI(PIT) Regulations 2015 regarding acquisition of equity shares of the Company by Mr. Shailesh Siroya, Promoter and Managing Director of the Company through allotment made upon conversion of warrants, under preferential issue.

Please take the same on record.

For Bal Pharma Limited

Authorised Signatory

FORM C

SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7 (2) read with Regulation 6(2)]

Name of the company: Bal Pharma Limited
ISIN of the company: INE083D01012

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Name. PAN. CIN/DIN. & address of Promoter/ Employee/ Director with contact nos.	Category of Person (Promoter/ EMP / Directors/ Immediate relatives etc.)	Securities held prior to acquisition		Securities acquired		% of shareholding	Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition (purchase/public/ rights/ preferential offer / off market/ Inter-se transfer etc.)	Trading in Derivatives (Specify type of contract, Futures or Options, etc)				Exchange on which the trade was executed		
		Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.		Pre transacti on	Post transacti on			From	To	Buy Value	No. of Units (contract* lot size)		Sell Value	No. of Units (contract*lot size)
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	
Shailesh Siroya AWIPSS6 65B. # 7/3.Chand avarkar Lay out, 2nd Main, 1st Cross, Palace Road, Bangalore -52. Ph:41379 500	Promoter	Equity	1345459	Equity Shares	6,50,000	9.49%	13.46%	17.03.20 21	17.03.20 21	22.03.2021	Allotment through preferential issue.	NA	NA	NA	NA	NA	

Note: For the purpose of this form, the definition of "Insider" as defined under regulation 2(11) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Signature:
Designation:

Date :22.03.2021
Place : Bangalore

Authorised Signatory