

**Ref. No.: AUSFB/SEC/2020-21/288**

**Date: 20<sup>th</sup> November, 2020**

|                                                                                                                                                              |                                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| National Stock Exchange of India Limited,<br>Exchange Plaza, Bandra Kurla Complex,<br>Bandra (East), Mumbai 400051,<br>Maharashtra.<br><b>Symbol: AUBANK</b> | BSE Limited,<br>Phiroz Jeejeebhoy Towers,<br>Dalal Street, Mumbai 400001,<br>Maharashtra.<br><b>Scrip Code: 540611</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|

**Dear Sir/Madam,**

**Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Pursuant to the applicable provisions of the Regulations, please find below details of Investor calls held on Thursday, 19th November 2020 and Friday, 20th November, 2020.

**Detail of Investor Calls held on Thursday, 19th November 2020 and Friday, 20th November, 2020 in Mumbai**

| Sr No. | Investor/Analyst                                                                 | Call type            | Date                | Venue  |
|--------|----------------------------------------------------------------------------------|----------------------|---------------------|--------|
| 1      | Fidelity International Ltd.                                                      | One-on-One           | 19th November 2020  | Mumbai |
| 2      | Conference Calls hosted by CLSA India (Refer Annexure - 1 for participants list) | Group and One-on-One | 20th November, 2020 | Mumbai |

This for your information and appropriate dissemination.

**Thanking You  
FOR AU SMALL FINANCE BANK LIMITED**




**Manmohan Parnami  
Company Secretary & Compliance Officer**

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
**Phone:** +91 141 4110060/61, **Fax:** +91 141 4110090  
**CIN:** L36911RJ1996PLC011381  
Formerly known as **Au Financiers (India) Limited**

### Annexure 1

| Sr No | Institution Name                          | Call Type  |
|-------|-------------------------------------------|------------|
| 1     | Buena Vista Fund Management LLC           | Group      |
| 2     | DSP Investment Managers Pvt Ltd           | Group      |
| 3     | Kotak Asset Mgmt Singapore Pte Ltd        | Group      |
| 4     | Max Life Insurance Co Ltd                 | Group      |
| 5     | Newport Asia LLC                          | Group      |
| 6     | State Street Global Advisors              | Group      |
| 7     | Ward Ferry Management Ltd.                | Group      |
| 8     | APG Algemene Pensioen Groep NV            | Group      |
| 9     | AMIRAL GESTION SAS                        | Group      |
| 10    | ANIMA Asset Management Limited            | Group      |
| 11    | Aditya Birla Sun Life AMC Ltd.            | Group      |
| 12    | Hermes Investment Management Limited      | Group      |
| 13    | PineBridge Investments Asia               | Group      |
| 14    | Quantum Asset Management Company Pvt Ltd  | Group      |
| 15    | Raiffeisen Kapitalanlage Ges.mbh          | Group      |
| 16    | SBI Life Insurance Company Ltd.           | Group      |
| 17    | Sundaram Asset Management Company Limited | Group      |
| 18    | Ashmore Investment Management             | One on One |




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