

Ref. No.: AUSFB/SEC/2019-20/460

Date: 23rd January, 2020

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: Revised Intimation letter in respect of letter submitted at NSE via reference no. AUSFB/SEC/2019-20/447 dated 23rd Jan-2020 covering the following updation:

1. Mr. Deepak Jain as Chief Operating Officer (COO)

2. Mr. Vimal Jain as Chief Financial Officer (CFO)

Under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations').

In reference to the Regulation 30 of Listing Regulations, we wish to inform you that the Board of Directors of the Bank, at its meeting held on Thursday 23rd January, 2020 has approved the change in Key Managerial Personnel of the Bank to be effective from **01st April, 2020**:

1. Mr. Deepak Jain, Presently, Chief Financial Officer of Bank shall be elevated and appointed as Chief Operating Officer (COO) and shall continue as Key Managerial Personnel; and
2. Mr. Vimal Jain, Presently, Chief of Finance and Accounts shall be elevated and be appointed to take Charge as Chief Financial Officer (CFO) and Key Managerial Personnel

It is further confirmed that appointment of Mr. Vimal Jain is in compliance of RBI Circular Ref. No. DBR.Appt.No.BC.68/29.67.001/2016-17 dated 18th May 2017.

The above appointments shall strengthen & enhance the managerial bandwidth of the Bank.

You are requested to kindly take the above on record.

Thanking You,
Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999

Enclosures: Annexure

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

info@aubank.in | www.aubank.in

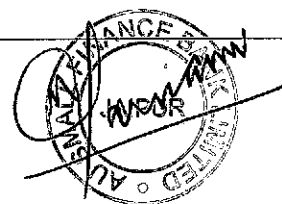
Annexure – I**Appointment of Mr. Deepak Jain as Chief Operating Officer (COO) of AU SMALL FINANCE BANK LIMITED**

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Elevation of Mr. Deepak Jain as Chief Operating Officer ("COO") of the Bank and continuing as Key Managerial Personnel, effective from 01 st April 2020.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	With effect from 01 st April 2020 as COO Existing Terms & conditions of employment.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable

Annexure II**Brief Profile of Mr. Deepak Jain as Chief Operating Officer (COO) of AU SMALL FINANCE BANK LIMITED**

Heading	Details
Brief	Mr. Deepak Jain was appointed as Chief Financial Officer of the AU Small Finance Bank Limited (earlier known as AU Financiers (India) Limited) in May 2010. He is a pragmatic thinker & leader, with sharp business acumen and eye to detail. He has over 21 years of experience in the field of Accounts, Finance, Operations and Audit. Under his leadership, a strong foundation for commencement of Banking operations as AU Small Finance Bank Limited has been laid, his proactive & dynamic approach led to significant rise in Balance Sheet size and shall enable sustainable growth in coming years. Over the years, he handled responsibilities with ease, precision and always focused on aligning organisational growth with building of robust systems, processes and control mechanisms.
Qualification	- Chartered Accountant (CA) - 1998 - Institute of Chartered Accountants of India. - Bachelor of Commerce -1994 - Commerce College, Rajasthan University, Jaipur.
Experience	He has overall experience of 21 years out of which ~11 years as a Practising Chartered Accountant. During his ~10 years of associations as Chief Financial Officer of AU Small Finance Bank Limited, he has supervised various verticals including following departments: - Finance & Accounts, Taxation & Corporate & Security Laws - Operations & Information Technology - Collections & Legal and Infrastructure
Other Key Details	He was the recipient of CA CFO award for the Year 2017 by Institute of Chartered Accountant of India.

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Annexure I

Appointment of Mr. Vimal Jain as Chief Financial Officer (CFO) of AU SMALL FINANCE BANK LIMITED.

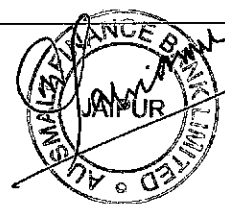
Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Appointment of Mr. Vimal Jain as Chief Financial Officer (CFO) of the Bank, effective from 01 st April 2020.
2.	Date of Appointment/cessation (as applicable)	01 st April 2020
	Terms of Appointment	Existing Terms & conditions of employment.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable

Annexure II

Brief Profile of Mr. Vimal Jain as Chief Financial Officer (CFO) of AU SMALL FINANCE BANK LIMITED

Heading	Details
Brief	Mr. Vimal Jain is a qualified Chartered Accountant and associated with the Company since Jan-2010 as the Chief of Finance, Accounts and Budgeting and he has over 15 years of experience in Finance & Accounts function. His in-depth understanding of the businesses, synergetic approach, team building capabilities, execution led approach helped in achieving the operational excellence, robust processes and business profitability at the Bank. He is well regarded and considered an authority for Business Planning acumen and for Risk & Return aligned Budgeting approach. His perseverance, strong learning & leadership capabilities led to his rise as strong & credible leader in Bank.
Qualification	- Chartered Accountant (CA)-2004- Institute of Chartered Accountants of India. B. Com from Commerce College – University of Rajasthan
Experience	Mr. Vimal Jain is a qualified Chartered Accountant having more than 15 years of rich & diversified experience covering Finance, Accounts, Budgeting and Business Planning and he has been with AU Small Finance Bank with more than 10 years.
Other Key Details	Mr. Vimal Jain has played a vital role in establishing key departments of the Bank and his contribution towards the mission of making and launching of successful Small Finance Bank has been impeccable. With his perseverance approach and passion towards work, he has built a strong dedicated team around Accounts, Finance, Business planning & strategic Budgeting that enabled the building of right eco-system in the organization.

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SEARCHABLE FORMAT

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