

Ref. No.: AUSFB/SEC/2022-23/378
Date: 26th October, 2022

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Allotment of Equity Shares pursuant to exercise of Employees Stock Options

We hereby inform that the Bank has allotted 6,49,549 equity shares of face value of Rs. 10/- each on 26th October, 2022 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2016	24,533
ESOP Scheme 2018	6,06,877
ESOP Scheme 2020	18,139
Total	6,49,549

The paid-up equity share capital of the Bank will accordingly increase from Rs. 6,65,66,66,600 to Rs. 6,66,31,62,090.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

**MANMOHA
N PARNAMI**

Digitally signed by
MANMOHAN PARNAMI
Date: 2022.10.26
18:03:29 +05'30'

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

investorrelations@aubank.in

Registered Office

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CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**