

Ref. No.: AUSFB/SEC/2024-25/311

Date: October 28, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611, 958400, 959025, 974093, 974094, 974095, 974914, 974963, 975017 & 975038
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Dear Sir/Madam,

Sub: Voting Results & Scrutinizer's Report of the Postal Ballot of AU Small Finance Bank Limited

Ref: Regulation 30, 44(3) and 51 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

In continuation to our letter dated September 24, 2024 regarding notice of Postal Ballot and pursuant to Regulation 44(3) of the Listing Regulations and Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014, we hereby inform that the below resolutions have been duly passed by the members of the Bank with requisite majority:

Item No.	Agenda Item	Type of Resolution
1.	Re-appointment of Mr. Harun Rasid Khan (DIN: 07456806) for second term of 3 years as an Independent Director	Special Resolution
2.	Re-appointment of Mr. Harun Rasid Khan, Independent Director (DIN: 07456806) as Part-Time Chairman of the Bank, subject to approval of Reserve Bank of India	Ordinary Resolution
3.	Approval for remuneration of Mr. Sanjay Agarwal (DIN: 00009526), Managing Director & CEO of the Bank for the Financial Year 2024-25	Ordinary Resolution
4.	Approval for remuneration of Mr. Uttam Tibrewal (DIN: 01024940), Whole Time Director & Deputy CEO of the Bank for the Financial Year 2024-25	Ordinary Resolution

We would like to inform that the shareholders of the Bank have approved the re-appointment of Mr. Harun Rasid Khan (DIN: 07456806), as Part-time Chairman and Independent Director (Non-Executive) of the Bank with effect from December 28, 2024 for a second term of 3 years. Further, the re-appointment as Part-time Chairman shall also be subject to the approval of Reserve Bank of India (RBI).

The voting results in the format prescribed and Scrutinizer's Report for Postal Ballot via remote e-voting, are enclosed as **Annexure-I**.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Enclosed: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
 CIN: L36911RJ1996PLC011381

AU Small Finance Bank Limited

Date of the Postal Ballot:	
a) Date of Postal Ballot Notice	August 17, 2024
b) Date of Declaration of Result of Postal Ballot	October 28, 2024
Last Date of receipt of Postal Ballot Form/ e-voting	October 24, 2024
Total number of shareholders on record date (cut-off date for ascertaining the shareholders to whom the notice of Postal Ballot was sent and also reckoning voting rights i.e. cut-off date for Beneficiary Position)	1,78,370
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	Not Applicable

Registered Office

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Resolution Required: Special			ITEM NO. 1 - RE-APPOINTMENT OF MR. HARUN RASID KHAN (DIN: 07456806) FOR SECOND TERM OF 3 YEARS AS AN INDEPENDENT DIRECTOR					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public Institutions	E-Voting	45,13,25,690	40,11,69,628	88.8869	39,88,13,490	23,56,138	99.4127	0.5873
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		40,11,69,628	88.8869	39,88,13,490	23,56,138	99.4127	0.5873
Public Non Institutions	E-Voting	12,17,99,256	6,59,36,211	54.1352	6,59,30,859	5,352	99.9919	0.0081
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		6,59,36,211	54.1352	6,59,30,859	5,352	99.9919	0.0081
Total		74,34,23,358	63,74,04,251	85.7391	63,50,42,761	23,61,490	99.6295	0.3705

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Resolution Required: Ordinary			ITEM NO. 2 - RE-APPOINTMENT OF MR. HARUN RASID KHAN, INDEPENDENT DIRECTOR (DIN: 07456806) AS PART-TIME CHAIRMAN OF THE BANK, SUBJECT TO APPROVAL OF RESERVE BANK OF INDIA					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public Institutions	E-Voting	45,13,25,690	40,11,69,628	88.8869	40,10,59,939	1,09,689	99.9727	0.0273
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		40,11,69,628	88.8869	40,10,59,939	1,09,689	99.9727	0.0273
Public Non Institutions	E-Voting	12,17,99,256	6,59,36,169	54.1351	6,59,30,822	5,347	99.9919	0.0081
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		6,59,36,169	54.1351	6,59,30,822	5,347	99.9919	0.0081
Total		74,34,23,358	63,74,04,209	85.7391	63,72,89,173	1,15,036	99.9820	0.0180

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Resolution Required: Ordinary			ITEM NO. 3 - APPROVAL FOR REMUNERATION OF MR. SANJAY AGARWAL (DIN: 00009526), MANAGING DIRECTOR & CEO OF THE BANK FOR THE FINANCIAL YEAR 2024-25					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public Institutions	E-Voting	45,13,25,690	40,11,69,628	88.8869	39,79,18,042	32,51,586	99.1895	0.8105
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		40,11,69,628	88.8869	39,79,18,042	32,51,586	99.1895	0.8105
Public Non Institutions	E-Voting	12,17,99,256	6,59,36,067	54.1350	6,59,30,781	5,286	99.9920	0.0080
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		6,59,36,067	54.1350	6,59,30,781	5,286	99.9920	0.0080
Total		74,34,23,358	63,74,04,107	85.7390	63,41,47,235	32,56,872	99.4890	0.5110

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Resolution Required: Ordinary			ITEM NO. 4 - APPROVAL FOR REMUNERATION OF MR. UTTAM TIBREWAL (DIN: 01024940), WHOLE TIME DIRECTOR & DEPUTY CEO OF THE BANK FOR THE FINANCIAL YEAR 2024-25					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public Institutions	E-Voting	45,13,25,690	40,11,69,628	88.8869	39,79,18,042	32,51,586	99.1895	0.8105
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		40,11,69,628	88.8869	39,79,18,042	32,51,586	99.1895	0.8105
Public Non Institutions	E-Voting	12,17,99,256	6,59,36,126	54.1351	6,59,29,288	6,838	99.9896	0.0104
	Poll		0	0.0000	0	0	0	0
	Postal Ballot		0	0.0000	0	0	0	0
	Total		6,59,36,126	54.1351	6,59,29,288	6,838	99.9896	0.0104
Total		74,34,23,358	63,74,04,166	85.7391	63,41,45,742	32,58,424	99.4888	0.5112

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Scrutinizer's Report

To,
The Chairman
AU Small Finance Bank Limited
19-A Dhuleshwar Garden
Jaipur -302001 (Rajasthan)

Dear Sir,

Sub: Scrutinizer's Report on Postal Ballot by way of Electronic Voting ("e-voting").

The Board of Directors of AU Small Finance Bank Limited (hereinafter referred to as "**the Bank**") at its Meeting held on Saturday, August 17, 2024 has appointed me as the scrutinizer for scrutinizing the Postal Ballot by way of e-voting pursuant to Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 (as amended) and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure the compliance with the requirements of the relevant provisions of Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, (including any statutory modification(s), clarification(s), substitution(s) or re-enactment(s) thereof for the time being in force) and the guidelines prescribed by the Ministry of Corporate Affairs ("MCA") for holding general meetings/conducting postal ballot process through e-voting vide General Circular No. 09/2023 dated September 25, 2023 read along with other connected circulars issued from time to time in this regard ("MCA Circulars"), relating to postal ballot including voting by electronic means for the resolution contained in the Notice of Postal Ballot dated August 17, 2024.

My responsibility as a Scrutinizer is restricted to making a Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of Postal Ballot, based on the reports generated/data downloaded from the e-voting system provided by National Securities Depository Limited, the Agency Authorized under the Rules and engaged by the Bank to provide e-voting facility.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL / Service Provider**") as the Service Provider, for the purpose of extending the facility of remote e-voting to the Shareholders of the Bank. Link Intime India Private Limited is the Registrar and Share Transfer Agent (hereinafter referred to as "**RTA**") of the Bank.
- The Service Provider had provided a system for recording the votes of the Shareholders electronically on the items of business stated in the Notice of Postal Ballot dated August 17, 2024.

Manoj
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- The Service Provider had set up an electronic voting facility on their website, <https://www.evoting.nsdl.com> The Bank had uploaded the items of business to be transacted through Postal Ballot on the website of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-voting.
- The internal cut-off date for the dispatch of the Notice of Postal Ballot was Friday, September 20, 2024 and as on that date, there were 1,78,370 (One Lakh Seventy Eight Thousand Three Hundred and Seventy) Shareholders of the Bank.
- The Bank informed that in compliance with the MCA Circulars and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider completed dispatch of Notice of Postal Ballot along-with e-voting details on Tuesday, September 24, 2024 by E-mail to 1,72,987 (One Lakh Seventy Two Thousand Nine Hundred and Eighty Seven) shareholders who had already registered their email IDs with the Bank / Depositories.
- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.
- As stated in Rule 22 of The Companies (Management and Administration) Rules, 2014 (as amended), an advertisement was published by the Bank on Wednesday, September 25, 2024, in "Financial Express", English newspaper in English language and in "Nafa Nuksan" Vernacular language newspaper in vernacular language, informing about the completion of the dispatch of the Notice of Postal Ballot, by means of E-mail to the shareholders along with other related matters mentioned therein.
- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolution contained in the Postal Ballot Notice was Friday, September 20, 2024.
- The remote e-voting period commenced from Wednesday, September 25, 2024 at 09:00 A.M. and concluded on Thursday, October 24, 2024 at 05:00 P.M.
- At the end of the voting period on Thursday, October 24, 2024 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith.
- After completion of e-voting, the votes cast by the Shareholders were unblocked and downloaded from the e-voting website of NSDL / Service Provider (<https://www.evoting.nsdl.com>) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data/results downloaded from the e-voting system of NSDL were scrutinized and reviewed, the votes were counted, and the results were prepared.
- Based on the data downloaded from NSDL e-voting system, the total votes cast in favour or against on the resolution proposed in the Notice of Postal Ballot are as under:

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**Item No. 1: Special Resolution:****RE-APPOINTMENT OF MR. HARUN RASID KHAN (DIN: 07456806) FOR SECOND TERM OF 3 YEARS AS AN INDEPENDENT DIRECTOR.**

Total No. of Shareholders/folios	1,78,370		
Total No. of Shares	74,34,23,358		
e-voting Period	From 09:00 A.M. on Wednesday, September 25, 2024 till 05:00 P.M. on Thursday, October 24, 2024		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,157	63,74,04,251
Total Votes cast through Postal Ballot forms received	B	-	-
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,157	63,74,04,251
Less: Invalid remote e-voting/Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,157	63,74,04,251

NOTE:

There is 1 (One) folio on which voting has been exercised both in favour and against the resolution and therefore, the folio has been counted twice for counting the total number of folios in the above table.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	45,13,25,690	40,11,69,628	88.8869	39,88,13,490	23,56,138	99.4127	0.5873
Public- others	12,17,99,256	6,59,36,211	54.1352	6,59,30,859	5,352	99.9919	0.0081
Total	74,34,23,358	63,74,04,251	85.7391	63,50,42,761	23,61,490	99.6295	0.3705

Percentage of votes cast in favour: 99.6295% | Percentage of votes cast against: 0.3705%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.6295%**, based on the aforesaid result, I report that the **Special Resolution** as set out at **item no. 1** in the notice of postal ballot dated August 17, 2024 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. October 24, 2024.

**Item No. 2: Ordinary Resolution:****RE-APPOINTMENT OF MR. HARUN RASID KHAN, INDEPENDENT DIRECTOR (DIN: 07456806) AS PART-TIME CHAIRMAN OF THE BANK, SUBJECT TO APPROVAL OF RESERVE BANK OF INDIA.**

Total No. of Shareholders/folios	1,78,370		
Total No. of Shares	74,34,23,358		
e-voting Period	From 09:00 A.M. on Wednesday, September 25, 2024 till 05:00 P.M. on Thursday, October 24, 2024		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,156	63,74,04,209
Total Votes cast through Postal Ballot forms received	B	-	-
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,156	63,74,04,209
Less: Invalid remote e-voting/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,156	63,74,04,209

NOTE:

There is 1 (One) folio on which voting has been exercised both in favour and against the resolution and therefore, the folio has been counted twice for counting the total number of folios in the above table.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	45,13,25,690	40,11,69,628	88.8869	40,10,59,939	1,09,689	99.9727	0.0273
Public- others	12,17,99,256	6,59,36,169	54.1351	6,59,30,822	5,347	99.9919	0.0081
Total	74,34,23,358	63,74,04,209	85.7391	63,72,89,173	1,15,036	99.9820	0.0180

Percentage of votes cast in favour: 99.9820% | Percentage of votes cast against: 0.0180%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9820%**, based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 2** in the notice of postal ballot dated August 17, 2024 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. October 24, 2024.

**Manoj
Maheshwari**

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**Item No. 3: Ordinary Resolution:****APPROVAL FOR REMUNERATION OF MR. SANJAY AGARWAL (DIN: 00009526), MANAGING DIRECTOR & CEO OF THE BANK FOR THE FINANCIAL YEAR 2024-25.**

Total No. of Shareholders/folios	1,78,370		
Total No. of Shares	74,34,23,358		
e-voting Period	From 09:00 A.M. on Wednesday, September 25, 2024 till 05:00 P.M. on Thursday, October 24, 2024		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,156	63,74,04,107
Total Votes cast through Postal Ballot forms received	B	-	-
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,156	63,74,04,107
Less: Invalid remote e-voting/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,156	63,74,04,107

NOTE:

There is 1 (One) folio on which voting has been exercised both in favour and against the resolution and therefore, the folio has been counted twice for counting the total number of folios in the above table.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	45,13,25,690	40,11,69,628	88.8869	39,79,18,042	32,51,586	99.1895	0.8105
Public- others	12,17,99,256	6,59,36,067	54.1350	6,59,30,781	5,286	99.9920	0.0080
Total	74,34,23,358	63,74,04,107	85.7390	63,41,47,235	32,56,872	99.4890	0.5110

Percentage of votes cast in favour: 99.4890% | Percentage of votes cast against: 0.5110%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.4890%**, based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 3** in the notice of postal ballot dated August 17, 2024 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. October 24, 2024.

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**Item No. 4: Ordinary Resolution:****APPROVAL FOR REMUNERATION OF MR. UTTAM TIBREWAL (DIN: 01024940), WHOLE TIME DIRECTOR & DEPUTY CEO OF THE BANK FOR THE FINANCIAL YEAR 2024-25.**

Total No. of Shareholders/folios	1,78,370		
Total No. of Shares	74,34,23,358		
e-voting Period	From 09:00 A.M. on Wednesday, September 25, 2024 till 05:00 P.M. on Thursday, October 24, 2024		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,157	63,74,04,166
Total Votes cast through Postal Ballot forms received	B	-	-
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,157	63,74,04,166
Less: Invalid remote e-voting/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,157	63,74,04,166

NOTE:

There is 1 (One) folio on which voting has been exercised both in favour and against the resolution and therefore, the folio has been counted twice for counting the total number of folios in the above table.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	45,13,25,690	40,11,69,628	88.8869	39,79,18,042	32,51,586	99.1895	0.8105
Public- others	12,17,99,256	6,59,36,126	54.1351	6,59,29,288	6,838	99.9896	0.0104
Total	74,34,23,358	63,74,04,166	85.7391	63,41,45,742	32,58,424	99.4888	0.5112

Percentage of votes cast in favour: 99.4888% | Percentage of votes cast against: 0.5112%

RESULT:-Since, the number of votes cast in favour of the resolution is **99.4888%**, based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 4** in the notice of postal ballot dated August 17, 2024 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. October 24, 2024.**Manoj Maheshwari**Digitally signed by
Manoj Maheshwari
Date: 2024.10.25
19:09:45 +05'30'



V. M. & ASSOCIATES

COMPANY SECRETARIES

**403, ROYAL WORLD
SANSAR CHANDRA ROAD
JAIPUR—302 001 (RAJASTHAN)**

**Phone: 0141 - 4075010
E-mail: cs.vmanda@gmail.com**

A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/ abstained/ voted for lesser shares, for resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary for preserving safely after the Chairman considers, approves and signs the Minutes/ Report on Postal Ballot.

I thank you for the opportunity given to act as a Scrutinizer for the above Postal Ballot.

Yours Faithfully

Manoj
Maheshwari

Digitally signed by
Manoj Maheshwari
Date: 2024.10.25
19:09:55 +05'30'

CS Manoj Maheshwari

Scrutinizer

M. No.: FCS 3355 | C.P. No. 1971

Partner

V. M. & Associates

Company Secretaries

(ICSI Unique Code P1984RJ039200)

Place: Jaipur

Date: October 25, 2024

UDIN: F003355F001761584

Countersigned By:

For AU Small Finance Bank Limited

Manmohan Parnami

Digitally signed by
Manmohan Parnami
Date: 2024.10.28
14:54:29 +05'30'

Manmohan Parnami

Company Secretary & Compliance Officer

M. No.: FCS 9999

(As authorized by Chairman of the Bank)