

Ref. No.: AUSFB/SEC/2024-25/205

Date: August 17, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: Regulation 30 read with clause 7 of Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

We wish to inform that the Board of Directors of the Bank on recommendation of the Nomination and Remuneration Committee at its Meeting held today i.e. on August 17, 2024 has considered and approved the following:

1. Re-appointment of Mr. Harun Rasid Khan (DIN: 07456806) as Part-time Chairman and Independent Director (Non-Executive) of the Bank with effect from December 28, 2024 for a second term of 3 years, subject to the approval of Shareholders. Further, the appointment as Part-time Chairman shall also be subject to the approval of Reserve Bank of India (RBI).

Mr. Harun Rasid Khan joined the Board of Directors of the Bank as Independent Director (Non-Executive) on December 28, 2021 and took charge as Part-time Chairman of Bank on January 30, 2024.

It is confirmed that Mr. Harun Rasid Khan is not debarred from holding the office of Director by virtue of any SEBI order or any other such authority and he is not disqualified from holding the office of director pursuant to provisions of Section 164 of the Companies Act, 2013.

2. Mr. Deepak Jain, currently serving as Chief Risk Officer ("**CRO**") of the Bank, and whose current term of 3 years as CRO is completing on August 31, 2024, has been re-appointed as CRO for further 2 years w.e.f. September 01, 2024.
3. Mr. Vinay Vaish, currently serving as Head of Internal Audit ("**HIA**") of the Bank, and whose current term of 3 years as HIA is completing on October 6, 2024, has been re-appointed as HIA for further 2 years w.e.f. October 7, 2024.

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

4. Pursuant to annual review of list of officials under SMP category by the Nomination & Remuneration Committee and the Board of Directors of the Bank, Mr. Vivek Rathi has been included in the SMP category w.e.f. August 18, 2024. Further, Mr. Manoj Tibrewal, Mr. Rishi Dhariwal, Mr. Ankur Tripathi, Mr. Amit Mathur, Mr. Mayank Markanday, and Mr. Farhan Ahmed, has been re-categorized from SMP to non-SMP category w.e.f. August 18, 2024. All the said officials continue to be in service with the Bank.

The above disclosure is made in compliance of the Listing Regulations read with SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 and details are covered in the **Annexure**.

The above information is also being made available on the website of the Bank www.aubank.in.

The Board meeting commenced at 8:45 PM and concluded at 10:45 PM.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

investorrelations@aubank.in

Encl: As above

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Annexure

Details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023:

Particulars	1	2	3	4
Reason for change viz. appointment, re-appointment, resignation, removal, death or otherwise	Re-appointment as Part-time Chairman and Independent Director (Non-Executive) of the Bank	Re-appointment of CRO & HIA (SMP)	Inclusion in the SMP category	Re-categorization of the officials from SMP to Non-SMP
Name of Officials	Mr. Harun Rasid Khan (DIN: 07456806)	1. Mr. Deepak Jain 2. Mr. Vinay Vaish	1. Mr. Vivek Rathi	1. Mr. Manoj Tibrewal 2. Mr. Rishi Dhariwal 3. Mr. Ankur Tripathi 4. Mr. Amit Mathur 5. Mr. Mayank Markanday 6. Mr. Farhan Ahmed
Date of Appointment/ re-appointment/ cessation (as applicable) & Terms of Appointment/ re-appointment	Re-appointment of Mr. Harun Rasid Khan (DIN: 07456806) as Part-time Chairman and Independent Director (Non-Executive) of the Bank with effect from December 28, 2024 for a second term of 3 years.	1. Mr. Deepak Jain, currently serving as Chief Risk Officer (“ CRO ”) of the Bank, and whose current term of 3 years as CRO is completing on August 31, 2024, has been re-appointed as CRO for further 2 years w.e.f. September 01, 2024. 2. Mr. Vinay Vaish, currently serving as Head of Internal Audit (“ HIA ”) of the Bank, and whose current term of 3 years as HIA is completing on October 6, 2024, has been re-appointed as HIA for further 2 years w.e.f. October 7, 2024.	With effect from August 18, 2024	With effect from August 18, 2024
Brief Profile (in case of Appointment)	Brief profile follows on next page	Brief profile follows on next page	Brief profile follows on next page	Not Applicable
Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Harun Rasid Khan (DIN: 07456806) is not related to any Director of the Bank.	Not Applicable	Not Applicable	Not Applicable

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Brief Profile of Mr. Harun Rasid Khan

Mr. Harun Rasid Khan joined the Board of the Bank in December, 2021. He holds a Master of Arts from Utkal University, Bhubaneswar, a Master of Philosophy from Jawaharlal Nehru University, New Delhi, a Diploma in Business Management from Narsee Monjee Institute of Management Studies, Mumbai and he is a Certified Associate of the Indian Institute of Banking and Finance.

He is a career central banker, has a vast experience of over four decades in the areas of Banking & Finance, Information Technology, Payment and Settlement Systems, Economics, Financial Markets, Human Resources, Risk Management, and Financial Inclusion and has handled leadership positions as the Deputy Governor & the Executive Director at the Reserve Bank of India.

He has expertise in the field of finance of over 38 years of experience with the RBI. At RBI, he handled diverse areas and spearheaded major projects relating to financial markets, foreign exchange management, external investments, banking regulation and supervision, IT transformation, payment and settlement systems, training and HR initiatives, and financial inclusion.

He chaired the Committee on Rural Credit and Microfinance which focused on the expansion of the banking network through the Information and Communications Technology enabled business correspondents, the Inter-Regulatory Corporate Debt Working Group which made several important recommendations for the development of the Corporate Debt Market in India and the Working Group on the Regulations for the Foreign Portfolio Investors (FPIs) set up for simplification, liberalization, and rationalization of the portfolio flow regulations set up by the SEBI. He was also a member of the Committees on Digital Payments set up by the Government of India and the RBI. He chaired the Corporate Bond and Securitisation Advisory Committee (CoBoSAC) of SEBI. Currently, he is a member of the Standing Committee on FPIs of the SEBI.

He was also the director on the Board of Bandhan Bank Limited, Dena Bank, Bank of Maharashtra, Punjab & Sind Bank, Bank of Rajasthan, NABARD, National Housing Board, Reserve Bank Information Technology Private Limited and the Orissa State Finance Corporation.

Brief profile of Mr. Deepak Jain

Mr. Deepak Jain is qualified Chartered Accountant and associated with the Bank since May, 2010. He has 26+ years of experience and has an exceptional journey at the Bank where he transitioned in multiple leadership roles like Chief Finance Officer to Chief Operating Officer & is now heading the Risk department and was appointed as Chief Risk Officer of the Bank from September, 2021.

Brief profile of Mr. Vinay Vaish

Mr. Vinay Vaish is qualified Chartered Accountant, LLB from Rajasthan University and associated with the Bank since May, 2015. He is a seasoned professional with over 29+ years of experience in multiple facets of financing encompassing Retail, Corporate and Governance function. His Journey in the Bank started as a Risk Head & transitioned in multiple roles like Chief of Credit & Collections (Wholesale Bank), Chief of Credit Risk to Head of Internal Audit. He was appointed as Head of Internal Audit of AU Small Finance Bank in October, 2021.

Brief profile of Mr. Vivek Rathi

Mr. Vivek Rathi is qualified Chartered Accountant, associated with the Bank since 2017, and heading credit function of business banking. He is a seasoned banking professional with an illustrious career spanning over two decades in Banking industry with extensive experience in MSME lending space. Before joining AU Small Finance Bank, he has worked with other leading private sector banks in the Business Banking Role in the Credit Profile.

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