

Ref . No. : AUSFB/SEC/2019-20/442

Date: 23<sup>rd</sup> January, 2020

To,

|                                                                                                                                                                                        |                                                                                                                                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Listing Department<br>National Stock Exchange of India Limited,<br>Exchange Plaza, Bandra Kurla Complex,<br>Bandra (East), Mumbai 400051,<br>Maharashtra.<br><br><b>Symbol: AUBANK</b> | Department of Corporate Services,<br>The BSE Limited,<br>Phiroz Jeejeebhoy Towers,<br>Dalal Street, Mumbai 400001,<br>Maharashtra.<br><br><b>Scrip Code: 540611</b> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Dear Sir(s),

**Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') for appointment of Mr. Kannan Gopalaraghavan Vellur (V G Kannan) as Additional Director (Independent).**

Pursuant to Regulation 30 of Listing Regulations, we wish to inform you that the Board of Directors of the Bank, at its meeting held on **Wednesday 22<sup>nd</sup> January, 2020** has considered and approved the Fit and Proper assessment for appointment of Mr. V G Kannan (DIN: 03443982) as Additional Director (Independent) on the Board of the Bank with effect from 22<sup>nd</sup> January 2020 in compliance of applicable regulatory requirements.

It is further confirmed that Mr. V G Kannan is not debarred/disqualified from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09<sup>th</sup> September 2015 are given as per enclosed Annexure.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**



**Manmohan Parnami**  
**Company Secretary and Compliance Officer**

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
**Phone:** +91 141 4110060/61, **Fax:** +91 141 4110090  
**CIN:** L36911RJ1996PLC011381  
Formerly known as **Au Financiers (India) Limited**

### Annexure I

#### **Appointment of Mr. V G Kannan as Additional Director (Independent) on the Board of AU Small Finance Bank Limited**

| <b>Sr. No.</b> | <b>Details of events that need to be provided</b>                                     | <b>Information of such event(s)</b>                                                                                        |
|----------------|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| 1.             | Reason for change viz. appointment, resignation, removal, death or otherwise          | Mr. V G Kannan (DIN: 03443982) is appointed as Additional Director (Independent) on the Board of the Bank.                 |
| 2.             | Date of Appointment/ <del>cessation</del> (as applicable)<br><br>Terms of Appointment | 22 <sup>nd</sup> January 2020<br><br>Appointment as Additional Director (Independent) subject to approval of shareholders. |
| 3.             | Brief Profile (in case of Appointment)                                                | As per Annexure II                                                                                                         |
| 4.             | Disclosure of relationship between Directors (in case of appointment of Directors)    | Mr. V G Kannan is not related to any of the Director of the Bank.                                                          |




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## Annexure II

### Brief Profile of Mr. V G Kannan

| Heading           | Details                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Brief             | <p>Mr. V G Kannan is Career Banker with over 38+ years of experience in Banking Industry and led several leadership positions. He is MBA from University of Madras and also completed Organizational Leadership Executive Program from University of Pennsylvania.</p> <p>Mr. Kannan has rich experience in Banking &amp; Financial Sector, Credit &amp; Risk Management, Insurance, Capital markets and Treasury &amp; Fund Management.</p>                                                        |
| Qualification     | <ul style="list-style-type: none"> <li>➤ University of Madras - (M.B.A.) - (1977 - 1980)</li> <li>➤ Madurai Kamaraj University - (B.B.A.) (1974 – 1977)</li> <li>➤ University of Pennsylvania - Organizational Leadership Executive program</li> </ul>                                                                                                                                                                                                                                              |
| Experience        | <p><b>He has held Key Positions as:</b></p> <ul style="list-style-type: none"> <li>➤ MD , State Bank of India</li> <li>➤ MD &amp; CEO, SBI Capital Markets</li> <li>➤ President &amp; Chief Operating officer, SBI Capital Markets Ltd.</li> </ul> <p>He has overall 38 + years of experience consisting of over 17 years in credit management &amp; operations and over 14 years in Domestic and International Treasury operations specially in Bond &amp; Forex markets and Derivatives.</p>      |
| Other Key Details | <p>His area of Expertise is Banking, Treasury Management, Risk Management, Financial Services including Insurance &amp; Capital Markets, Small Scale Industry and Economy.</p> <p>Held following leadership position:</p> <ul style="list-style-type: none"> <li>➤ Director - SBI General Insurance</li> <li>➤ Director - SBI Life Insurance</li> <li>➤ Director - State Bank of Mysore</li> <li>➤ Director - State Bank of Hyderabad</li> </ul> <p>Chief Executive - Indian Banks' Association</p> |




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