

Ref. No.: AUSFB/SEC/2024-25/207

Date: August 20, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), this is to inform that the Bank has received order from the Deputy Commissioner of State Tax, Bengaluru, Karnataka under Section 73 of the Karnataka Goods and Services Tax Act, 2017 on August 19, 2024, informing that penalty of Rs. 6.85 lakh has been imposed on account of GST issue related to input tax credit for FY 2019-20.

The Bank believes that it has adequate grounds to support its position in the matter and would prefer an appeal/rectification request against the said order under the applicable laws.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed Annexure - I.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

investorrelations@aubank.in

Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

Annexure-I

**Details required under Regulation 30 of the Listing Regulations read with SEBI Circular No.
SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023**

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Name of the authority	Deputy Commissioner of State Tax DGSTO-1, Bengaluru, Karnataka
2.	Nature and details of the action(s) taken, or order(s) passed	Order passed under section 73 of the Karnataka Goods and Services Tax Act, 2017
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	August 19, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	On account of GST issue related to input tax credit
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 1.31 Crore (Include tax amount, interest and penalty)

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