



Ref. No.: AUSFB/SEC/2020-21/252

Date: 22nd October 2020

To,

National Stock Exchange of India Limited, Listing Department Exchange Plaza, Bandra Kurla Complex, Bandra East, Mumbai 400051, Maharashtra.	BSE Limited, Department of Corporate Services, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
<b>Scrip Code: AUBANK</b>	<b>Symbol: 540611</b>

Dear Sir,

**Sub: Intimation of Board Meeting under Regulation 29(1)(a) read with Regulation 47 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

In continuation of our intimation for Closure of Trading Window for dealing in securities of the Bank vide letter bearing Ref. No. AUSFB/SEC/2020-21/230 dated 30<sup>th</sup> September, 2020, this is to inform that a meeting of the Board of Directors of AU SMALL FINANCE BANK LIMITED (the "Bank") will be held on **Wednesday, 28<sup>th</sup> October 2020 at Jaipur**, inter alia, to consider & approve the Unaudited Financial Results of the Bank for the Quarter and Half Year ended on 30<sup>th</sup> September, 2020.

**Trading Window Closure:**

Further, pursuant to the provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Bank's Code of Conduct - Prohibition of Insider Trading Policy, it is hereby inform that the Trading Window for dealing in securities of the Bank shall remain closed for the Directors, Designated Employees and other connected Persons from Thursday, 1<sup>st</sup> October, 2020 till Friday, 30<sup>th</sup> October, 2020 and hence, they are not permitted to trade in the securities of the Bank till 30<sup>th</sup> October, 2020.

We Request you to take the above intimation on records and bring the above to the notice of all concerned.

Thanking You,

Yours Sincerely

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**

Registered Office  
AU SMALL FINANCE BANK LIMITED  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
Phone: +91 141 4110060/61, Fax: +91 141 4110090  
CIN: L36911RJ1996PLC011381  
Formerly known as Au Financiers (India) Limited

# **SEARCHABLE FORMAT**

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