

Ref. No.: AUSFB/SEC/2021-22/52

Date: 29th April, 2021

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: Intimation of Change in Chief Audit Officer (Internal Auditor) of the Bank under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations').

With reference to Regulation 30 of Listing Regulations, we hereby inform that on recommendation of the Audit Committee of the Bank, the Board of Directors at its meeting held on Thursday, 29th April 2021 has appointed Mr. Sumit Dhir as Chief Audit Officer (Internal Auditor) of the Bank for a period of 3 (three) years w.e.f. 14th May 2021 in place of Mr. Nitin Gupta who has submitted his resignation from the post of Chief Audit Officer (Internal Auditor).

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 9th September 2015 are given in the Annexure.

This is for your information and record.

Thanking You,
Yours faithfully,

For AU SMALL FINANCE BANK LIMITED


Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999



Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

Annexure

Appointment of Mr. Sumit Dhir as Chief Audit Officer (Internal Auditor) of AU Small Finance Bank Limited.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Sumit Dhir is appointed as Chief Audit Officer (Internal Auditor) of the Bank in place of Mr. Nitin Gupta who has resigned from the post of Chief Audit Officer (Internal Auditor).
2.	Date of Appointment/cessation (as applicable) Term of Appointment	14 th May 2021 Appointment for a period of 3 years.
3.	Brief Profile (in case of Appointment)	Mr. Sumit Dhir is a Chartered Accountant & Certified Internal Auditor and career banker with total experience of 19 years, majorly with Kotak Mahindra Bank Ltd. across domains of Internal Audit, Governance Practices, Risk & Control Framework, Compliance and retail credit with multi geographical exposure with India. He has extensive Audit exposure in the areas of Retail Branch Network, Treasury, Risk, Corporate Function & IT. His core competencies include Data Analysis, Fraud Investigations, Change Management, Team Building, Audit Cost Budgeting & Risk Based Audit.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable



Registered Office
 AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
 Phone: +91 141 4110060/61, Fax: +91 141 4110090
 CIN: L36911RJ1996PLC011381
 Formerly known as Au Financiers (India) Limited

SEARCHABLE FORMAT

Ref. No.: AUSFB/SEC/2021-22/52

Date: 29th April, 2021

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: Intimation of Change in Chief Audit Officer (Internal Auditor) of the Bank under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations').

With reference to Regulation 30 of Listing Regulations, we hereby inform that on recommendation of the Audit Committee of the Bank, the Board of Directors at its meeting held on Thursday, 29th April 2021 has appointed Mr. Sumit Dhir as Chief Audit Officer (Internal Auditor) of the Bank for a period of 3 (three) years w.e.f. 14th May 2021 in place of Mr. Nitin Gupta who has submitted his resignation from the post of Chief Audit Officer (Internal Auditor).

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 9th September 2015 are given in the Annexure.

This is for your information and record.

Thanking You,
Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**

Annexure

Appointment of Mr. Sumit Dhir as Chief Audit Officer (Internal Auditor) of AU Small Finance Bank Limited.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Sumit Dhir is appointed as Chief Audit Officer (Internal Auditor) of the Bank in place of Mr. Nitin Gupta who has resigned from the post of Chief Audit Officer (Internal Auditor).
2.	Date of Appointment/cessation (as applicable) Term of Appointment	14 th May 2021 Appointment for a period of 3 years.
3.	Brief Profile (in case of Appointment)	Mr. Sumit Dhir is a Chartered Accountant & Certified Internal Auditor and career banker with total experience of 19 years, majorly with Kotak Mahindra Bank Ltd. across domains of Internal Audit, Governance Practices, Risk & Control Framework, Compliance and retail credit with multi geographical exposure with India. He has extensive Audit exposure in the areas of Retail Branch Network, Treasury, Risk, Corporate Function & IT. His core competencies include Data Analysis, Fraud Investigations, Change Management, Team Building, Audit Cost Budgeting & Risk Based Audit.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**