Ref. No.: AUSFB/SEC/2022-23/368
Date: 17th October, 2022

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. **NSE Symbol: AUBANK**	**BSE Limited** Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. **Scrip Code: 540611**

Dear Sir/Madam,

Sub: Information regarding Loss of Share Certificate under Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Pursuant to Regulation 39(3) of Listing Regulations, we submit here below the information regarding the loss of share certificate received from Link Intime India Pvt. Ltd., the Registrar & Share Transfer Agents of the Bank:

Folio No	Name of Shareholder	Certificate No.	Distinctive No.		No. of Shares
			From	To	
000167	Mr. Mohan Lal Arora	286	630177527	630177541	15

As required under the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022, the Bank shall, after due compliance of the required formalities, issue 'Letter of Confirmation' to the concerned shareholder.

This is for your information and records.

Thanking You,
Yours faithfully,
For AU SMALL FINANCE BANK LIMITED

MANMOHAN PARNAMI
Digitally signed by MANMOHAN PARNAMI
Date: 2022.10.17 20:08:39 +05'30'

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in