

Ref. No. : AUSFB/SEC/2019-20/437

Date: 23rd January, 2020

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. Symbol: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir(s),

Sub: Intimation of appointment of Mr. Nitin Gupta (ICAI Membership No.: 115825) as Chief Audit Officer (Internal Auditor) under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations').

In reference to the Regulation 30 of Listing Regulations, we wish to inform you that the Board of Directors of the Bank, at its meeting held on Wednesday 22nd January, 2020 has considered and approved appointment of Mr. Nitin Gupta (ICAI Membership No.: 115825) as Chief Audit Officer (Internal Auditor) of the Bank for a period of 3 (three) years. Appointment will be effective from **17th April, 2020.**

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexure.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED




Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999

Enclosures: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
 Formerly known as **Au Financiers (India) Limited**

Annexure

Appointment of Mr. Nitin Gupta as Chief Audit Officer (Internal Auditor) of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Nitin Gupta is appointed as Chief Audit Officer (Internal Auditor) of the Bank for next tenure effective from 17 th April 2020.
2.	Date of Appointment/cessation (as applicable)	17 th April, 2020
	Term of Appointment	Appointment for a period of 3 years.
3.	Brief Profile (in case of Appointment)	Mr. Nitin Gupta has more than 17 years of experience into Auditing and Assurance into industries like BFSI, Consultancy, Hospitality, IT & Telecom. Mr. Nitin Gupta, has been instrumental in buliding Internal Audit Team framework of the AU Small Finance Bank Limited. In addition to Internal Audit, Mr. Nitin Gupta also helped in building of the Concurrent Audit framework in the bank covering key areas as stipulated in RBI's guidelines.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable




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