

March 14, 2026

To,

**BSE Limited,  
Phiroze Jeejeebhoy Towers, Dalal Street,  
Mumbai – 400001****National Stock Exchange of India Limited,  
Exchange Plaza, C-1, Block G, Bandra Kurla  
Complex, Bandra (E), Mumbai – 400051****Scrip Code: 544699****Symbol: AYE****Sub: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations')**

Pursuant to Regulation 30 of SEBI Listing Regulations, we would like to inform you that, the Company has received an e-mail from BSE Limited (“**BSE**”) dated March 13, 2026 at 7:56 P.M (IST) vide reference SOP-Review(debt)-December 2025 regarding Fines as per SEBI circular dated July 29, 2022-Regulation 50(1), 52(1), 52(4), 52(7)/(7A) & 54(2) for Quarter ended December 2025 (**enclosed as Annexure-1**), and as a consequence of which imposed a fine of INR 1,48,680/- (including GST) (Indian Rupees One Lakh Forty Eight Thousand Six Hundred Eighty only) on the Company.

Kindly note that in compliance with SEBI circular no. CIR/CFD/CMD-1/142/2018 dated November 19, 2018 the Company had, prior to the due date i.e. February 14, 2026, duly intimated the BSE (**enclosed as Annexure-2**), regarding the reason for delay in submission of Unaudited Financial Results for the quarter & nine months ended December 31, 2025 (“**Results**”). The preparation of results was impacted due to Initial Public Offer of the Company due to which Company was unable to disclose its results within the stipulated timeline specified under Regulation 52 of the SEBI Listing Regulations.

The Company is reviewing the aforesaid email and will take necessary steps on this matter.

The information as required under sub-para 20 of Para A of Part A of Schedule III of the SEBI Listing Regulations is enclosed in the **Annexure-3** below.

This is for your information, records and appropriate dissemination.

Thanking You,

Yours Faithfully,

**For Aye Finance Limited**  
**(formerly known as Aye Finance Private Limited)**

**(Vipul Sharma)**  
**Company Secretary, Compliance Officer & CCO**  
**M. No. A27737**

**Encl: a/a**

**From:** Sonal Sawant <Sonal.Sawant@bseindia.com>

**Sent:** 13 March 2026 19:56

**To:** secretarial@ayefin.com

**Cc:** Debt Compliance <debt.compliance@bseindia.com>; bse.soplodr <bse.soplodr@bseindia.com>

**Subject:** Fines as per SEBI circular dated July 29, 2022- Regulation 50(1), 52(1), 52(4), 52(7)/(7A) & 54(2) for Quarter ended December 2025

Ref.: SOP-Review(debt)-December 2025

To

The Company Secretary/Compliance Officer

**Company Name: Aye Finance Limited**

**Company Code: 11790**

Dear Sir/Madam,

**Sub: Fines as per SEBI circular dated July 29, 2022- Regulation 50(1), 52(1), 52(4), 52(7)/(7A) & 54(2) for Quarter ended December 2025**

The company is advised to refer to Circular no. SEBI/HO/DDHS/DDHS\_Div1/P/CIR/2022/0000000103 dated July 29, 2022 issued by Securities and Exchange Board of India (SEBI) with respect to penal actions prescribed for non-compliance of certain provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

It has been observed from Exchange records that, so far the Company has not submitted/delayed submitted the required disclosures under Regulations stated below or has not provided the required disclosures under Regulations stated below as per the format prescribed by SEBI, for the Quarter ended December 2025.

The company is liable to pay fine amount as mentioned below as on March 13, 2026. **As per the provisions of the circular the fines will continue to be computed further till the time of rectification of the non-compliance to the satisfaction of the Exchange:**

Applicable Regulation of SEBI (LODR) Regulations, 2015	Fine details as per circular	Status (For Quarter ended December 2025)	Fine payable by the company (inclusive of GST @ 18 %) as on March 13, 2026 (In Rs.)		
			Basic Fine	GST @ 18 %	Total Fine payable
<b>Regulation 50(1)</b> Delay in furnishing intimation about Board Meeting	Rs. 5,000 per instance				
<b>Regulation 52</b> Non-submission of the Audited Standalone and Consolidated financial results within the period prescribed under this regulation under Regulation 52(2)(a)/ Non-submission of statement of assets & liabilities and cash flow statement as required under Regulation 52(2)(f).	Rs. 5,000 per day	Delayed compliance	90000	16200	106200
<b>Regulation 52(4)</b> Non-disclosure of line items prescribed under Regulation 52(4) along with the quarterly/ half yearly / annual financial results	Rs. 1,000 per day	delayed compliance	18000	3240	21240
<b>Regulation 52(7)/(7A)</b>	Rs. 1,000 per day				

Non-submission of statement indicating the utilization of issue proceeds/Material deviation in the use of proceeds in the SEBI prescribed format					
<b>Regulation 54 (2)</b> Non-disclosure of extent and nature of security created and maintained with respect to secured listed NCDs in the financial statements in the SEBI prescribed format.	Rs. 1,000 per day	delayed compliance	18000	3240	21240
<b>Total</b>			<b>126000</b>	<b>22680</b>	<b>148680</b>

**The Company is therefore advised to note that as per the provisions of this circular:**

- The non-compliant entity shall ensure compliance with the requirement(s) and pay fines as per the circular within 15 days from the date of such notice. If the non-compliant entity fails to comply with the aforesaid requirement(s) and/or pay fine levied within the stipulated period as per the notice stated and reminder notices will be issued to such non-compliant entities, to ensure compliance with the requirement(s) and pay fines within 10 days from the date of such notice.
- Companies should file their request for waiver of fines along with specific reasons for claiming the same through Listing *portal (Listing operations > Listing module> waiver)*, within 15 days from the date of such notice, failing which such application shall be liable for rejection.
- If the non-compliant entity fails to comply with the aforesaid requirement(s) and/or pay fine levied within the stipulated period as per the notice stated above, an intimation would be sent to other recognized stock exchange(s) and all entities allowed to act as Electronic Book Provider, regarding failure of compliance of such entity.
- The recognized stock exchange(s) and/ or other entities allowed to act as Electronic Book Provider, thereafter, shall not allow: (a) issuance of any securities, as defined under SEBI circular no. SEBI/HO/DDHS/CIR/P/2018/05 dated January 05, 2018 by such non-compliant entity on EBP Platform;
- (b) further listing of Non-Convertible Debt Securities or NCRPS or Commercial Papers of such non-compliant entity.
- The restrictions mentioned above shall continue until the non-compliant entity subsequently complies with the respective requirement(s) and pays the fine levied.
- The company is also advised to ensure that the subject matter of non-compliance which has been identified and indicated by the Exchange and any subsequent action taken by the Exchange in this regard shall be placed before the Board of Directors of the company in its next meeting. Comments made by the board shall be duly informed to the Exchange for dissemination.

(For assistance in login on listing center the company can contact helpdesk on Tel. No. 022-61363155 or email id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com) and for XBRL related queries company may contact on Toll free no. 18002330445 or send emails to [bse.xbrl@bseindia.com](mailto:bse.xbrl@bseindia.com) ).

Yours faithfully

**Kunal Borkar**  
Manager  
Listing  
Compliance

**Sonal Sawant**  
Deputy Manager  
Listing Compliance

Company is requested to remit the fine amount through electronic transfer to the bank details given below:

<b>Company Name</b>	<b>Aye Finance Limited</b>		
<b>Bank Name</b>	<b>Branch Name</b>	<b>Account No.</b>	<b>IFSC Code</b>
ICICI Bank Limited	CMS Branch	BSER11790	ICIC0000104

or through cheque favoring **BSE Ltd.** The company is required to submit the cheque alongwith the covering letter (format given at Annexure I below):

**Annexure-I (On letterhead of the company)**

Listing Compliance, BSE Limited, Ground Floor,

P. J. Towers, Fort, Mumbai-400001.

Sub: Details of Payment of fines for Non-Compliance with Regulations of SEBI (LODR) Regulations, 2015.

**Remittance details:**

Scrip Code	ISIN	Security Type	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	<b>GST No. (Mandatory to upload on BSE Listing Centre) (* )</b>
		Debt					

**Remitted by:**

Cheque/DD No.	Date	UTR No. for RTGS /NEFT
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**Compliance Officer / Company Secretary.**

- Please mention the Regulation No., Quarter, and amount of TDS deducted on the reverse side of the Cheque/Demand Draft.
- In case of payment through RTGS/NEFT, you are requested to send a soft copy of this annexure to [bse.soplodr@bseindia.com](mailto:bse.soplodr@bseindia.com)

This mail is classified as 'CONFIDENTIAL' by sonal.sawant on March 13, 2026 at 19:56:01.



AYE FINANCE LIMITED  
(formerly known as AYE FINANCE PRIVATE LIMITED)  
CIN: U65921DL1993PLC283660

February 11, 2026

To,  
**BSE Limited**  
**The Listing Department**  
Phiroze Jeejeebhoy  
Towers Dalal Street  
Mumbai 400 001  
Maharashtra, India

**Subject: Delay in submission of unaudited financial results in terms of Regulation 52 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)**

Dear Sir/Madam,

We wish to inform you that our Company being a debt-listed Company is required to disclose its unaudited financial results within the timeline specified under Regulation 52 of the SEBI Listing Regulations. However, due to the ongoing initial public offering (“IPO”) of our Company, the preparation of financial results for the quarter & nine months ended December 31, 2025 is being impacted. As a result, our Company will not be able to disclose its unaudited financial results for the quarter & nine months ended December 31, 2025 within the stipulated timeline specified under Regulation 52 of the SEBI Listing Regulations.

The Company will provide timely intimation regarding the declaration date of the unaudited financial results for the quarter & nine months ended December 31, 2025.

The trading window for dealing in the securities of the Company, which was closed for Company’s all Designated Persons and their immediate relative(s) from January 1, 2026 continues to remain closed till the conclusion of 48 hours after the declaration of unaudited financial results to the Stock Exchange(s).

Thanking you.

Yours faithfully,

For **Aye Finance Limited**  
(formerly known as *Aye Finance Private Limited*)

**VIPUL SHARMA**

Digitally signed by VIPUL  
SHARMA  
Date: 2026.02.11 13:09:50  
+05'30'

(Vipul Sharma)  
**Company Secretary & Compliance Officer**  
M. No.: A22737

**Annexure-3**

**INFORMATION AS REQUIRED UNDER SUB-PARA 20 OF PARA A OF PART A OF SCHEDULE III OF THE SEBI LISTING REGULATIONS**

<b>Sr. No.</b>	<b>Particulars</b>	<b>Details</b>
i.	Name of the Authority	BSE
ii.	Nature and details of the action(s) taken or order(s) passed	Fine amounting to INR 1,26,000/- plus GST (@18%) INR 22,680/- total INR 1,48,680/-
iii.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	March 13, 2026
iv.	Details of the violation(s)/contravention(s) committed or alleged to be committed	Delayed Compliance under Regulation 52, 52(4) & 54(2) of SEBI Listing Regulations
v.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Nil except to the extent of fine amount mentioned under above point no. ii.