Ref. No.: AUSFB/SEC/2024-25/176
Date: July 30, 2024

To,

National Stock Exchange of India Ltd.	BSE Limited
Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. **NSE Symbol: AUBANK**	Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. **Scrip Code: 540611, 958400, 959025, 974093, 974094, 974095, 974914, 974963, 975017 & 975038**

Dear Sir/Madam,

Sub: Voting Results & Scrutinizer's Report of 29th Annual General Meeting of AU Small Finance Bank Limited

Ref: Regulation 30, 44(3) & 51 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We hereby submit the voting results of 29th Annual General Meeting ("**AGM**") of the members of the Bank held on Friday, July 26, 2024 at 02:00 P.M. through Video Conferencing ("**VC**") or Other Audio Visual Means ("**OAVM**"), and Consolidated Scrutinizer's Report on remote e-voting & e-voting conducted at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended.

We hereby inform that following resolutions (Ordinary and Special) have been passed by the Shareholders with requisite majority as mandated under the Companies Act, 2013 and other applicable laws:

Sr. No.	Resolutions	Type of Resolution
1	To adopt the Audited Financial Statements of the Bank for the Financial Year ended on March 31, 2024 and the reports of the Board of Directors and the Auditors thereon.	Ordinary
2	To declare Dividend of ₹ 1.00 per equity share of ₹ 10 each for the FY 2023-24.	Ordinary
3	To appoint a Director in place of Mr. Sanjay Agarwal (DIN: 00009526) who retires by rotation and being eligible, has offered himself for re-appointment.	Ordinary
4	To appoint Joint Statutory Auditors of the Bank and fix their remuneration.	Ordinary
5	To issue non-convertible debt securities/bonds/other permissible instruments, in one or more tranches.	Special
6	To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof.	Special

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Further, as required voting results will also be submitted in XBRL mode.

This is for your information and records.

Thanking You,

Yours faithfully,
For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Digitally signed by Manmohan Parnami
Date: 2024.07.30 15:09:51 +05'30'

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Enclosed: As above

AU Small Finance Bank Limited- AGM dated July 26, 2024

Date of the AGM	Friday, July 26, 2024
Total number of shareholders on record date	2,01,175
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	 Not Applicable Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	 3 92

Resolution required: (Ordinary/ Special)			Ordinary: (1) To adopt the Audited Financial Statements of the Bank for the Financial Year ended on March 31, 2024 and the reports of the Board of Directors and the Auditors thereon.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**170298412**	**100.0000**	**170298412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	431335650	341217833	79.1073	341124252	93581	99.9726	0.0274
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**341217833**	**79.1073**	**341124252**	**93581**	**99.9726**	**0.0274**
Public Non Institutions	E-Voting	141525093	81956434	57.9095	81956040	394	99.9995	0.0005
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**81956434**	**57.9095**	**81956040**	**394**	**99.9995**	**0.0005**
Total		**743159155**	**593472679**	**79.8581**	**593378704**	**93975**	**99.9842**	**0.0158**

Resolution required: (Ordinary/ Special)			Ordinary: (2) To declare Dividend of ₹ 1.00 per equity share of ₹ 10 each for the FY 2023-24.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	43,13,35,650	34,17,28,566	79.2257	34,17,28,566	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**34,17,28,566**	**79.2257**	**34,17,28,566**	**-**	**100.0000**	**0.0000**
Public Non Institutions	E-Voting	14,15,25,093	8,19,56,270	57.9094	8,19,55,873	397	99.9995	0.0005
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**8,19,56,270**	**57.9094**	**8,19,55,873**	**397**	**99.9995**	**0.0005**
Total		**74,31,59,155**	**59,39,83,248**	**79.9268**	**59,39,82,851**	**397**	**99.9999**	**0.0001**

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Resolution required: (Ordinary/ Special)			Ordinary: (3) To appoint a Director in place of Mr. Sanjay Agarwal (DIN: 00009526) who retires by rotation and being eligible, has offered himself for re-appointment.					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	43,13,35,650	34,12,76,964	79.1210	34,11,80,296	96,668	99.9717	0.0283
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**34,12,76,964**	**79.1210**	**34,11,80,296**	**96,668**	**99.9717**	**0.0283**
Public Non Institutions	E-Voting	14,15,25,093	8,19,56,170	57.9093	8,19,52,980	3,190	99.9961	0.0039
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**8,19,56,170**	**57.9093**	**8,19,52,980**	**3,190**	**99.9961**	**0.0039**
Total		**74,31,59,155**	**59,35,31,546**	**79.8660**	**59,34,31,688**	**99,858**	**99.9832**	**0.0168**

Resolution required: (Ordinary/ Special)			Ordinary: (4) To appoint Joint Statutory Auditors of the Bank and fix their remuneration.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	43,13,35,650	34,12,76,964	79.1210	34,06,47,212	6,29,752	99.8155	0.1845
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**34,12,76,964**	**79.1210**	**34,06,47,212**	**6,29,752**	**99.8155**	**0.1845**
Public Non Institutions	E-Voting	14,15,25,093	8,19,56,270	57.9094	8,19,55,309	961	99.9988	0.0012
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**8,19,56,270**	**57.9094**	**8,19,55,309**	**961**	**99.9988**	**0.0012**
Total		**74,31,59,155**	**59,35,31,646**	**79.8660**	**59,29,00,933**	**6,30,713**	**99.8937**	**0.1063**

Resolution required: (Ordinary/ Special)	**Special: (5) To issue non-convertible debt securities/bonds/other permissible instruments, in one or more tranches.**
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	43,13,35,650	34,12,78,108	79.1212	33,81,11,465	31,66,643	99.0721	0.9279
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**34,12,78,108**	**79.1212**	**33,81,11,465**	**31,66,643**	**99.0721**	**0.9279**
Public Non Institutions	E-Voting	14,15,25,093	8,19,56,150	57.9093	8,19,55,337	813	99.9990	0.0010
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**8,19,56,150**	**57.9093**	**8,19,55,337**	**813**	**99.9990**	**0.0010**
Total		**74,31,59,155**	**59,35,32,670**	**79.8662**	**59,03,65,214**	**31,67,456**	**99.4663**	**0.5337**

Resolution required: (Ordinary/ Special)	Special: (6) To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof.
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	43,13,35,650	34,12,78,108	79.1212	33,43,58,576	69,19,532	97.9725	2.0275
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**34,12,78,108**	**79.1212**	**33,43,58,576**	**69,19,532**	**97.9725**	**2.0275**
Public Non Institutions	E-Voting	14,15,25,093	8,19,55,940	57.9091	8,19,53,753	2,187	99.9973	0.0027
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**8,19,55,940**	**57.9091**	**8,19,53,753**	**2,187**	**99.9973**	**0.0027**
Total		**74,31,59,155**	**59,35,32,460**	**79.8661**	**58,66,10,741**	**69,21,719**	**98.8338**	**1.1662**

403, ROYAL WORLD
SANSAR CHANDRA ROAD
JAIPUR—302 001 (RAJASTHAN)

V. M. & ASSOCIATES

COMPANY SECRETARIES

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E-mail: cs.vmanda@gmail.com

SCRUTINIZER'S REPORT

To,
The Chairman of
29th Annual General Meeting ("AGM") of the Shareholders of AU Small Finance Bank Limited held on Friday, 26th July, 2024 at 02:00 P.M. (IST) through Video Conferencing ("VC") / Other Audio-Visual Means ("OAVM").

Sub: Consolidated Scrutinizer's Report on Remote E-Voting & E-Voting conducted at the AGM

The Board of Directors of AU Small Finance Bank Limited (hereinafter referred to as "**the Bank**") at its meeting held on Thursday, 27th June, 2024 has appointed me as the scrutinizer for the remote e-voting process as well as to scrutinize the e-voting conducted at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended) (hereinafter referred to as "**Rule 20")** and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure compliance with the requirements of the Companies Act, 2013, Rules and circulars issued by MCA and SEBI relating to conducting of AGM through VC/OAVM and voting by electronic means for the resolutions contained in the Notice of the 29th AGM of the Equity Shareholders dated 27th June, 2024. My responsibility as a Scrutinizer for the voting process of voting by electronic means is restricted to making a Consolidated Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of the AGM, based on the reports generated from the e-voting system provided by National Securities Depository Limited, the Agency Authorized under the Rules and engaged by the Bank to provide remote e-voting facilities and e-voting facilities to vote at the AGM.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL**" / "**Service Provider**") as the service provider, for the purpose of extending the facility of remote e-voting and e-voting at the AGM to the shareholders of the Bank. Link Intime India Private Limited is the Registrar and Share Transfer Agents (hereinafter referred to as "**RTA**") of the Bank.

- The Service Provider had provided a system for recording the votes of the Shareholders electronically on all the items of the business (both Ordinary and Special Business) sought to be transacted at the AGM of the Bank.

- The Service Provider had set up electronic voting facility on their website, https://www.evoting.nsdl.com. The Bank had uploaded the items of the business to be transacted at the AGM on the website of the Bank and also of the Service Provider to facilitate their Shareholders to cast their vote through remote e-voting and e-voting at the AGM.

Manoj Maheshwari Digitally signed by Manoj Maheshwari Date: 2024.07.30 13:01:04 +05'30'

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- Pursuant to General Circular No. 09/2023 dated 25th September, 2023 and earlier circulars issued in this regard by the Ministry of Corporate Affairs (collectively referred to as "MCA Circulars"), advertisement was published in all editions of Financial Express (English newspaper) and in Jaipur edition of Nafa Nuksan (vernacular language newspaper), having electronic editions on Monday, 01st July, 2024 specifying the date and time of the AGM, availability of the notice on Bank's website and website of the Stock Exchanges, manner of registration of email IDs by the members (both physical and demat) who are yet to register their email IDs with the Depository Participant / Bank, manner of voting through remote e-voting or through e-voting system at the AGM etc.

- The internal cut-off date for the dispatch of the Notice of the AGM was Friday, 28th June, 2024 and as on that date, there were 2,00,334 Shareholders of the Bank.

- The Bank informed that in compliance with the MCA Circulars, SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated 11th July, 2023 and SEBI Circular No. SEBI/HO/CFD/CFD-PoD-2/P/CIR/2023/167 dated 7th October, 2023 and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider of the Bank completed dispatch of Notice of AGM on Thursday, 04th July, 2024 by E-mail to 1,94,375 Members who had already registered their email ids with the Bank / Depositories.

- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.

- As prescribed in clause (v) of sub rule 4 of Rule 20 of The Companies (Management and Administration) Rules, 2014, the Bank also released an advertisement in "Financial Express", English newspaper in English language and in "Nafa Nuksan", vernacular newspaper in vernacular language on Friday, 05th July, 2024. The notice published in the newspaper carried the required information as specified in Sub Rule 4(v) (a) to (h) of the said Rule 20.

- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolutions placed for approval of the Shareholders was Friday, 19th July, 2024.

- The remote e-voting period remained open from Monday, 22nd July, 2024 at 9:00 A.M. and ended on Thursday, 25th July, 2024 at 05:00 P.M.

- At the end of the voting period on Thursday, 25th July, 2024 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith. The limited information for the Shareholders who have cast their votes, such as name, folio number of shares held was obtained from the Service Provider.

Manoj Maheshwari

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- The Bank had also provided e-voting facility to the shareholders present at the AGM through VC / OAVM and who had not cast their vote earlier.

- After declaration of voting at AGM by the Chairman, the shareholders present through VC voted using e-voting facility provided by NSDL / Service Provider. As per the information given by the Bank / RTA the names of the shareholders who had voted by remote e-voting through the facility provided by NSDL had been blocked and only those members who were present at the AGM through VC and who had not voted on remote e-voting were allowed to cast their votes through e-voting system during the AGM.

- After closure of e-voting at the AGM, the votes cast through e-voting at the AGM and through remote e-voting prior to the date of AGM were unblocked and downloaded from the e-voting website of NSDL / Service Provider (https://www.evoting.nsdl.com) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data / results downloaded from the e-voting system of NSDL were scrutinized and reviewed, the votes were counted, and the results were prepared.

- Based on the data downloaded from NSDL e-voting system, the total votes cast in favour or against all the resolutions proposed in the Notice of the AGM are as under:

Manoj Maheshwari
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Item No. 1: Ordinary Resolution:
To adopt the Audited Financial Statements of the Bank for the Financial Year ended on March 31, 2024 and the reports of the Board of Directors and the Auditors thereon.

Total No. of shareholders/ folios	2,01,175		
Total No. of Shares	74,31,59,155		
Remote E-voting Period	From Monday, 22nd July, 2024 at 9:00 A.M to Thursday, 25th July, 2024 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	920	59,34,19,193
Total Votes cast through e-voting at AGM	B	16	53,486
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	**936**	**59,34,72,679**
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	**936**	**59,34,72,679**

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	43,13,35,650	34,12,17,833	79.1073	34,11,24,252	93,581	99.9726	0.0274
Public- others	14,15,25,093	8,19,56,434	57.9095	8,19,56,040	394	99.9995	0.0005
Total	**74,31,59,155**	**59,34,72,679**	**79.8581**	**59,33,78,704**	**93,975**	**99.9842**	**0.0158**

Percentage of Votes cast in favour: 99.9842%| Percentage of votes cast against: 0.0158%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9842%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 1** of the Notice of the AGM dated 27th June, 2024 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

Manoj Maheshwari
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Date: 2024.07.30 13:02:14 +05'30'

V. M. & ASSOCIATES

COMPANY SECRETARIES

403, ROYAL WORLD
SANSAR CHANDRA ROAD
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Phone: 0141 - 4075010
E-mail: cs.vmanda@gmail.com

Item No. 2: Ordinary Resolution:
To declare Dividend of Re. 1.00 per equity share of Rs. 10 each for the FY 2023-24.

Total No. of shareholders/ folios	2,01,175		
Total No. of Shares	74,31,59,155		
Remote E-voting Period	From Monday, 22nd July, 2024 at 9:00 A.M to Thursday, 25th July, 2024 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	920	59,39,29,762
Total Votes cast through e-voting at AGM	B	16	53,486
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	**936**	**59,39,83,248**
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	**936**	**59,39,83,248**

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	43,13,35,650	34,17,28,566	79.2257	34,17,28,566	0	100.0000	0.0000
Public- others	14,15,25,093	8,19,56,270	57.9094	8,19,55,873	397	99.9995	0.0005
Total	**74,31,59,155**	**59,39,83,248**	**79.9268**	**59,39,82,851**	**397**	**99.9999**	**0.0001**

Percentage of Votes cast in favour: 99.9999%| Percentage of votes cast against: 0.0001%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9999%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 2** of the Notice of the AGM dated 27th June, 2024 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

Manoj Maheshwari

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V. M. & ASSOCIATES

COMPANY SECRETARIES

403, ROYAL WORLD
SANSAR CHANDRA ROAD
JAIPUR—302 001 (RAJASTHAN)

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Item No. 3: Ordinary Resolution:
To appoint a Director in place of Mr. Sanjay Agarwal (DIN: 00009526) who retires by rotation and being eligible, has offered himself for re-appointment.

Total No. of shareholders/ folios	2,01,175		
Total No. of Shares	74,31,59,155		
Remote E-voting Period	From Monday, 22nd July, 2024 at 9:00 A.M to Thursday, 25th July, 2024 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	918	59,34,78,060
Total Votes cast through e-voting at AGM	B	16	53,486
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	**934**	**59,35,31,546**
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	**934**	**59,35,31,546**

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	43,13,35,650	34,12,76,964	79.1210	34,11,80,296	96,668	99.9717	0.0283
Public- others	14,15,25,093	8,19,56,170	57.9093	8,19,52,980	3,190	99.9961	0.0039
Total	**74,31,59,155**	**59,35,31,546**	**79.8660**	**59,34,31,688**	**99,858**	**99.9832**	**0.0168**

Percentage of Votes cast in favour: 99.9832% | Percentage of votes cast against: 0.0168%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9832%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 3** of the Notice of the AGM dated 27th June, 2024 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

Manoj Maheshwari
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Date: 2024.07.30 13:02:55 +05'30'

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Item No. 4: Ordinary Resolution:
To appoint Joint Statutory Auditors of the Bank and fix their remuneration.

Total No. of shareholders/ folios	2,01,175		
Total No. of Shares	74,31,59,155		
Remote E-voting Period	From Monday, 22nd July, 2024 at 9:00 A.M to Thursday, 25th July, 2024 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	919	59,34,78,160
Total Votes cast through e-voting at AGM	B	16	53,486
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	**935**	**59,35,31,646**
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	**935**	**59,35,31,646**

NOTES:
(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	43,13,35,650	34,12,76,964	79.1210	34,06,47,212	6,29,752	99.8155	0.1845
Public- others	14,15,25,093	8,19,56,270	57.9094	8,19,55,309	961	99.9988	0.0012
Total	**74,31,59,155**	**59,35,31,646**	**79.8660**	**59,29,00,933**	**6,30,713**	**99.8937**	**0.1063**

Percentage of Votes cast in favour: 99.8937%| Percentage of votes cast against: 0.1063%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.8937%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 4** of the Notice of the AGM dated 27th June, 2024 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

Manoj Maheshwari
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Item No. 5: Special Resolution:
To issue non-convertible debt securities/bonds/other permissible instruments, in one or more tranches.

Total No. of shareholders/ folios	2,01,175		
Total No. of Shares	74,31,59,155		
Remote E-voting Period	From Monday, 22nd July, 2024 at 9:00 A.M to Thursday, 25th July, 2024 at 5:00 P.M.		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	925	59,34,79,304
Total Votes cast through e-voting at AGM	B	15	53,366
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	**940**	**59,35,32,670**
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	**940**	**59,35,32,670**

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.
(iv) **There are 5 (Five) folios on which voting has been exercised both in favour and against the resolution and therefore, the folios have been counted twice for counting the total number of folios in the above table**.

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	43,13,35,650	34,12,78,108	79.1212	33,81,11,465	31,66,643	99.0721	0.9279
Public- others	14,15,25,093	8,19,56,150	57.9093	8,19,55,337	813	99.9990	0.0010
Total	**74,31,59,155**	**59,35,32,670**	**79.8662**	**59,03,65,214**	**31,67,456**	**99.4663**	**0.5337**

Percentage of Votes cast in favour: 99.4663%| Percentage of votes cast against: 0.5337%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.4663%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 5** of the Notice of the AGM dated 27th June, 2024 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

Manoj Maheshwari
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Item No. 6: Special Resolution:
To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof.

Total No. of shareholders/ folios	2,01,175		
Total No. of Shares	74,31,59,155		
Remote E-voting Period	From Monday, 22nd July, 2024 at 9:00 A.M to Thursday, 25th July, 2024 at 5:00 P.M.		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	925	59,34,78,974
Total Votes cast through e-voting at AGM	B	16	53,486
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	**941**	**59,35,32,460**
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	**941**	**59,35,32,460**

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

(iv) **There are 6 (Six) folios on which voting has been exercised both in favour and against the resolution and therefore, the folios have been counted twice for counting the total number of folios in the above table**.

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	43,13,35,650	34,12,78,108	79.1212	33,43,58,576	69,19,532	97.9725	2.0275
Public- others	14,15,25,093	8,19,55,940	57.9091	8,19,53,753	2,187	99.9973	0.0027
Total	**74,31,59,155**	**59,35,32,460**	**79.8661**	**58,66,10,741**	**69,21,719**	**98.8338**	**1.1662**

Percentage of Votes cast in favour: 98.8338%| Percentage of votes cast against: 1.1662%

RESULT:-

Since, the number of votes cast in favour of the resolution is **98.8338%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 6** of the Notice of the AGM dated 27th June, 2024 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

Manoj Maheshwari Digitally signed by Manoj Maheshwari Date: 2024.07.30 13:04:09 +05'30'

V. M. & ASSOCIATES
COMPANY SECRETARIES
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All the Resolutions mentioned in the AGM Notice dated 27th June, 2024 as per the results above stand passed under remote e-voting and e-voting at the AGM with the requisite majority and deemed to be passed as on the date of the AGM.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary for preserving safely after the Chairman considers, approves and signs the Minutes of the aforesaid AGM.

This report may be treated as a report under Section 109 of the Companies Act, 2013 and Rule 21(2) of The Companies (Management and Administration) Rules, 2014.

I thank you for the opportunity given to act as a Scrutinizer for the remote e-voting and e-voting at AGM.

Yours Faithfully

Manoj Maheshwari
Digitally signed by Manoj Maheshwari
Date: 2024.07.30 13:04:42 +05'30'

CS Manoj Maheshwari
Scrutinizer
M. No.: FCS 3355 | C.P. No. 1971
Partner
V. M. & Associates
Company Secretaries
(ICSI Unique Code P1984RJ039200)

Place: Jaipur
Date: July 30, 2024
UDIN: F003355F000853743

Countersigned By:
For AU Small Finance Bank Limited

Manmohan Parnami
Digitally signed by Manmohan Parnami
Date: 2024.07.30 15:04:17 +05'30'

Manmohan Parnami
Company Secretary & Compliance Officer
M. No.: FCS 9999
(as authorized by Chairman of AGM)