

AUSFB/SEC/2019-20/303

Date: 21st October 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: Disclosure of Appointment of Mr. Pushpinder Singh (DIN: 08496066) and Mr. Mankal Shankar Sriram (DIN: 00588922) as Additional Director (Independent) under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In reference to the Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Board of Directors of the Bank, at its meeting held on Monday, 21st October, 2019 has appointed Mr. Mankal Shankar Sriram (DIN: 00588922) and Mr. Pushpinder Singh (DIN: 08496066) as **Additional Director (Independent)** on the Board of the Bank with effect from **21st October 2019** in compliance of applicable regulatory requirements.

It is further confirmed that Mr. Pushpinder Singh and Mr. Mankal Shankar Sriram is not debarred from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexure.

You are requested to kindly take the above on record.

Thanking You,

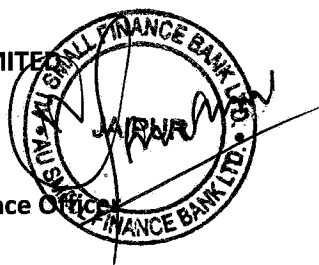
Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999



Enclosures: As above

Registered Office**AU SMALL FINANCE BANK LIMITED**

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

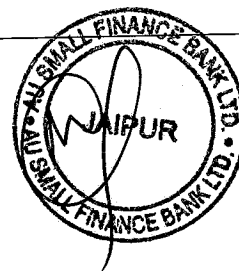
CIN: L36911RJ1996PLC011381

Formerly known as Au Financiers (India) Limited

Annexure I

**Appointment of Mr. Mankal Shankar Sriram as Additional Director (Independent) on the Board of
AU SMALL FINANCE BANK LIMITED**

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Mankal Shankar Sriram (DIN: 00588922) is appointed as Additional Director (Independent) on the Board of the Bank.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	21 st October 2019 (Appointment) Appointment as Additional Director (Independent) subject to approval of Shareholders.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Mankal Shankar Sriram is not related to any Director of the Bank.

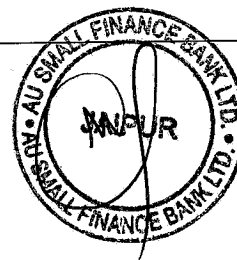


Registered Office
AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
 Phone: +91 141 4110060/61, Fax: +91 141 4110090
 CIN: L36911RJ1996PLC011381
 Formerly known as **Au Financiers (India) Limited**

Annexure II

Brief Profile of Mr. Mankal Shankar Sriram

Heading	Details
Name	Prof. Mankal Shankar Sriram (M S Sriram)
Brief	• Professor M S Sriram is currently visiting faculty at IIM Bangalore. He is also a Distinguished Fellow at the Institute for Development of Research in Banking Technology, Hyderabad – an institute set up by the Reserve Bank of India. • Mr. Sriram has served as an expert on several expert committees formed for Financial Inclusion by RBI, focused on Govt. Policy initiatives & Credit Channelization in Rural Areas through Micro Finance movement. • He is the author of multiple books largely on financial inclusion and agricultural credit. • Played key role in areas of Financial Inclusion, Microfinance, Co-operatives, Livelihoods and Urban Poverty. • Several books on agriculture Finance, Finance Inclusion and microfinance of his have been published including Book on 'Inclusive India Finance Report 2017' to reckon with.
Qualification	Fellow- IIM Bangalore, PGDRM – IRMA, Anand, Gujarat
Banking Experience	He was Director in Union Bank of India.
Other Key Details	Faculty of the Institute of Rural Management Anand (IRMA). Visiting Professor at Indian Institute of Management, Bangalore and many other Institutions. Key Positions Held: • Served on several committees set up by the Government of India, RBI and NABARD. • Member at Governing Board of National Institute of Bank Management. • First Vice-president (Finance and Information) at BASIX. • Treasurer Centre for Budget and Policy Studies and Member, Board of Governors • Trustee of Pratham Books, Dastkar Andhra and Sanket.

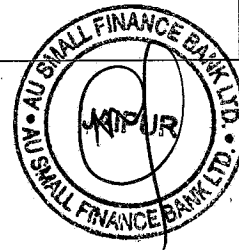


Registered Office
AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
 Phone: +91 141 4110060/61, Fax: +91 141 4110090
 CIN: L36911RJ1996PLC011381
 Formerly known as Au Financiers (India) Limited

Annexure I

Appointment of Mr. Pushpinder Singh as Additional Director (Independent) on the Board of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Pushpinder Singh (DIN:08496066) is appointed as Additional Director (Independent) on the Board of the Bank.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	21 st October 2019 (Appointment) Appointment as Additional Director (Independent) subject to approval of Shareholders.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Pushpinder Singh is not related to any Director of the Bank.

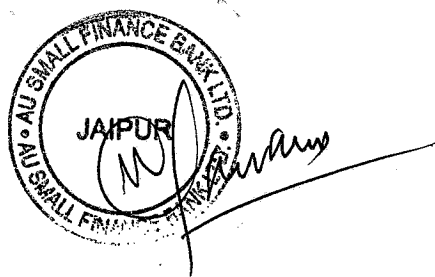


Registered Office
AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
 Formerly known as **Au Financiers (India) Limited**

Annexure II

Brief Profile of Mr. Pushpinder Singh

Heading	Details
Brief	• He has over 33 years of experience as a professional manager, out of which 10 years in Senior/Top Management Grade at Bank of India. • He is Member of the reconstituted IT Advisory committee of the Board of STCIPD. • Having More than 15 years of experience in Banking Technology areas and Possess Ability to direct complex projects from concept to fully operational status.
Qualification	B.Sc. and C.A.I.I.B – 1
Banking Experience	Ex Chief Information Officer at Bank of India
Experience & Key Areas of Specialisation	• Engaged in New Initiatives and IT implementation programme since 1986 during his career with Bank of India. • Rich Operational Banking Experience in all areas • Experience in Banking as well as in depth knowledge of Technology required for banking and the unique skill to translate this into real-time use for the Bank by implementing various IT projects • Played key role in revolution of Internet Banking and CBS by taking some of the major initiatives. • Worked with National Payment Corporation of India (NPCI) from 31.01.2014 to 31.07.2017 as advisor on FI and new Business.
Other Key Details	• Worked as product development and business development lead for AePS product of NPCI using Aadhaar authentication for payments using Micro ATMs • Member at Governing Board of National Institute of Bank Management. • Chairman IT Technical committee of STCIPD



Registered Office
AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
 Formerly known as Au Financiers (India) Limited

SEARCHABLE FORMAT

AUSFB/SEC/2019-20/303

Date: 21st October 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. Symbol: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
--	---

Dear Sir(s),

Sub: Disclosure of Appointment of Mr. Pushpinder Singh (DIN: 08496066) and Mr. Mankal Shankar Sriram (DIN: 00588922) as Additional Director (Independent) under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In reference to the Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Board of Directors of the Bank, at its meeting held on Monday, 21st October, 2019 has appointed Mr. Mankal Shankar Sriram (DIN: 00588922) and Mr. Pushpinder Singh (DIN: 08496066) as **Additional Director (Independent)** on the Board of the Bank with effect from **21st October 2019** in compliance of applicable regulatory requirements.

It is further confirmed that Mr. Pushpinder Singh and Mr. Mankal Shankar Sriram is not debarred from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexure.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999

Enclosures: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

Annexure I

Appointment of Mr. Mankal Shankar Sriram as Additional Director (Independent) on the Board of AU SMALL FINANCE BANK LIMITED

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Mankal Shankar Sriram (DIN: 00588922) is appointed as Additional Director (Independent) on the Board of the Bank.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	21 st October 2019 (Appointment) Appointment as Additional Director (Independent) subject to approval of Shareholders.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Mankal Shankar Sriram is not related to any Director of the Bank.

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**

Annexure II

Brief Profile of Mr. Mankal Shankar Sriram

Heading	Details
Name	Prof. Mankal Shankar Sriram (M S Sriram)
Brief	• Professor M S Sriram is currently visiting faculty at IIM Bangalore. He is also a Distinguished Fellow at the Institute for Development of Research in Banking Technology, Hyderabad – an institute set up by the Reserve Bank of India. • Mr. Sriram has served as an expert on several expert committees formed for Financial Inclusion by RBI, focused on Govt. Policy initiatives & Credit Channelization in Rural Areas through Micro Finance movement. • He is the author of multiple books largely on financial inclusion and agricultural credit. • Played key role in areas of Financial Inclusion, Microfinance, Co-operatives, Livelihoods and Urban Poverty. • Several books on agriculture Finance, Finance Inclusion and microfinance of his have been published including Book on 'Inclusive India Finance Report 2017' to reckon with.
Qualification	Fellow- IIM Bangalore, PGDRM – IRMA, Anand, Gujarat
Banking Experience	He was Director in Union Bank of India.
Other Key Details	Faculty of the Institute of Rural Management Anand (IRMA). Visiting Professor at Indian Institute of Management, Bangalore and many other Institutions. Key Positions Held: • Served on several committees set up by the Government of India, RBI and NABARD. • Member at Governing Board of National Institute of Bank Management. • First Vice-president (Finance and Information) at BASIX. • Treasurer Centre for Budget and Policy Studies and Member, Board of Governors • Trustee of Pratham Books, Dastkar Andhra and Sanket.

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**

Annexure I

Appointment of Mr. Pushpinder Singh as Additional Director (Independent) on the Board of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Pushpinder Singh (DIN:08496066) is appointed as Additional Director (Independent) on the Board of the Bank.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	21 st October 2019 (Appointment) Appointment as Additional Director (Independent) subject to approval of Shareholders.
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Pushpinder Singh is not related to any Director of the Bank.

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**

Annexure II

Brief Profile of Mr. Pushpinder Singh

Heading	Details
Brief	• He has over 33 years of experience as a professional manager, out of which 10 years in Senior/Top Management Grade at Bank of India. • He is Member of the reconstituted IT Advisory committee of the Board of STCIPD. • Having More than 15 years of experience in Banking Technology areas and Possess Ability to direct complex projects from concept to fully operational status.
Qualification	B.Sc. and C.A.I.I.B – 1
Banking Experience	Ex Chief Information Officer at Bank of India
Experience & Key Areas of Specialisation	• Engaged in New Initiatives and IT implementation programme since 1986 during his career with Bank of India. • Rich Operational Banking Experience in all areas • Experience in Banking as well as in depth knowledge of Technology required for banking and the unique skill to translate this into real-time use for the Bank by implementing various IT projects • Played key role in revolution of Internet Banking and CBS by taking some of the major initiatives. • Worked with National Payment Corporation of India (NPCI) from 31.01.2014 to 31.07.2017 as advisor on FI and new Business.
Other Key Details	• Worked as product development and business development lead for AePS product of NPCI using Aadhaar authentication for payments using Micro ATMs • Member at Governing Board of National Institute of Bank Management. • Chairman IT Technical committee of STCIPD

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**