

AUSFB/SEC/2019-20/304

Date: 21st October 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. Symbol: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir(s),

Sub: Intimation of Re - appointment of Mr. Sanjay Agarwal (DIN: 00009526), as Managing Director & CEO and Mr. Uttam Tibrewal (DIN: 01024940), as Whole Time Director under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In reference to the Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Board of Directors of the Bank, at its meeting held on Monday 21st October 2019 has considered and approved the Fit and Proper assessment of Mr. Sanjay Agarwal (DIN: 00009526) for the reappointment as Managing Director & CEO and of Mr. Uttam Tibrewal (DIN: 01024940) for the re-appointment as Whole Time Director of the Bank for a period of 3 (three) years. Appointment will be effective from 19th April 2020, subject to RBI and other regulatory approvals as applicable.

It is further confirmed that Mr. Sanjay Agarwal and Mr. Uttam Tibrewal is not debarred from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexure.

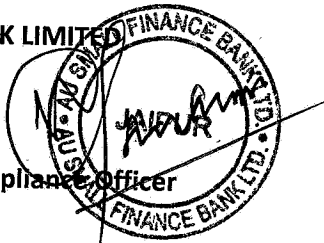
You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999



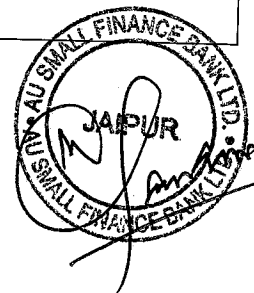
Enclosures: As above

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

Annexure I

Re- appointment of Mr. Sanjay Agarwal (DIN: 00009526), as Managing Director & CEO on the Board of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Mr. Sanjay Agarwal (DIN: 00009526), Managing Director & CEO of the Bank is till 18 th April 2020 and the Board has considered proposal for his re-appointment for a further term of 3 (three) years. Appointment will be effective from 19 th April 2020, subject to regulatory and other approvals.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	19 th April 2020 (subject to regulatory and other approvals) Appointment for a period of 3 years subject to RBI and other regulatory approvals as applicable.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Sanjay Agarwal is not related to any Director of the Bank.

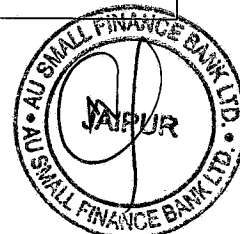


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Annexure II

Brief Profile of Mr. Sanjay Agarwal (DIN: 00009526)

Heading	Details
Brief	Mr. Sanjay Agarwal has been associated with the Company since its inception in 1996 and was first appointed as Managing Director in 2008. He is a Commerce graduate from Government College, Ajmer and all-India rank holder Chartered Accountant. He plays a key role in the Bank's strategic & corporate planning and risk management. His strategic insight, vision and execution-oriented approach has resulted in multi-fold increase in the size of the organisation.
Qualification	- Chartered Accountant, 1995 - All India 39th Rank Holder B. Com (Government College, Ajmer)
Experience	Mr. Sanjay Agarwal possess special knowledge and practical experience in the field of Accountancy, Agriculture & Rural Economy, Banking, Co-operation, Economics, Finance, Small Scale Industry and Risk & Business Management and being Chartered Accountant by qualification, he is also having vast experience in the field of Accounts & Finance.
Other Key Details	Mr. Sanjay Agarwal is versatile personality, with his consistent dedication, hard work, perseverance, utmost honesty has driven the organisation to the path of the progress and success. In recognition of these qualities, he is being awarded with many national and international level accolades which includes: - EY Entrepreneur of the Year-2018 - Innovative Leader of the Year' award by ET Now - Our business Leader of the Year' award by ICAI - Personality of the year (Finance Category) at Rajasthan Entrepreneur and Excellence Award. Under his leadership and guidance, the Bank has taken great strides in terms of disbursements, profitability, management bandwidth, technology, financial inclusion, geographical expansion and performance. His dynamic vision, strategic focus, and entrepreneurial skills continue to guide the growth of the Bank's businesses across markets and industry.

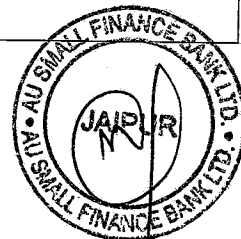


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Annexure I

Appointment of Mr. Uttam Tibrewal (DIN: 01024940), as Whole Time Director on the Board of AU SMALL FINANCE BANK LIMITED

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Mr. Uttam Tibrewal (DIN: 01024940), Whole Time Director of the Bank is till 18 th April 2020 and the Board considered proposal for his re-appointment for a further term of 3 (three) years. Appointment will be effective from 19 th April 2020, subject to regulatory and other approvals.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	19 th April 2020 (subject to regulatory and other approvals) Appointment for a period of 3 years subject to RBI and other regulatory approvals as applicable
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Uttam Tibrewal is not related to any Director of the Bank.



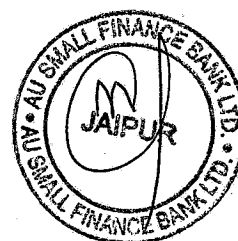
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Annexure II

Brief Profile of Mr. Uttam Tibrewal (DIN: 01024940)

Heading	Details
Brief	Mr. Uttam Tibrewal, Whole Time Director is associated with the Company since 2003 and over the Last 16 years, the success and growth of AU from a Small NBFC to becoming the Largest Small Finance Bank has been due to his significant contribution, dedication, foresightedness, hard work, and team building abilities. He has more than 22 years of experience in the Finance & Retail Industry. His dynamic vision, consistent approach, eye to details, strategic focus and entrepreneurial skills continue to guide the growth of the Bank's businesses across markets and industry. Mr. Uttam Tibrewal heads strategy and retail business of the Bank and played an instrumental role in bringing AU Bank to its present scale and size.
Qualification	B.com from Delhi University in 1991
Experience	Mr. Uttam Tibrewal possess special knowledge and practical experience in the field of Accountancy, Finance, Banking, agriculture & Rural Economy, small-scale industry, Risk & Business Management.
Other Key Details	Mr. Uttam Tibrewal, led the entire process of transformation from NBFC to Bank and some of key highlights of his performance are as follows: - IT Transformation was one of the most important milestones for successful Banking transition and Mr. Uttam Tibrewal provided due guidance to IT team in conceptualizing the way Bank IT stack should take shape up in view of Business Expectation at Banking platform. - He played a key role right from conceptualizing Trademark, logo, its color, interior and external design of Branch, color schemes layout etc. to give it a world class look. - He guided the building of the Branch Banking and granular deposit book - His experience of working in rural areas, helped Bank to create differentiated Retail Bank franchise with focus in semi urban/rural underbanked & unbanked area for furthering financial inclusion. Mr. Uttam Tibrewal vision, strategic focus, and entrepreneurial skills continue to guide the growth of the Bank's businesses across markets and industry. Under his Leadership Bank registered multi fold increase in its Balance sheet size, Advances, Deposits.

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SEARCHABLE FORMAT

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