

9 June 2021

To Corporate Relations Department BSE Limited 1 st Floor, New Trading Ring Rotunda Building, P J Tower Dalal Street Mumbai 400 001 BSE Code: 532978	To Corporate Listing Department National Stock Exchange of India Ltd. Exchange Plaza, 5 th Floor Plot No.C-1, G Block Bandra-Kurla Complex Bandra (East), Mumbai 400 051 NSE Code: BAJAJFINSV
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Subject: Reporting of contravention of the Company's Code of Conduct to Regulate, Monitor and Report Trading by Designated Persons

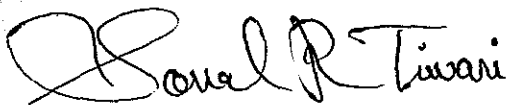
Dear Sirs/Madam,

Pursuant to clause 13 of Schedule B of SEBI (Prohibition of Insider Trading) Regulations, 2015, as amended, we hereby submit details of violations of the Company's Code of Conduct by a Designated Person of the Company.

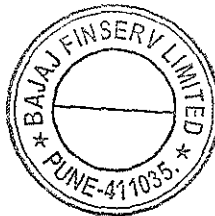
The details of the same are enclosed as **Annexure A** in the format prescribed by SEBI vide its circular dated 23 July 2020.

Thanking you,

Yours faithfully,
For Bajaj Finserv Limited



Sonal R Tiwari
Company Secretary & Compliance Officer

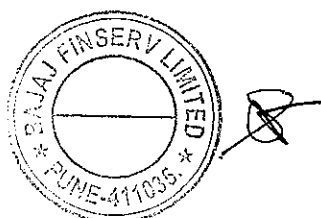


Encl: as above.

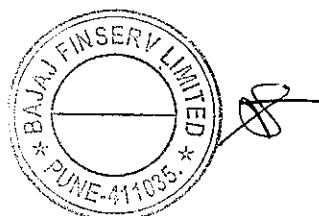
**Report by Bajaj Finserv Limited for violations related to Code of Conduct
under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

[Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations,
2015.]

Sr. No.	Particulars	Details
1	Name of the listed company	Bajaj Finserv Limited
2	Please tick appropriate checkbox Reporting in capacity of: ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the Designated Person (DP)	Gurneesh Singh Khurana
	ii. PAN of the DP	AAQPK3118H
	iii. Designation of DP	Head-Motor LOB & New OEM Acquisition of Bajaj Allianz General Insurance Company Limited, being material subsidiary of Bajaj Finserv Ltd.
	iv. Functional Role of DP	Head-Motor LOB & New OEM Acquisition of Bajaj Allianz General Insurance Company Limited, being material subsidiary of Bajaj Finserv Ltd.
	v. Whether DP is Promoter /Promoter Group/holding CXO level position (e.g. CEO, CFO, CTO etc.)	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	BAJAJ FINSERV LTD BSE Code- 532978 NSE Code- BAJAJFINSV



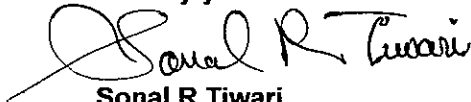
	ii. Number of shares traded (which includes pledge) and value (Rs.) (Date-wise)	Exercise for 157 ESOP equity shares was done on 19 May 2021. Value was Rs.11,70,387.90 Shri Gurneesh Singh Khurana, Designated Person inadvertently i) delayed disclosure in Form C by 1 day, and ii) not obtained pre-clearance approval for sale on 21 May 2021 of these 157 ESOP equity shares with value of Rs. 17,79,281, resulting in violations of Insider Trading Code.
Sr. No.	Particulars	Details
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	24 May 2021
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015	25 May 2021
4	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Exercise for 157 ESOP equity shares was done on 19 May 2021. Value was Rs.11,70,387.90 Shri Gurneesh Singh Khurana, Designated Person inadvertently i) delayed disclosure in Form C by 1 day, and ii) not obtained pre-clearance approval for sale on 21 May 2021 of these 157 ESOP equity shares with value of Rs. 17,79,281, resulting in violations of Insider Trading Code.
5	Action taken by Listed company/ ~~Intermediary~~ /Fiduciary	A penalty of Rs. 20,000/- has been levied on Shri Gurneesh Singh Khurana by the Company as per



		the Penalty Structure approved by the Board of Directors of the Company. A strict warning letter has also been issued to him.
6	Reasons recorded in writing for taking action stated above	Action has been taken for the said violation in accordance with the Penalty Structure under the Insider Trading Code of the Company, approved by the Board of Directors.
7	Details of the previous instances of violations, if any, since last financial year	Nil
Sr. No.	Particulars	Details
8	If any amount collected for Code of Conduct violation(s)	
	i) Mode of transfer to SEBI - IPEF (Online Transfer)	
	ii) Details of transfer/online payment	
	Particulars	Details
	Name of the transferor	Bajaj Finserv Limited
	Bank Name, branch and Account number	Name: Citibank NA Branch: Fort A/c No.: 0016833002
	UTR/Transaction reference Number	CITIN21186120174
	Transaction date	9 June 2021
	Transaction Amount (in Rs.)	Rs.20,000/- (Rupees Twenty Thousand Only)
9	Any other relevant information	It is first time default which was unintentional

Yours faithfully,

For Bajaj Finserv Limited



Sonal R Tiwari

Company Secretary & Compliance Officer

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Email id: sonal.tiwari@bajajfinserv.in

Mobile Number: 9890500576



Date: 9 June 2021

Place: Pune