

Ref. No.: AUSFB/SEC/2023-24/213

Date: October 28, 2023

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611, 958400, 974093, 974094 and 974095
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Dear Sir/Madam,

Sub: Half-yearly disclosure of Related Party Transactions for the period ended September 30, 2023

Ref: Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Pursuant to Regulation 23(9) of the Listing Regulations, we submit herewith half-yearly disclosure of Related Party Transactions for the period ended September 30, 2023.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name	Name of Related Party						Relationship of the counterparty with the Bank	Opening Balance	
1	AU Small Finance Bank Limited	Mr. Sanjay Agarwal	KMP	Any other transaction	Deposits with the Bank	750.00	NA	4.79	1.28	4.79	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
2	AU Small Finance Bank Limited	Mr. Uttam Tibrewal	KMP	Any other transaction	Deposits with the Bank	750.00	NA	4.01	6.81	4.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
3	AU Small Finance Bank Limited	Mr. Vimal Jain	KMP	Any other transaction	Deposits with the Bank	750.00	NA	1.30	1.01	1.30	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
4	AU Small Finance Bank Limited	Mr. Manmohan Parnami	KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.42	0.16	0.42	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
5	AU Small Finance Bank Limited	Mrs. Suman Sultania	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.05	0.03	0.05	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
6	AU Small Finance Bank Limited	Mr. Pradeep Kumar Jain	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.13	0.00	0.13	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
7	AU Small Finance Bank Limited	Mrs. Suman Lata Jain	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
8	AU Small Finance Bank Limited	Ms. Jyoti Agarwal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	3.39	0.70	3.39	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
9	AU Small Finance Bank Limited	Mr. Yuvraj Agarwal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.20	0.18	0.20	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
10	AU Small Finance Bank Limited	Ms. Mallika Agarwal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.02	0.02	0.02	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
11	AU Small Finance Bank Limited	Mrs. Manju Agarwal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.09	0.07	0.09	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
12	AU Small Finance Bank Limited	Mrs. Uma Bagaria	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.24	0.29	0.24	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
13	AU Small Finance Bank Limited	Mr. Devi Prasad Tibrewal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.09	0.07	0.09	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
14	AU Small Finance Bank Limited	Ms. Shakuntala Agarwal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.54	0.00	0.54	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
15	AU Small Finance Bank Limited	Mrs.Bhawna Parnami	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.25	0.15	0.25	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
16	AU Small Finance Bank Limited	Mr. Subhash Tibrewal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.02	0.05	0.02	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name of Related Party	Relationship of the counterparty with the Bank						Opening Balance	Closing Balance	
17	AU Small Finance Bank Limited	Mrs. Ladh Devi Jain	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.02	0.03	0.02	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
18	AU Small Finance Bank Limited	Mrs. Sinku Jain	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.04	0.02	0.04	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
19	AU Small Finance Bank Limited	Ms. Pooja Tibrewal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.39	5.85	0.39	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
20	AU Small Finance Bank Limited	Mrs. Geeta Devi Tibrewal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	12.00	5.16	12.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
21	AU Small Finance Bank Limited	Mrs. Renu Kataria	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
22	AU Small Finance Bank Limited	Mr. Vaibhav Tibrewal	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.97	0.99	0.97	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
23	AU Small Finance Bank Limited	Ms. Asmita Parnami	Relative of KMP	Any other transaction	Deposits with the Bank	750.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
24	AU Small Finance Bank Limited	Jyoti Sanjay Family trust	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
25	AU Small Finance Bank Limited	Kalinga Mercantile Private Limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.03	0.02	0.03	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
26	AU Small Finance Bank Limited	Abhayan Bharat Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.02	0.02	0.02	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
27	AU Small Finance Bank Limited	Kalinga Extrusion India Private Limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.01	0.00	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
28	AU Small Finance Bank Limited	AU Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.06	0.14	0.06	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
29	AU Small Finance Bank Limited	Sanjay and Jyoti Agarwal Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.07	0.01	0.07	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
30	AU Small Finance Bank Limited	Shree Dham Mining industries LLP	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.01	0.01	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
31	AU Small Finance Bank Limited	VS And Sons HUF	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.11	0.04	0.11	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
32	AU Small Finance Bank Limited	Manmohan Parnami HUF	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.

Related Party Transactions- H1/FY 2023-24											
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		Name	Name of Related Party						Relationship of the counterparty with the Bank	Opening Balance	
33	AU Small Finance Bank Limited	Mr. Kannan Gopalaraghavan Vellur	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.04	0.05	0.04	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
34	AU Small Finance Bank Limited	Mr. Raj Vikash Verma	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.13	0.09	0.13	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
35	AU Small Finance Bank Limited	Mr. Pushpinder Singh	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.02	0.01	0.02	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
36	AU Small Finance Bank Limited	Ms. Malini Thadani	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.03	0.01	0.03	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
37	AU Small Finance Bank Limited	Ms. Kavita Venugopal	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.12	0.11	0.12	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
38	AU Small Finance Bank Limited	Mr. R.P. Verma	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
39	AU Small Finance Bank Limited	Mrs. Kamla Thadani	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
40	AU Small Finance Bank Limited	Ms. Lily Sinha	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
41	AU Small Finance Bank Limited	Mrs. Nidhi Verma	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.02	0.05	0.02	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
42	AU Small Finance Bank Limited	Dr. Ankur Verma	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
43	AU Small Finance Bank Limited	Dr. P.K. Verma	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.01	0.01	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
44	AU Small Finance Bank Limited	Mrs. Asha Kannan	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.01	0.01	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
45	AU Small Finance Bank Limited	Mr. Abhinav Verma	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.00	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
46	AU Small Finance Bank Limited	Mrs. Anil Kaur	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.01	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
47	AU Small Finance Bank Limited	Mrs. Akanksha Batra	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.01	0.00	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
48	AU Small Finance Bank Limited	Mrs. Gowri Sriram	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.01	0.00	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name	Name of Related Party						Relationship of the counterparty with the Bank	Opening Balance	
49	AU Small Finance Bank Limited	Mr. Navdeep Singh Arneja	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.00	0.02	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
50	AU Small Finance Bank Limited	VG Kannan HUF	Interested entity of Independent Directors or their relatives	Any other transaction	Deposits with the Bank	200.00	NA	0.00	0.01	0.00	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
51	AU Small Finance Bank Limited	Mr. Manmohan Parnami	KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.01	0.00	0.00	-
52	AU Small Finance Bank Limited	Mr. Sanjay Agarwal	KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.11	0.00	0.00	-
53	AU Small Finance Bank Limited	Mr. Uttam Tibrewal	KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.26	0.00	0.00	-
54	AU Small Finance Bank Limited	Mr. Vimal Jain	KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.03	0.00	0.00	-
55	AU Small Finance Bank Limited	Ms. Asmita Parnami	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
56	AU Small Finance Bank Limited	Mrs.Bhawna Parnami	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.01	0.00	0.00	-
57	AU Small Finance Bank Limited	Mr. Devi Prasad Tibrewal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.55	0.00	0.00	-
58	AU Small Finance Bank Limited	Mrs. Geeta Devi Tibrewal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.69	0.00	0.00	-
59	AU Small Finance Bank Limited	Ms. Jyoti Agarwal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.04	0.00	0.00	-
60	AU Small Finance Bank Limited	Mrs. Ladh Devi Jain	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
61	AU Small Finance Bank Limited	Mrs. Manju Agarwal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.04	0.00	0.00	-
62	AU Small Finance Bank Limited	Ms. Pooja Tibrewal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.07	0.00	0.00	-
63	AU Small Finance Bank Limited	Mr. Pradeep Kumar Jain	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
64	AU Small Finance Bank Limited	Mrs. Renu Kataria	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
65	AU Small Finance Bank Limited	Ms. Shakuntala Agarwal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.09	0.00	0.00	-
66	AU Small Finance Bank Limited	Mrs. Sinku Jain	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
67	AU Small Finance Bank Limited	Mr. Subhash Tibrewal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.01	0.00	0.00	-
68	AU Small Finance Bank Limited	Mrs. Suman Lata Jain	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
69	AU Small Finance Bank Limited	Mrs. Suman Sultania	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.01	0.00	0.00	-
70	AU Small Finance Bank Limited	Ms. Mallika Agarwal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
71	AU Small Finance Bank Limited	Mrs. Uma Bagaria	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.21	0.00	0.00	-
72	AU Small Finance Bank Limited	Mr. Vaibhav Tibrewal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.03	0.00	0.00	-
73	AU Small Finance Bank Limited	Mr. Yuvraj Agarwal	Relative of KMP	Any other transaction	Interest Paid / Payable	50.00	NA	0.01	0.00	0.00	-
74	AU Small Finance Bank Limited	Abhiyan Bharat Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
75	AU Small Finance Bank Limited	AU Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
76	AU Small Finance Bank Limited	Kalinga Extrusion India Private Limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name	Relationship of the counterparty with the Bank						Opening Balance	Closing Balance	
			Name of Related Party								
77	AU Small Finance Bank Limited	Sanjay and Jyoti Agarwal Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
78	AU Small Finance Bank Limited	Manmohan Parnami HUF	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
79	AU Small Finance Bank Limited	VS And Sons HUF	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	0.00	0.00	0.00	-
80	AU Small Finance Bank Limited	MYS holdings Private Limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Interest Paid / Payable	50.00	NA	3.23	0.00	0.00	-
81	AU Small Finance Bank Limited	Mr. Mankal Shankar Sriram	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.02	0.00	0.00	-
82	AU Small Finance Bank Limited	Mr. Pushpinder Singh	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.01	0.00	0.00	-
83	AU Small Finance Bank Limited	Mr. Raj Vikash Verma	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.14	0.00	0.00	-
84	AU Small Finance Bank Limited	Mr. Kannan Gopalaraghavan Vellur	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
85	AU Small Finance Bank Limited	Ms. Malini Thadani	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
86	AU Small Finance Bank Limited	Ms. Kavita Venugopal	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.01	0.00	0.00	-
87	AU Small Finance Bank Limited	Mr. Abhinav Verma	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
88	AU Small Finance Bank Limited	Mrs. Akanksha Batra	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
89	AU Small Finance Bank Limited	Mrs. Anil Kaur	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
90	AU Small Finance Bank Limited	Dr. Ankur Verma	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.01	0.00	0.00	-
91	AU Small Finance Bank Limited	Mr. Navdeep Singh Arneja	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
92	AU Small Finance Bank Limited	Mrs. Asha Kannan	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.01	0.00	0.00	-
93	AU Small Finance Bank Limited	Mrs. Gowri Sriram	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.03	0.00	0.00	-
94	AU Small Finance Bank Limited	Mrs. Kamla Thadani	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
95	AU Small Finance Bank Limited	Ms. Lily Sinha	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
96	AU Small Finance Bank Limited	Mrs. Nidhi Verma	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
97	AU Small Finance Bank Limited	Mr. R.P. Verma	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
98	AU Small Finance Bank Limited	Dr. P.K. Verma	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
99	AU Small Finance Bank Limited	Encore Asset Reconstruction Company Private Limited	Interested entity of Independent Directors or their relatives	Any other transaction	Interest Paid / Payable	10.00	NA	0.91	0.00	0.00	-
100	AU Small Finance Bank Limited	AU Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	CSR Expenditure	50.00	CSR Contribution as approved by CSR Committee and Board	3.52	0.00	0.00	-
101	AU Small Finance Bank Limited	Kalinga Agencies	Enterprise over which KMP/Relative of KMP have control / significant influence	Interest received		50.00	NA	0.01	0.00	0.00	-
102	AU Small Finance Bank Limited	Ms. Shakuntala Agarwal	Relative of KMP	Interest received		50.00	NA	0.43	0.00	0.00	-

Related Party Transactions- H1/FY 2023-24											
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	103			AU Small Finance Bank Limited	MYS holdings Private Limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Interest received				50.00
104	AU Small Finance Bank Limited	Mrs. Asha Kannan	Relative of Independent Director	Interest received		5.00	NA	0.00	0.00	0.00	-
105	AU Small Finance Bank Limited	Ms. Jyoti Agarwal	Relative of KMP	Interest received		50.00	NA	0.00	0.00	0.00	-
106	AU Small Finance Bank Limited	Mr. Vimal Jain	KMP	Interest received		50.00	NA	0.00	0.00	0.00	-
107	AU Small Finance Bank Limited	Mr. Manmohan Parnami	KMP	Interest received		50.00	NA	0.00	0.00	0.00	-
108	AU Small Finance Bank Limited	Mr. Sanjay Agarwal	KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
109	AU Small Finance Bank Limited	Mr. Uttam Tibrewal	KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
110	AU Small Finance Bank Limited	Mr. Vimal Jain	KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
111	AU Small Finance Bank Limited	Mrs. Suman Lata Jain	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
112	AU Small Finance Bank Limited	Ms. Jyoti Agarwal	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
113	AU Small Finance Bank Limited	Mrs. Uma Bagaria	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
114	AU Small Finance Bank Limited	Ms. Shakuntala Agarwal	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
115	AU Small Finance Bank Limited	Ms. Asmita Parnami	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
116	AU Small Finance Bank Limited	Mr. Subhash Tibrewal	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
117	AU Small Finance Bank Limited	Mrs. Sinku Jain	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
118	AU Small Finance Bank Limited	Ms. Pooja Tibrewal	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
119	AU Small Finance Bank Limited	Mrs. Renu Kataria	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
120	AU Small Finance Bank Limited	Ms. Mallika Agarwal	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
121	AU Small Finance Bank Limited	Abhayan Bharat Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
122	AU Small Finance Bank Limited	MYS holdings Private Limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
123	AU Small Finance Bank Limited	Sanjay and Jyoti Agarwal Foundation	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
124	AU Small Finance Bank Limited	Shree Dham Mining industries LLP	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
125	AU Small Finance Bank Limited	Ms. Malini Thadani	Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
126	AU Small Finance Bank Limited	Mr. Raj Vikash Verma	Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
127	AU Small Finance Bank Limited	Mr. R.P. Verma	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
128	AU Small Finance Bank Limited	Ms. Lily Sinha	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
129	AU Small Finance Bank Limited	Mrs. Nidhi Verma	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
130	AU Small Finance Bank Limited	Mr. Abhinav Verma	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name	Name of Related Party						Relationship of the counterparty with the Bank	Opening Balance	
131	AU Small Finance Bank Limited	Mrs. Nimrat Batth	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
132	AU Small Finance Bank Limited	Mr. Navdeep Singh Arneja	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
133	AU Small Finance Bank Limited	Mr. Uttam Tibrewal	KMP	Any other transaction	Value of ESOPs exercised	40.00	As approved by Nomination and Remuneration Committee	20.66	0.00	0.00	-
134	AU Small Finance Bank Limited	Mr. Kannan Gopalaraghavan Vellur	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
135	AU Small Finance Bank Limited	Mr. Raj Vikash Verma	Independent Director	Any other transaction	Honorarium	0.25	As may be approved by the Board, Shareholders and RBI	0.12	0.00	0.00	-
136	AU Small Finance Bank Limited	Mr. Pushpinder Singh	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
137	AU Small Finance Bank Limited	Mr. Mankal Shankar Sriram	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
138	AU Small Finance Bank Limited	Mr. Kamlesh Shivji Vikamsey	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
139	AU Small Finance Bank Limited	Mr. Harun Rasid Khan	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
140	AU Small Finance Bank Limited	Ms. Malini Thadani	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
141	AU Small Finance Bank Limited	Ms. Kavita Venugopal	Independent Director	Any other transaction	Fixed Remuneration	0.20	As may be approved by the Board and Shareholders	0.09	0.00	0.00	-
142	AU Small Finance Bank Limited	Ms. Kavita Venugopal	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.11	0.00	0.00	-
143	AU Small Finance Bank Limited	Mr. Kannan Gopalaraghavan Vellur	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.12	0.00	0.00	-
144	AU Small Finance Bank Limited	Mr. Raj Vikash Verma	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.10	0.00	0.00	-
145	AU Small Finance Bank Limited	Mr. Pushpinder Singh	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.12	0.00	0.00	-
146	AU Small Finance Bank Limited	Mr. Mankal Shankar Sriram	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.13	0.00	0.00	-
147	AU Small Finance Bank Limited	Mr. Kamlesh Shivji Vikamsey	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.12	0.00	0.00	-
148	AU Small Finance Bank Limited	Mr. Harun Rasid Khan	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.11	0.00	0.00	-
149	AU Small Finance Bank Limited	Ms. Malini Thadani	Independent Director	Any other transaction	Sitting Fee	0.50	As approved by the Board subject to applicable limits under the Companies Act, 2013	0.11	0.00	0.00	-

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name	Name of Related Party						Relationship of the counterparty with the Bank	Opening Balance	
150	AU Small Finance Bank Limited	Mr. Sanjay Agarwal	KMP	Remuneration		7.00	As approved by the NRC, Board & RBI, as per the Bank's Compensation policy and RBI guidelines in this regard.	1.16	0.00	0.00	-
151	AU Small Finance Bank Limited	Mr. Uttam Tibrewal	KMP	Remuneration		6.00	As approved by the NRC, Board & RBI, as per the Bank's Compensation policy and RBI guidelines in this regard.	0.99	0.00	0.00	-
152	AU Small Finance Bank Limited	Mr. Vimal Jain	KMP	Remuneration		3.00	As approved by the NRC, Board, as per the Bank's Compensation policy and RBI guidelines in this regard.	0.75	0.00	0.00	-
153	AU Small Finance Bank Limited	Mr. Manmohan Parnami	KMP	Remuneration		1.25	As approved by the NRC, Board, as per the Bank's Compensation policy and RBI guidelines in this regard.	0.47	0.00	0.00	-
154	AU Small Finance Bank Limited	Shivgyan Mines and Minerals Private limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Deposits with the Bank	750.00	NA	0.23	0.06	0.23	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
155	AU Small Finance Bank Limited	Mrs. Farhat Jahan Begum	Relative of Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.10	0.00	0.10	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
156	AU Small Finance Bank Limited	Mr. Mankal Shankar Sriram	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.01	0.00	0.01	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
157	AU Small Finance Bank Limited	Mr. Harun Rasid Khan	Independent Director	Any other transaction	Deposits with the Bank	25.00	NA	0.10	0.00	0.10	Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
158	AU Small Finance Bank Limited	Mr. Harun Rasid Khan	Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
159	AU Small Finance Bank Limited	Mrs. Farhat Jahan Begum	Relative of Independent Director	Any other transaction	Interest Paid / Payable	5.00	NA	0.00	0.00	0.00	-
160	AU Small Finance Bank Limited	Mr. Kannan Gopalaraghavan Vellur	Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
161	AU Small Finance Bank Limited	Mrs.Bhawna Parnami	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
162	AU Small Finance Bank Limited	Mrs. Manju Agarwal	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
163	AU Small Finance Bank Limited	Mr. Pradeep Kumar Jain	Relative of KMP	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
164	AU Small Finance Bank Limited	Mrs. Akanksha Batra	Relative of Independent Director	Any other transaction	Income from service rendered	5.00	NA	0.00	0.00	0.00	-
165	AU Small Finance Bank Limited	Shivgyan Mines and Minerals Private limited	Enterprise over which KMP/Relative of KMP have control / significant influence	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
166	AU Small Finance Bank Limited	Mr. Pradeep Kumar Jain	Relative of KMP	Interest received		50.00	NA	0.00	0.00	0.00	-
167	AU Small Finance Bank Limited	Mr. Subhash Tibrewal	Relative of KMP	Interest received		50.00	NA	0.00	0.00	0.00	-
168	AU Small Finance Bank Limited	VG Kannan HUF	Interested entity of Independent Directors or their relatives	Any other transaction	Income from service rendered	10.00	NA	0.00	0.00	0.00	-
169	AU Small Finance Bank Limited	VG Kannan HUF	Interested entity of Independent Directors or their relatives	Interest received		10.00	NA	0.00	0.00	0.00	-

Related Party Transactions- H1/FY 2023-24											
Sr.No	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the counterparty		Type of related party transaction	Details of other related party transaction	Value of the related party transaction as approved by the audit committee	Remarks on approval by audit committee	Value of transaction during the reporting period	In case monies are due to either party as a result of the transaction		Notes
		Name	Relationship of the counterparty with the Bank						Opening Balance	Closing Balance	
170	AU Small Finance Bank Limited	VG Kannan HUF	Interested entity of Independent Directors or their relatives	Any other transaction	Interest Paid / Payable	10.00	NA	0.01	0.00	0.00	-

Notes for all transactions disclosed:

1. The above disclosure on Related Party Transactions is pursuant to regulation 23(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. Value of the Related Party Transaction as approved by the Audit Committee represents omnibus limit approved by the Audit Committee, wherever applicable.
3. Deposit with the Bank excludes Fixed Deposits and the value of transaction represents balance thereof as on September 30, 2023.
4. Transactions involving loans, advances or investments made or given by listed banks are exempt from disclosure.
5. Acceptance of fixed deposits by the Bank are at the terms uniformly applicable/offered to all shareholders/public.
6. Amount "0.00" represents less than Rs. 50,000.