

Ref. No.: AUSFB/SEC/2019-20/307

Date: 22nd October 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	Department of Corporate Services, BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
--	---

Dear Sir/Madam,

Sub: Outcome of the Board Meeting – SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In continuation of our Letter bearing Ref. No. AUSFB/SEC/2019-20/300 dated 21st October 2019 and pursuant to applicable provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), this is to inform you that the Board of Directors of the Bank at their Meeting on Tuesday, 22nd October, 2019 at Jaipur has Approved amendment in the Bank's Code of Conduct-Prohibition of Insider Trading Policy.

Kindly take the same on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

MANMOHAN PARNAMI

COMPANY SECRETARY AND COMPLIANCE OFFICER

Membership No.: F9999

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as Au Financiers (India) Limited

SEARCHABLE FORMAT

Ref. No.: AUSFB/SEC/2019-20/307

Date: 22nd October 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	Department of Corporate Services, BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
--	---

Dear Sir/Madam,

Sub: Outcome of the Board Meeting – SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In continuation of our Letter bearing Ref. No. AUSFB/SEC/2019-20/300 dated 21st October 2019 and pursuant to applicable provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“**Listing Regulations**”), this is to inform you that the Board of Directors of the Bank at their Meeting on Tuesday, 22nd October, 2019 at Jaipur has Approved amendment in the Bank’s Code of Conduct-Prohibition of Insider Trading Policy.

Kindly take the same on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

MANMOHAN PARNAMI

COMPANY SECRETARY AND COMPLIANCE OFFICER

Membership No.: F9999

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**