

Ref. No.: AUSFB/SEC/2024-25/226

Date: August 31, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform that penalty of Rs. 12.14 lakhs has been imposed on the Bank by Deputy Commissioner of State Tax, Maharashtra and penalty of Rs. 1.14 lakhs has been imposed on the Bank by State tax Officer, Tamil Nadu.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed **Annexure – I**.

The Bank believes that it has adequate grounds to support its position in the above matters and would prefer an appeal/rectification request against the said orders, under the applicable laws.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Annexure – I

**Details required under Regulation 30 of the Listing Regulations read with
SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023**

Sr. No.	Details of events that need to be provided	Information of such event(s)	
1.	Name of the authority	Deputy Commissioner of State Tax, Bandra, Maharashtra	State Tax Officer, Gudiyatham East, Tamil Nadu
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Order passed under section 73 of Maharashtra GST Act	Order passed under section 73 of Tamil Nadu GST Act
3.	Date of receipt of direction or order, including any ad- interim or interim orders, or any other communication from the authority	August 30, 2024	August 30, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	On account of GST issue related to input tax credit	On account of GST issue related to input tax credit
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 2.50 crores (Include tax amount, interest and penalty)	Rs. 0.21 crores (Include tax amount, interest and penalty)

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
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CIN: L36911RJ1996PLC011381