

Ref. No.: AUSFB/SEC/2019-20/454
Date: 23rd January, 2020

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. BSE Scrip Code: 540611
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Dear Sir(s),

Sub: Intimation of allotment of Equity Shares pursuant to exercise of Employees Stock Options under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We hereby inform that the Bank has allotted **37,158** (Thirty Seven Thousand One Hundred Fifty Eight equity shares of face value of Rs. 10/- each) on 22nd January, 2020 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2015 Plan A	5,124
ESOP Scheme 2015 Plan B	18,692
ESOP Scheme 2016	2207
ESOP Scheme 2018	11,135
Total	37,158

The paid-up share capital of the Bank has increased from Rs. 303,68,76,850 consisting of 303,687,685 equity shares having a face value of Rs. 10/- each to Rs. 303,72,48,430 consisting of 303,724,843 equity shares having a face value of Rs. 10/- each.

Kindly take above on your record.

**Thanking You,
 Yours faithfully,
 FOR AU SMALL FINANCE BANK LIMITED**



Manmohan Parnami
Company Secretary & Compliance Officer

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 Formerly known as **Au Financiers (India) Limited**