

AUTOMOTIVE AXLES LIMITED

31st May 2021

The BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai - 400 001
Scrip Code: 505010

National Stock Exchange Limited
Exchange Plaza, Plot No. C/1, G- Block
Bandra (E)
Mumbai - 400 051
Scrip Code: AUTOAXLES

Thru : BSE Listing Centre

Thru : NEAPS

Dear Sir/Madam,

Sub: Reporting of violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated July 23, 2020

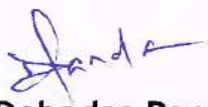
With reference to the above, please find enclosed herewith report on violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company by the Designated Persons and/or their immediate relatives.

This is for your information and record.

Thanking you,

Yours Truly,

For Automotive Axles Limited


Debadas Panda
Company Secretary



Regd. Office & Mysuru Unit :

Hootagalli Industrial Area, Off Hunsur Road, Mysuru – 570 018, Karnataka, India

Telephone : 91-821-719 7500, Fax : 91-821-2402451

Email : sec@autoaxle.com, Website : www.autoaxle.com

CIN : L51909KA1981PLC004198

ISO 9001:2015 / IATF 16949 : 2016, EMS : ISO : 14001:2015 & OHSAS : ISO : 45001 : 2018



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Reporting on Insider Trading violation under Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

SN	Particulars	Details
1.	Name of the listed company/ Intermediary/Fiduciary	Automotive Axles Limited
2.	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Ravi S
	ii. PAN of the DP	AKRPR5156K
	iii. Designation of DP	Senior Manager
	iv. Functional Role of DP	Purchase & Logistic
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Automotive Axles Limited BSE:505010 NSE: AUTOAXLES
	ii. No of shares traded and value (Rs.) (Date-wise)	Sold 25 shares at Rs. 26,190.75 on May 05, 2021.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
4.	Details of violations observed under Code of Conduct	DP traded during the trading window closure period (Trading window was closed from March 31, 2021 till May 16, 2021).
5.	Action taken by Listed company/ Intermediary/ Fiduciary	1. The Company took the following actions: a. Sought explanation in writing for the said violation; b. Issued warning against any future violation. c. Instructed to disgorge profit



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		accrued through the transactions amounting to Rs. 15,026/-												
6.	Reasons recorded in writing for taking action stated above	The Company took aforesaid actions considering the following: a. Quantity traded being minimal and he has traded inadvertently during the trading window closure period; b. First time violation by the DP												
7.	Details of the previous instances of violations, if any, since last financial year	No												
8.	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Remitted online												
	ii. Details of transfer/ payment In case of online:													
	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of transferor</td><td>Ravi S</td></tr><tr><td>Bank Name, branch and Account number</td><td>ICICI Bank, KD Road Branch, Mysore AC no - 015201523453</td></tr><tr><td>UTR/Transaction reference Number</td><td>115001619175</td></tr><tr><td>Transaction Date</td><td>30-05-2021</td></tr><tr><td>Transaction Amount (in Rs.)</td><td>Rs.15,026</td></tr></table>		Particulars	Details	Name of transferor	Ravi S	Bank Name, branch and Account number	ICICI Bank, KD Road Branch, Mysore AC no - 015201523453	UTR/Transaction reference Number	115001619175	Transaction Date	30-05-2021	Transaction Amount (in Rs.)	Rs.15,026
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	In case of Demand Draft (DD): NA													
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9.	Any other relevant information	None												

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