

Ref. No.: AUSFB/SEC/2021-22/289

Date: 27th December 2021

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Bank appoints Mr. Harun Rasid Khan ("Mr. H. R. Khan") (DIN: 07456806) as Non-Executive Independent Director (Additional Director) - Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

In terms of the Regulation 30 of Listing Regulations, we are pleased to inform that the Board of Directors of AU Small Finance Bank Limited ("**the Bank**"), at its meeting held on Monday, 27th December 2021 on recommendation of Nomination & Remuneration Committee has appointed Mr. H. R. Khan (DIN: 07456806) as Non-Executive Independent Director (Additional Director) on the Board of the Bank with effect from 28th December 2021 for a period of 3 years, subject to the approval of Shareholders.

The appointment is part of the Bank's endeavour to strengthen the Board and its Independence. With this addition, the Board of Directors of Bank has expanded to 9 members of which 7 are Independent Directors having expertise in varied fields. The Bank hopes to benefit immensely from vast experience of Mr. H. R. Khan.

It is further confirmed that Mr. H. R. Khan is not debarred from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 09th September 2015 are given in the enclosed **Annexure - I**.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED



Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Encl: As above

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

Annexure - I

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Board has approved the appointment of Mr. H. R. Khan as Non-Executive Independent Director (Additional Director).
2.	Date of Appointment/cessation (as applicable) & Terms of Appointment	With effect from 28 th December 2021 for a period of 3 (three) years subject to approval of shareholders.
3.	Brief Profile (in case of Appointment)	Please refer profile given below.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. H. R. Khan is not related to any Director of the Bank.

Brief Profile covering qualification & experience of Mr. H. R. Khan

Mr. H. R. Khan, a career central banker, has a vast experience of over four decades in the areas of Banking & Finance, Payment and Settlement systems, Economics, Financial Markets and has handled leadership positions as the Deputy Governor & the Executive Director at the Reserve Bank of India.

At RBI, he had handled diverse areas and spearheaded major projects relating to financial markets, foreign exchange management, external investments, banking regulation and supervision, IT transformation, payment and settlement systems, training and HR initiatives, and financial inclusion.

Mr. H. R. Khan holds the Master of Arts from Utkal University, Bhubaneswar, Master of Philosophy from Jawaharlal Nehru University, New Delhi, Diploma in Business Management from Narsee Monjee Institute of Management Studies, Mumbai and he is a Certified Associate of the Indian Institute of Banking and Finance.

He had chaired the Committee on the Rural Credit and Micro finance which focused on expansion of banking network through the Information and Communications Technology enabled business correspondents, the Inter-Regulatory Corporate Debt Working Group which made several important recommendations for development of the Corporate Debt Market in India and the Working Group on the Regulations for the Foreign Portfolio Investors (FPIs) set up for simplification, liberalisation and rationalisation of the portfolio flow regulations set up by the SEBI. He was also the member of the Committees on Digital Payments set up by the Government of India and the RBI. He recently chaired the Corporate Bond and Securitisation Advisory Committee (CoBoSAC) of SEBI.

He was also the director on the Board of Bandhan Bank Limited, Dena Bank, Bank of Maharashtra, Punjab & Sind Bank, Bank of Rajasthan, NABARD, National Housing Board, PTC India Financial Services Limited, Reserve Bank Information Technology Private Limited and the Orissa State Finance Corporation.

Presently, Mr. H. R. Khan is Director on Board of India Mortgage Guarantee Corporation Private Limited & Non-Executive Chairman of NSE Clearing Limited and Senior Adviser (Part Time) at KPMG India.




Registered Office

AU SMALL FINANCE BANK LIMITED

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