AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

**Ref. No.: AUSFB/SEC/2021-22/243**
**Date: 29th October 2021**

To,

| | |
|---|---|
| **National Stock Exchange of India Ltd.**<br>Exchange Plaza, C-1, Block G,<br>Bandra Kurla Complex,<br>Bandra (East), Mumbai 400051,<br>Maharashtra.<br>**NSE Symbol: AUBANK** | **BSE Limited**<br>Phiroze Jeejeebhoy Towers,<br>Dalal Street,<br>Mumbai 400001,<br>Maharashtra.<br>**Scrip Code: 540611** |

Dear Sir/Madam,

**Sub: Intimation of Newspaper advertisement of Unaudited Financial Results of the Bank for the Quarter and Half Year ended on 30th September, 2021**

Pursuant to Regulation 47 and other applicable provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Unaudited Financial Results of the Bank for the quarter and half year ended on 30th September, 2021 published in all the editions of "Business Standard" in English Newspaper and in Jaipur edition of "Nafa Nuksan" Newspaper in Hindi on 29th October, 2021. The copies of the newspaper advertisements are enclosed for reference.

This is for your information and records.

Thanking You,

Yours faithfully,
**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**
**Company Secretary and Compliance Officer**
**Membership No.: F9999**
**investorrelations@aubank.in**

**Encl: As Above**

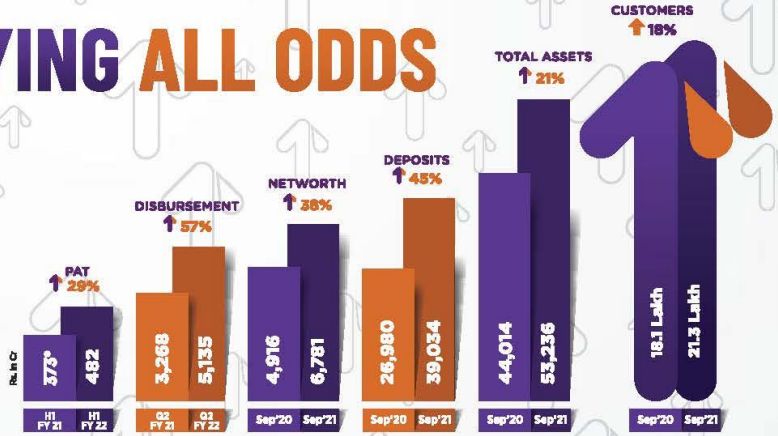
**Registered Office**
**AU SMALL FINANCE BANK LIMITED**
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
**Phone:** +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

Business Standard
NEW DELHI | FRIDAY, 29 OCTOBER 2021
COMPANIES 3

BADLAAV
HUMSE HAI

AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

DEFYING ALL ODDS




*Excluding Rs. 149 Crores pertaining to profit on sale of equity shares (part stake) held in Aavas Financiers Ltd.

## AU Small Finance Bank Limited

(CIN: L36911RJ1996PLC011381)
Registered Office:- 19-A, Dhuleshwar Garden, Ajmer Road, Jaipur 302001, Rajasthan (INDIA)
Tel: +91-141-4110060, Fax No : +91- 141-4110090 Website: www.aubank.in

### Statement of Unaudited Financial Results for the quarter and half year ended September 30, 2021

(₹ in Lakhs)

| Sr No. | Particulars | Quarter ended Sep 30, 2021 (Unaudited) | Quarter ended Sep 30, 2020 (Unaudited) | Half year ended Sep 30, 2021 (Unaudited) |
|---|---|---|---|---|
| 1 | Total Income from Operations | 159,564.93 | 147,345.31 | 316,320.33 |
| 2 | Net Profit for the period (before Tax, Exceptional and Extraordinary items) | 38,784.21 | 40,890.98 | 66,249.92 |
| 3 | Net Profit for the period before tax (after Exceptional and Extraordinary items) | 38,784.21 | 40,890.98 | 66,249.92 |
| 4 | Net Profit for the period after tax (after Exceptional and Extraordinary items) | 27,851.37 | 32,187.64 | 48,171.16 |
| 5 | Total Comprehensive Income for the period {Comprising Profit / (Loss) for the period (after tax) and Other Comprehensive Income (after tax)} | Refer Note (ii) | Refer Note (ii) | Refer Note (ii) |
| 6 | Equity Share Capital | 31,311.04 | 30,653.53 | 31,311.04 |
| 7 | Reserves (excluding Revaluation Reserve) as shown in the Audited Balance Sheet of the previous year | 5,96,300.24* | 4,07,268.78** | 5,96,300.24* |
| 8 | Earnings Per Share (of Rs. 10/- each) (for continuing and discontinued operations) - {(before and after exceptional item) (not annualised)} | | | |
| | 1. Basic: | 8.90 | 10.50 | 15.40 |
| | 2. Diluted: | 8.81 | 10.47 | 15.23 |

* As at March 31, 2021 ** As at March 31, 2020

Notes:

(i) The above is an extract of the detailed format of quarter and half year ended Financial Results filed with the Stock Exchanges under Regulation 33 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015. The full format of the quarter and half year ended Financial Results are available on the websites of the Stock Exchange, www.nseindia.com and www.bseindia.com and of the Bank www.aubank.in.

(ii) Information related to the total comprehensive income and other comprehensive income are not furnished as Ind AS is not yet made applicable to Bank.

For AU Small Finance Bank Limited

Sanjay Agarwal
Managing Director & CEO

Date : October 28, 2021

FAA+ /Stable CRISIL RATING For Fixed Deposits

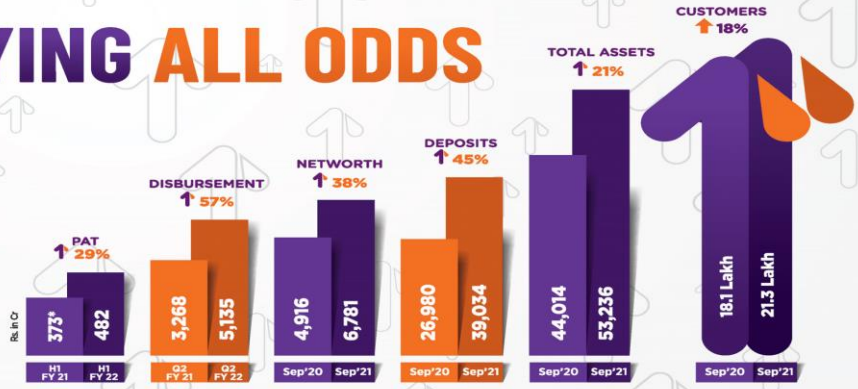
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17 15 States + 2 UTs
23,400+ Employees

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*Excluding Rs. 149 Crores pertaining to profit on sale of equity shares (part stake) held in Aavas Financiers Ltd.

## एयू स्मॉल फाईनेंस बैंक लिमिटेड

(CIN: L36911RJ1996PLC011381)

पंजीकृत कार्यालय:- 19-A , धुलेश्वर गार्डन, अजमेर रोड, जयपुर 302001 राजस्थान (भारत)

फोन: +91-141-4110060, फैक्स: +91- 141-4110090 वेबसाइट: www.aubank.in

### सितंबर 30, 2021 को समाप्त हुई तिमाही एवं अर्धवार्षिक के लिए अनअंकेक्षित वित्तीय परिणामों का विवरण

(₹ लाखों में)

| क्र. सं | विवरण | तिमाही समाप्त सितंबर 30, 2021 (अनअंकेक्षित) | तिमाही समाप्त सितंबर 30, 2020 (अनअंकेक्षित) | अर्धवार्षिक समाप्त सितंबर 30, 2021 (अनअंकेक्षित) |
|---|---|---|---|---|
| 1 | परिचालनों से कुल आय | 159,564.93 | 147,345.31 | 316,320.33 |
| 2 | अवधि हेतु शुद्ध लाभ (कर,अपवाद तथा असाधारण मदों से पूर्व) | 38,784.21 | 40,890.98 | 66,249.92 |
| 3 | अवधि हेतु शुद्ध लाभ कर से पूर्व (अपवाद तथा असाधारण मदों के बाद) | 38,784.21 | 40,890.98 | 66,249.92 |
| 4 | अवधि हेतु शुद्ध लाभ कर पश्चात (अपवाद तथा असाधारण मदों के बाद) | 27,851.37 | 32,187.64 | 48,171.16 |
| 5 | अवधि हेतु कुल व्यापक आय {अवधि के लिए लाभ/ (हानि) (कर पश्चात) तथा अन्य व्यापक आयों को सम्मिलित करके (कर पश्चात)} | नोट (ii) देखें | नोट (ii) देखें | नोट (ii) देखें |
| 6 | समता अंश पूंजी | 31,311.04 | 30,653.53 | 31,311.04 |
| 7 | संचय (पुनर्मूल्यन संचय छोड़कर) जैसाकि पूर्व वर्ष के अंकेक्षित तुलनपत्र में दर्शाया गया है | 5,96,300.24* | 4,07,268.78** | 5,96,300.24* |
| 8 | प्रति शेयर अर्जन (रु 10 /- प्रत्येक का) (जारी तथा बंद प्रचालनों हेतु) - {(असाधारण मदों से पहले तथा बाद) (गैर वार्षिक)} | | | |
| | 1. मूल: | 8.90 | 10.50 | 15.40 |
| | 2. तरल: | 8.81 | 10.47 | 15.23 |

* मार्च 31, 2021 को ** मार्च 31, 2020 को

नोट्स -

(i) उपरोक्त विवरण सेबी (सूचीकरण दायित्व एवं प्रकटीकरण आवश्यकताएँ) अधिनियम, 2015 के अधिनियम 33 के तहत स्टॉक एक्सचेंजो में दायर किये गये तिमाही एवं अर्धवार्षिक के वित्तीय परिणामों का विस्तृत प्रारूप का एक उद्धरण है । तिमाही एवं अर्धवार्षिक के वित्तीय परिणामों का पूर्ण प्राऊप स्टॉक एक्सचेंजो की वेबसाइट www.nseindia.com और www.bseindia.com एवं बैंक की वेबसाइट www.aubank.in पर उपलब्ध है।

(ii) कुल व्यापक आय और अन्य व्यापक आय से संबंधित सूचना प्रस्तुत नहीं की गई है, क्योंकि Ind AS अभी तक बैंक पर लागू नहीं हुआ है।

एयू स्मॉल फाईनेंस बैंक लिमिटेड के लिए

संजय अग्रवाल
मैनेजिंग डायरेक्टर एवं सी ई ओ

दिनांक : अक्टूबर 28, 2021

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